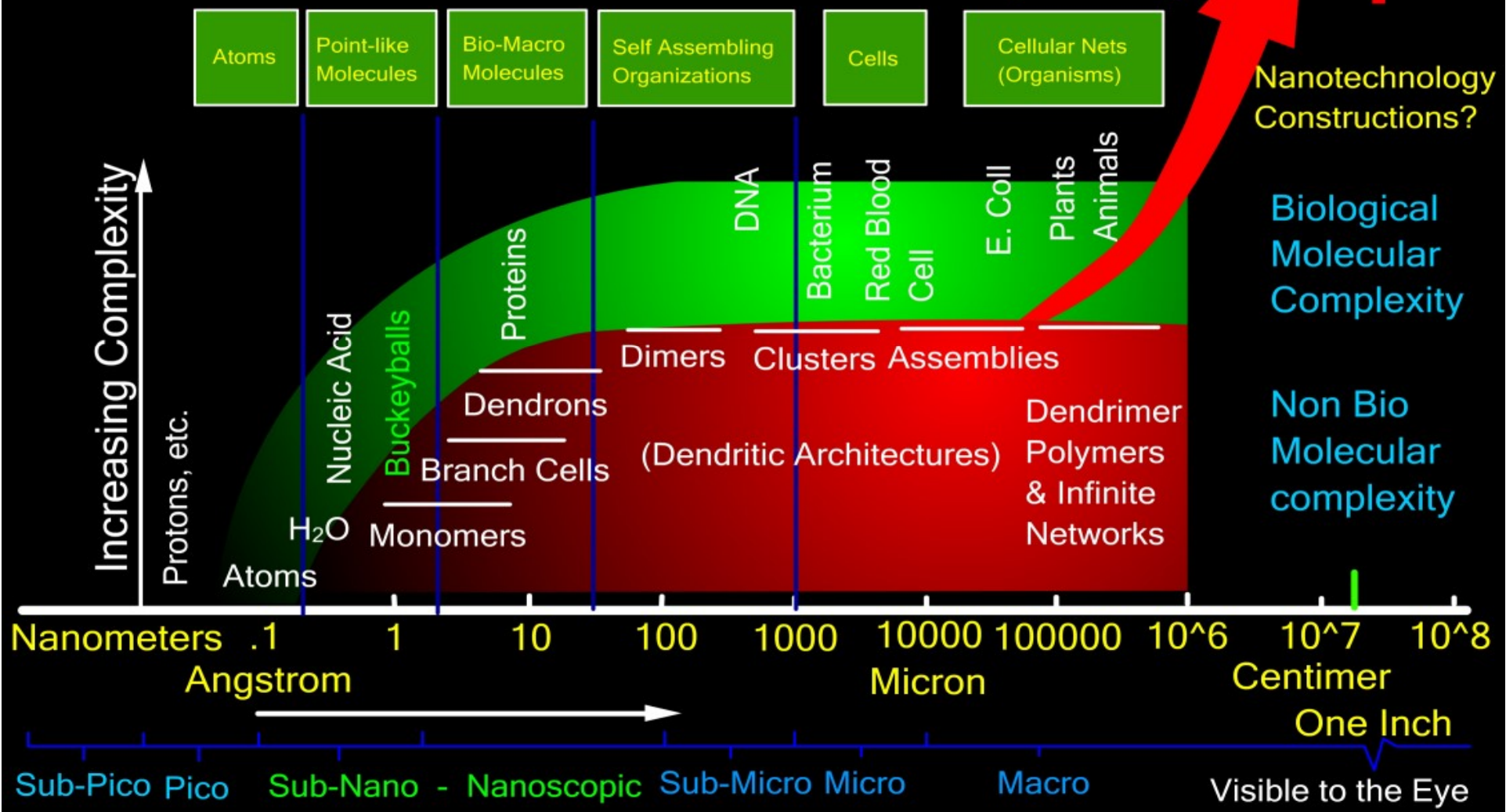


# Nanoscience and Nanotechnology: Introduction, Synthesis and Applications

Dr. Bhaskar R. Sathe  
Department of Chemistry

# Molecular Scale & Complexity



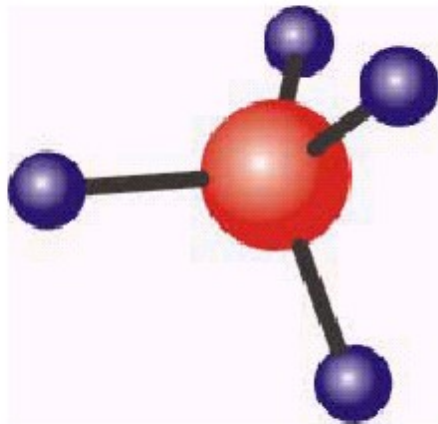
*"Bottom-up"*

*"Top-down"*

0.000 000 001 metre

- One **nanometer** is thus **one billionth of metre** (or one millionth of millimetre, etc.). It can be expressed as  $10^{-9}$  metres and shortened to **nm**.

The radius of one atom of **gold** is 0.14 nm.



- Half a nanometre is the linear dimension of a small molecule like **methane** ( $\text{CH}_4$ ). One human **hair** is around 100 thousand times bigger.

# HISTORY

- 1959 -FEYNMAN – APS MEETING
- 1974 -NORIO TANIGUCHI (Tokyo Sci. Univ)
- 1980- ERIC DREXLER – Mechano-synthesis
- 1981 – BINNIG & ROHRER – STM
- 1985 – C60
- 1986 – AFM; 1989-WRITING WITH ATOMS
- 1991 – CNT; 1998 – ALIGNED CNT
- 1999 – MOLECULAR LOGIC GATE
- 2002 – CNT TRANSISTOR; DPN
- 2004 – SINGLE ELECTRON DEVICES; GRAPHENE

What I want to talk about is the problem of manipulating and controlling things on a small scale ...

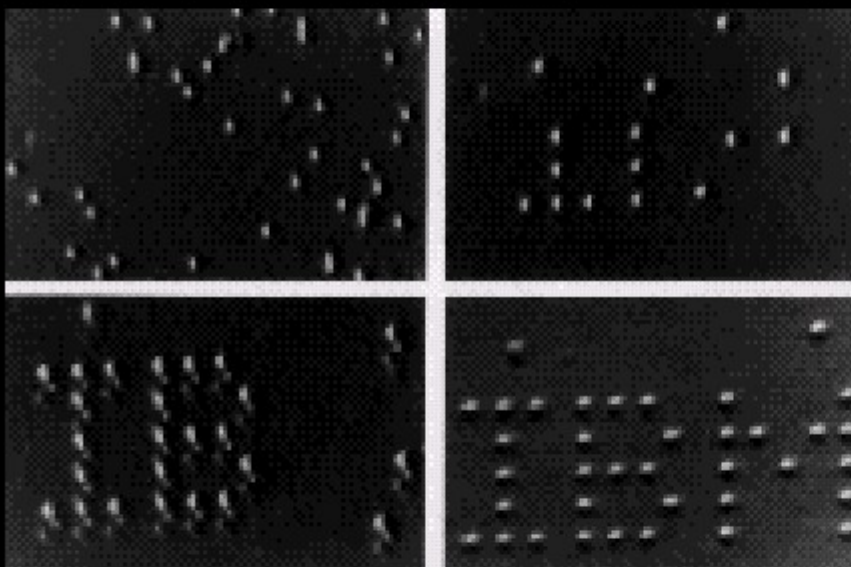
As soon as I mention this, people tell me about miniaturization, and how far it has progressed today. They tell me about electric motors that are the size of the nail on your small finger. And there is a device on the market, they tell me, by which you can write the Lord's Prayer on the head of a pin. But that's nothing; that's the most primitive, halting step in the direction I intend to discuss. It's a staggeringly small world that is below. In the year 2000, when they look back at this age, they will wonder why it was not until the year 1960 that anybody began seriously to move in this direction.....



Prof. Richard Feynman in “There’s plenty of room at the bottom”, lecture delivered at the annual meeting of the APS, Caltech, 29 December, 1959.



## Nanostructures, atom-by-atom

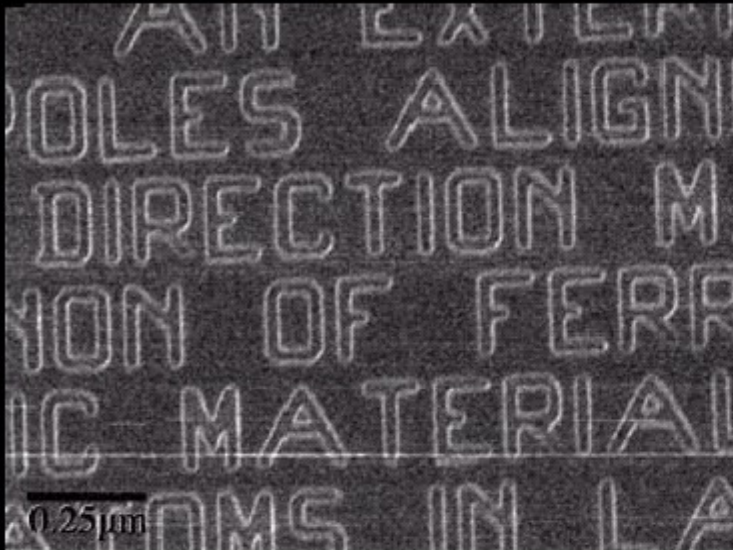


STM writing of “IBM” with Xe atoms on Ni (110) surface [Eigler and Schweizer, Nature 344, 524 (1990)].

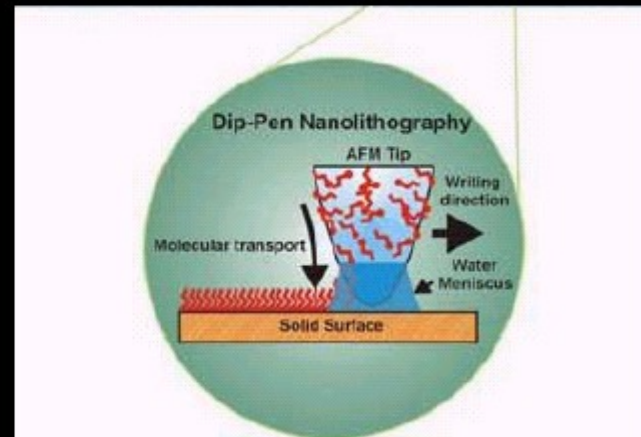


Writing with atoms : “atom” in Japanese

# Nanoscale writing



Nanoscale writing with an STM



Nanoscale writing with an AFM (Mirkin et al.)

60 nm

As soon as I mention this, people tell me about miniaturization, and how far it has progressed today. They tell me about electric motors that are the size of the nail on your small finger. And there is a device on the market, they tell me, by which you can write the Lord's Prayer on the head of a pin. But that's nothing; that's the most primitive, halting step in the direction I intend to discuss. It is a staggeringly small world that is below. In the year 2000, when they look back at this age, they will wonder why it was not until the year 1960 that anybody began seriously to move in this direction.

400 nm

Richard P. Feynman, 1960

# NANOTECHNOLOGY AND OUR ENVIRONMENT

- **Ultrasmall sensors and sensor arrays for environmental monitoring , with very low mass, volume and power consumption**
- **Revolutionary Energy generation capability with out environmental contamination that allow reconfigurable, autonomous, "thinking" batteries and fuel cells**
- **Nanotechnology presents a whole new spectrum of opportunities to alleviate environmental problems**
- **Branson's offer**

**SYNTHETIC TREES: 1000 times more**

**CO<sub>2</sub> absorbing capability**



**A prototype hydrogen powered vehicle from BMW refuels**



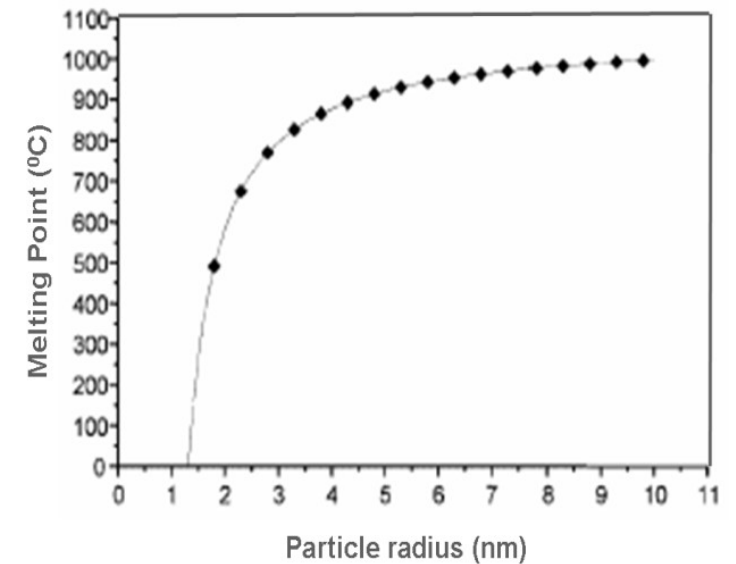
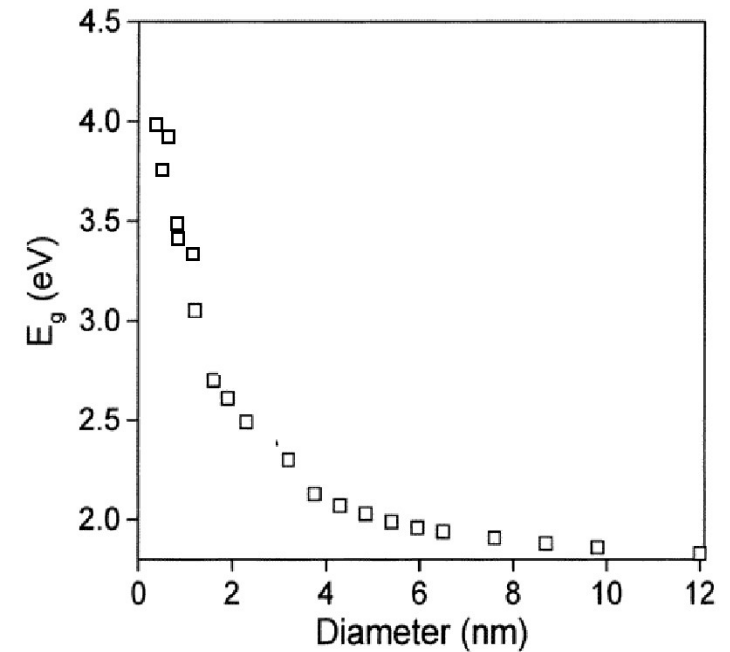
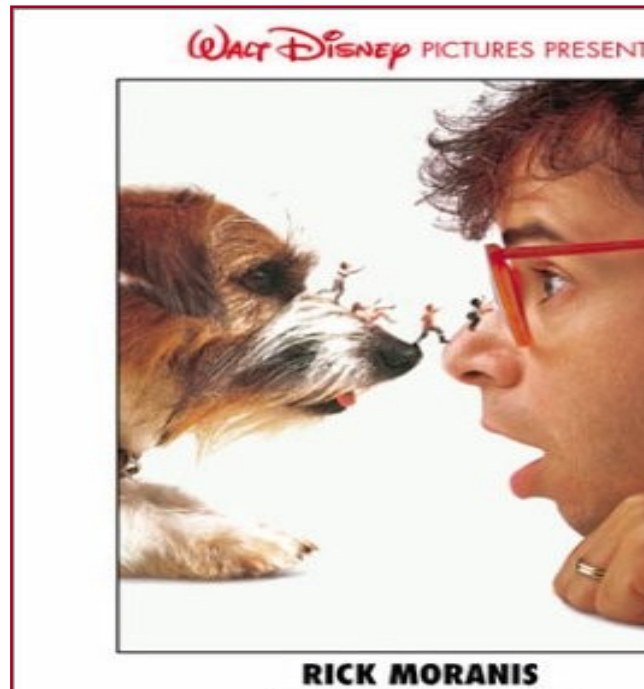
**Global temperature rise**



# SIZE MATTERS A LOT!

- PROPERTIES DIFFERENT FROM THAT OF BULK
- SCALING UP OR DOWN WITH SIZE - CONVERGENCE
- ILLUSTRATIVE EXAMPLES FOR SIZE AND SHAPE DEPENDANT PROPERTIES

(e.g., melting point of Au/Ag, band gap of semiconductor-band gap engineering)

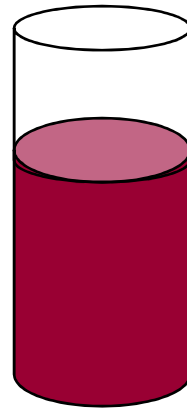


# Optical Properties of Metal Clusters: The Mie Theory

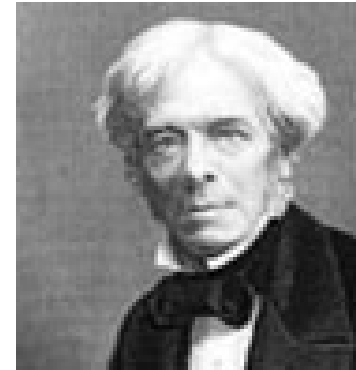


Gustav Mie

1908



1857

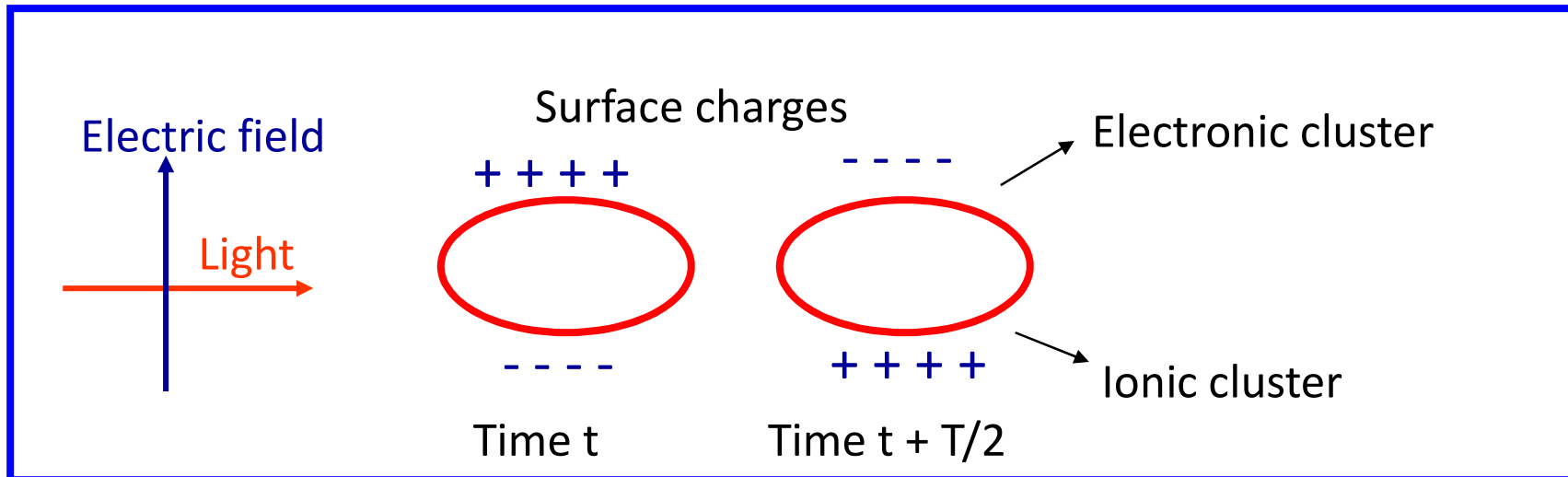


Michael Faraday

Creation of Surface Plasmon

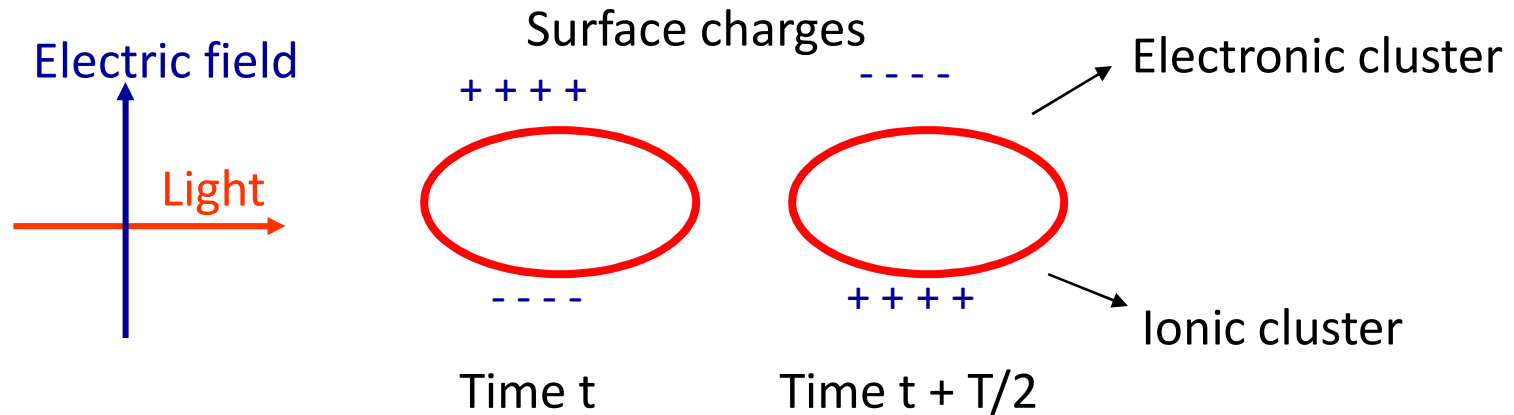
Royal Society of Chemistry, London

Size Effect

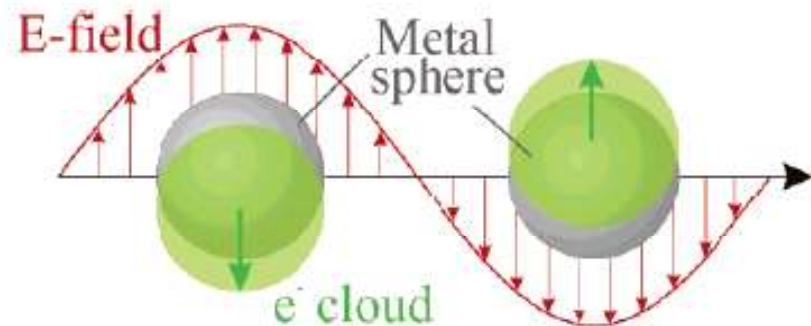


M. A. El-Sayed et al. *Int. Reviews in Physical Chemistry* 2000, 19, 409.

# Surface plasmon resonance



- ❑ A surface Plasmon is generated when the size of the metal is smaller than the wavelength of incident radiation.
- ❑ An electric field of an incoming light induces a polarization of the free electrons relative to the cationic lattice.
- ❑ The net charge difference occurs at the nanoparticle surface which in turn acts as a restoring force.
- ❑ This creates a dipolar oscillation of electrons with a certain frequency.
- ❑ The surface Plasmon resonance is therefore a dipolar oscillation of electrons.

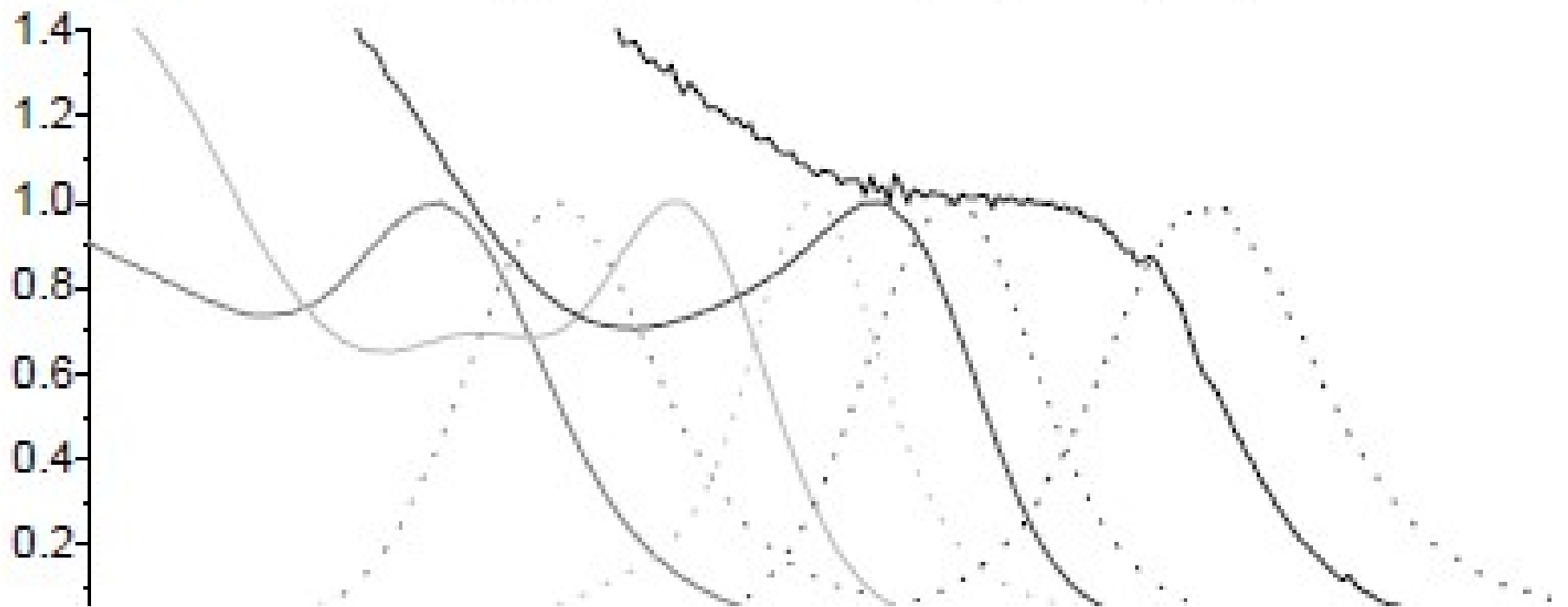
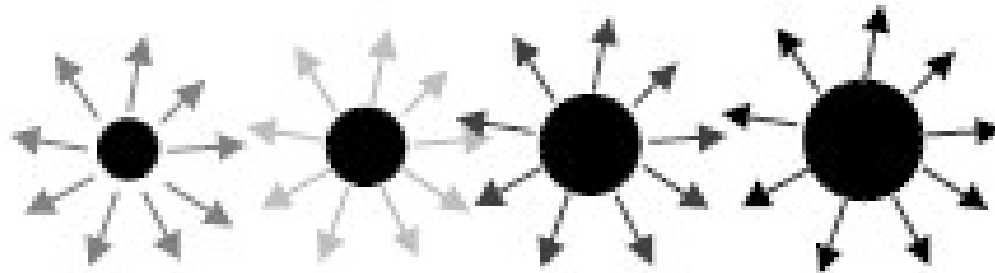


❖ Interaction of incoming light with nanoparticles results into collective oscillation of surface free electrons with respect to the nanoparticle lattice

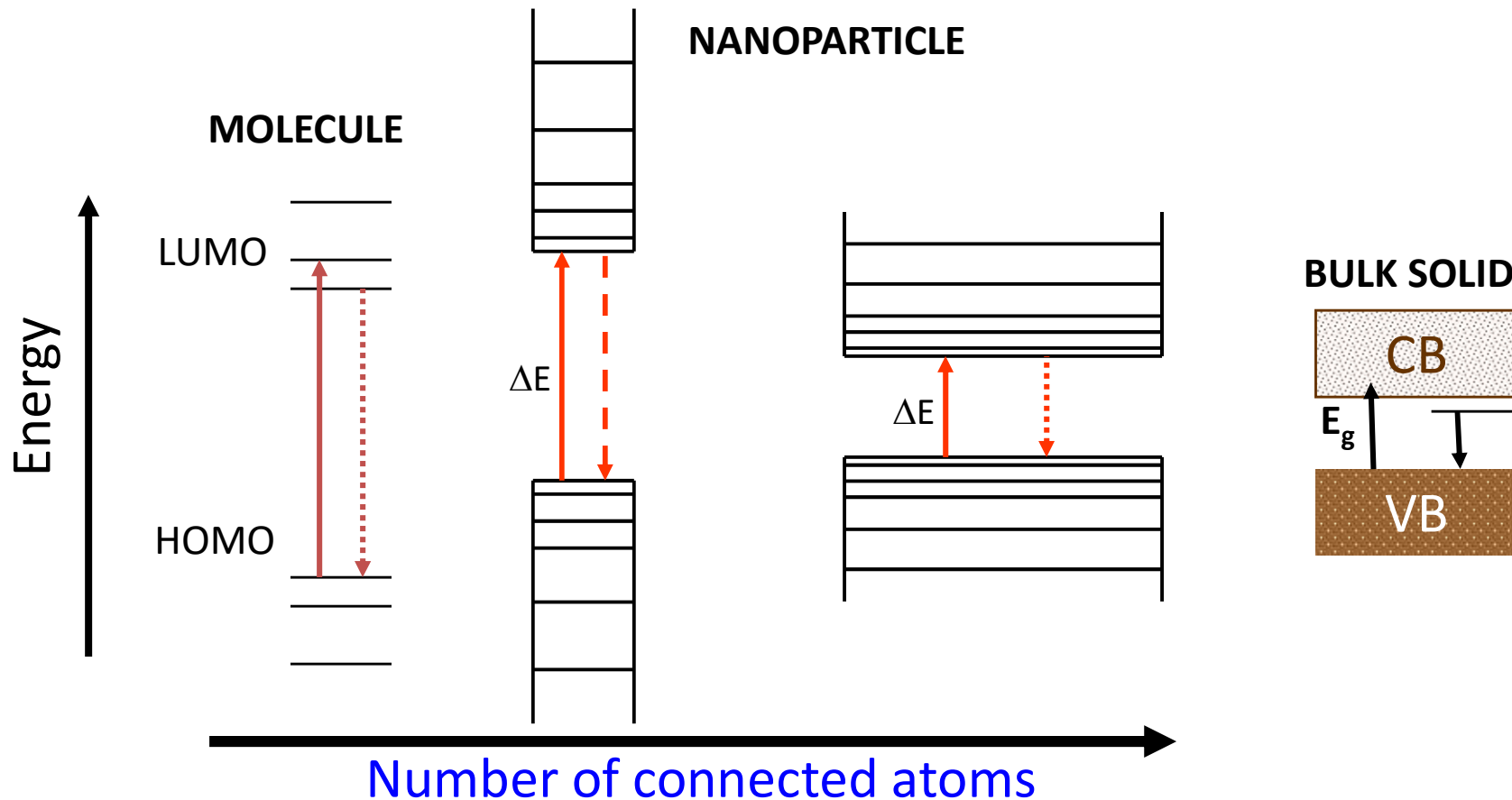
❖ Frequency changes with size, shape, local and surrounding dielectric medium, inter-particle coupling interaction

<b>Wavelength (nm)</b>	<b>Absorbed Color</b>	<b>Complementary</b>
650-780	red	blue-green
595-650	orange	greenish blue
560-595	yellow-green	purple
500-560	green	red-purple
490-500	bluish green	red
480-490	greenish blue	orange
435-480	blue	yellow
380-435	violet	yellow-green

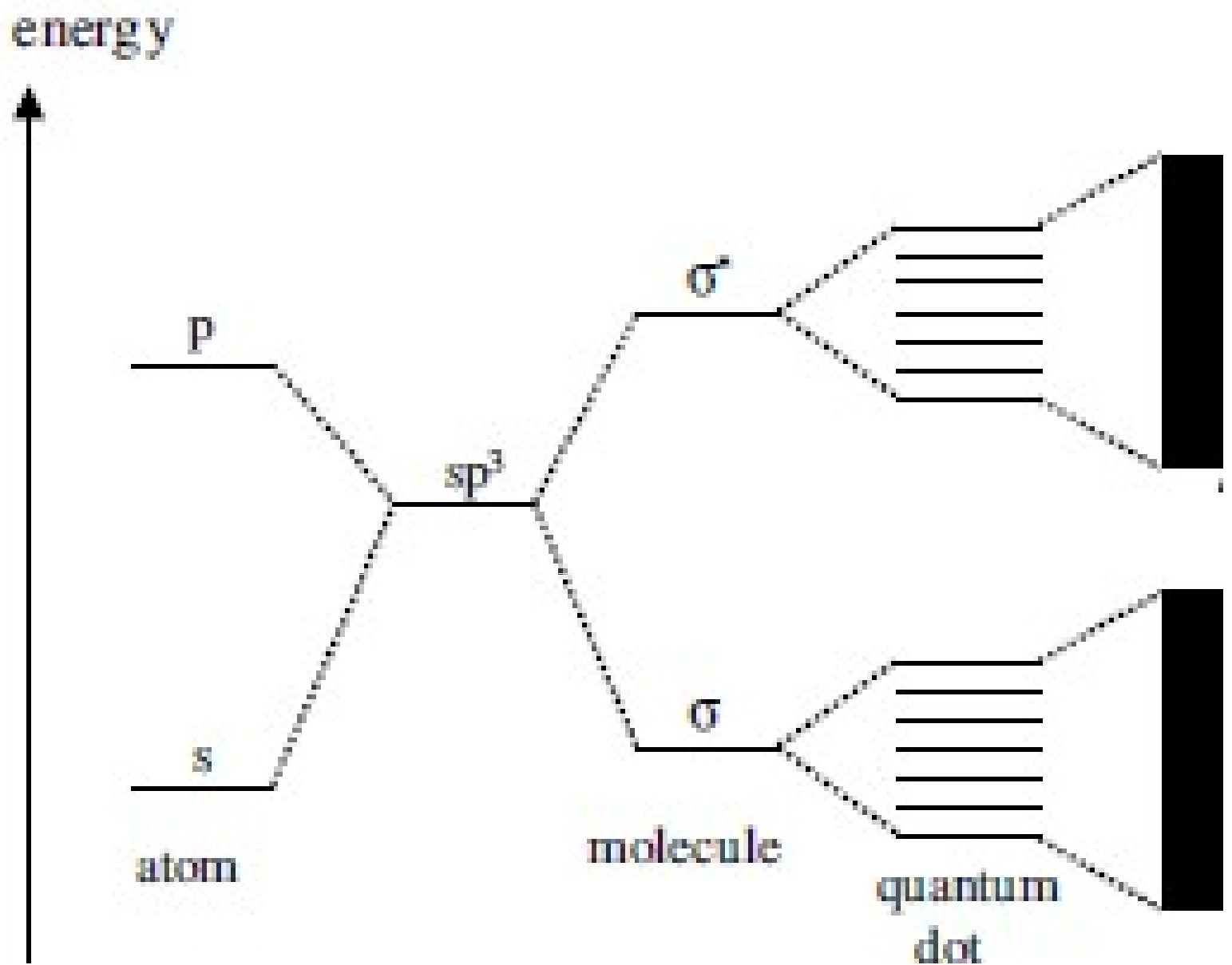
absorption /  
emission



# Energy Diagrams: Nanoparticle Intermediate of Molecules and Bulk Solids

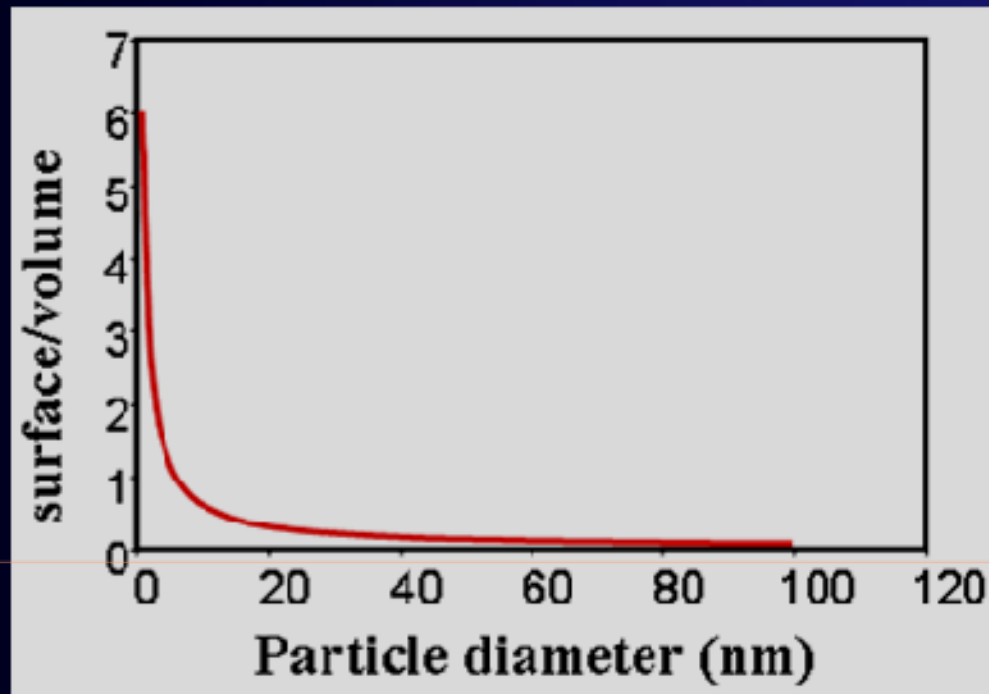


Self Assembly of number atoms or molecules together, the discrete energy levels of atomic orbitals merge into energy bands





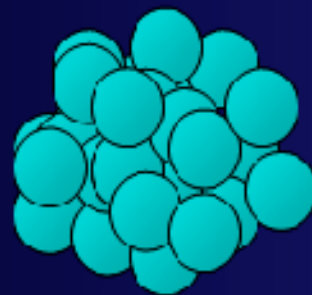
# Surface/volume ratio increases upon decreasing gold nanosize



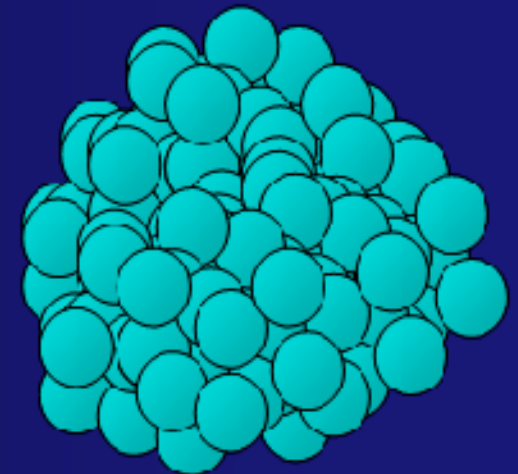
Proportion of surface atoms increases



3 nm  
50 % atoms  
on the surface



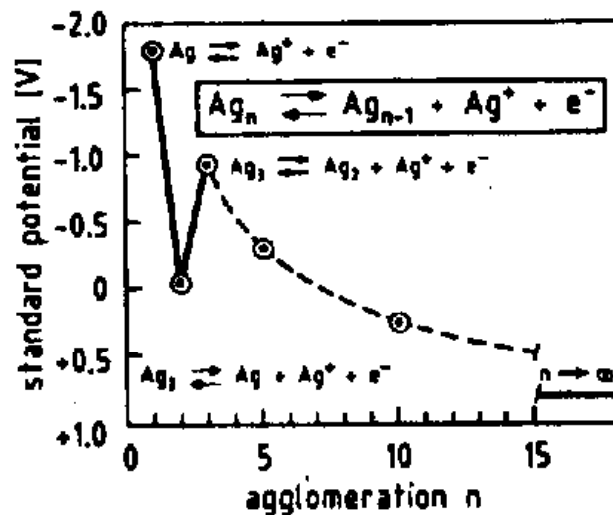
10 nm  
30 %



30 nm  
5 %

# Influence of Particle size and Nucleophile on Electrode Potential

## Variation of Nucleophiles



Particle size

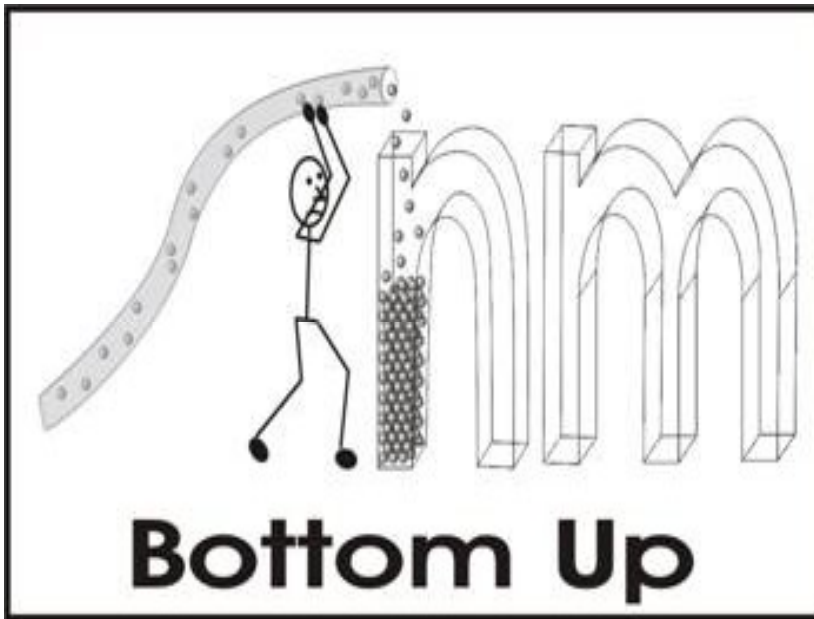
$$E^0 [\text{Ag}^+ / \text{Ag}_1] = -1.8 \text{ V}$$

$$E^0 [\text{Ag}^+_5 / \text{Ag}_5] = -0.4 \text{ V}$$

$$E^0 [\text{Ag}^+_{11} / \text{Ag}_{11}] = -0.17 \text{ V}$$

*J. Phys. Chem.* **1993**, 97, 5457.

# TWO APPROACHES



# How to Fabricate Nanostructures

Top-down method

Nanostructures from macrostructures

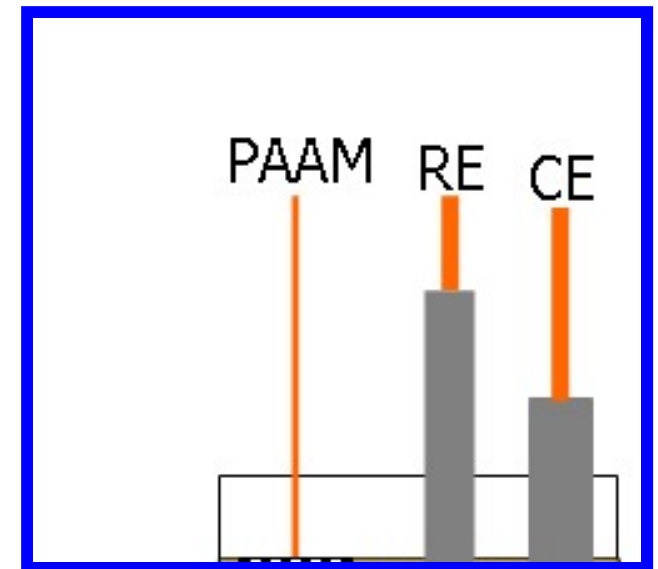
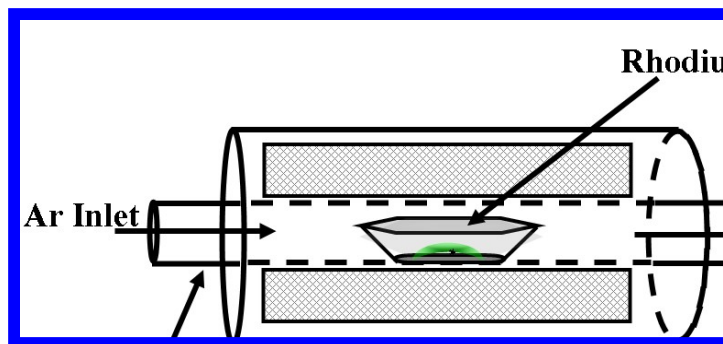
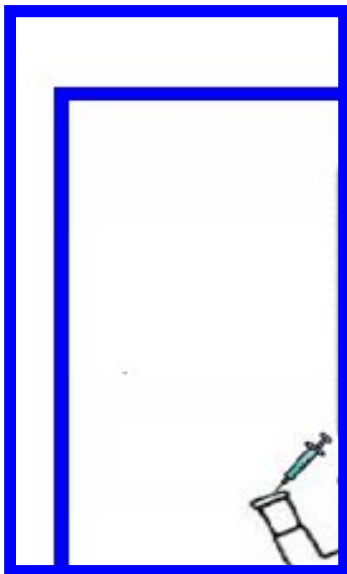
Bottom-up method

Self assembly of atoms or molecules-  
nanostructures

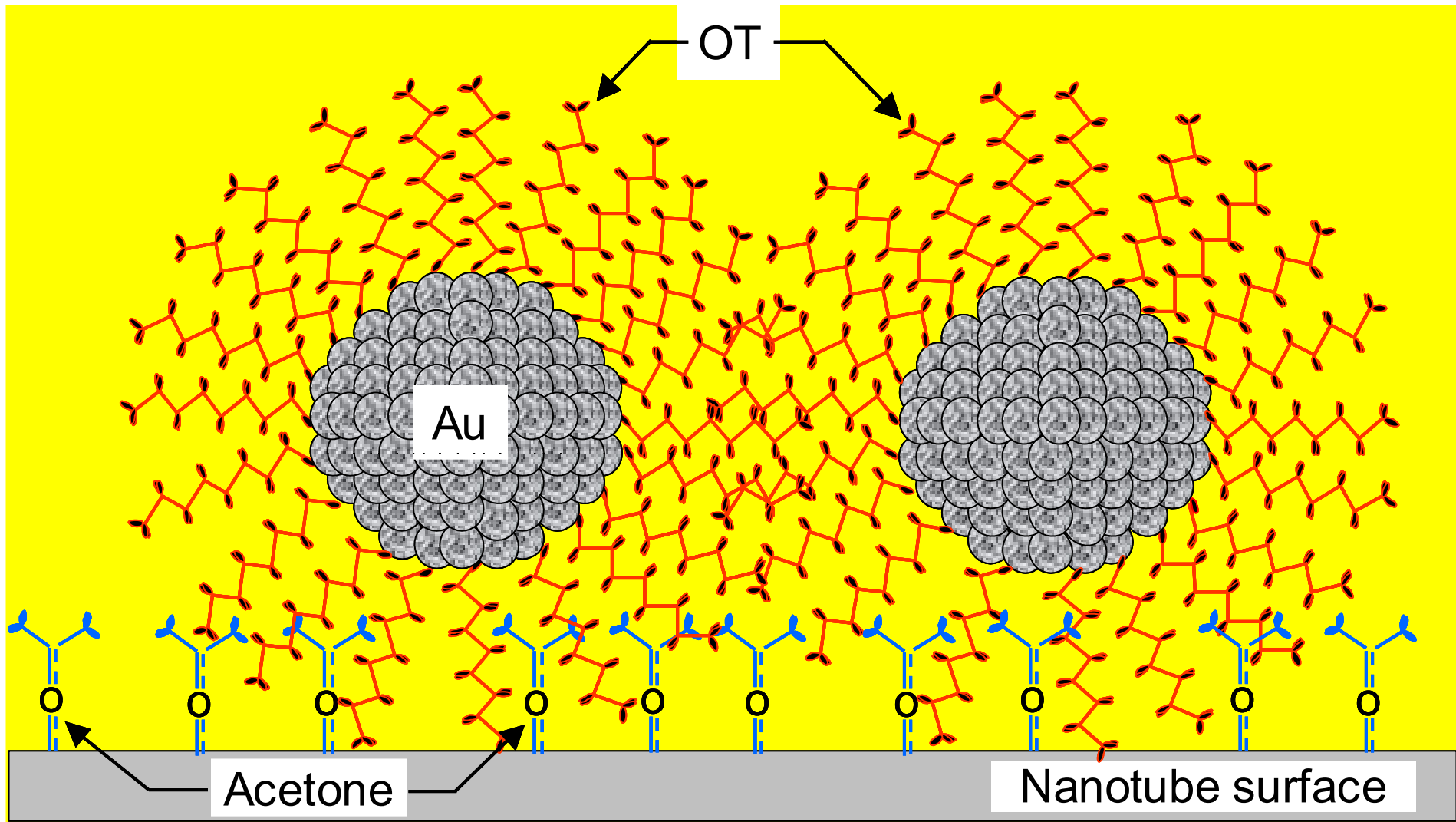
Chemical

Chemical Vapour Deposition

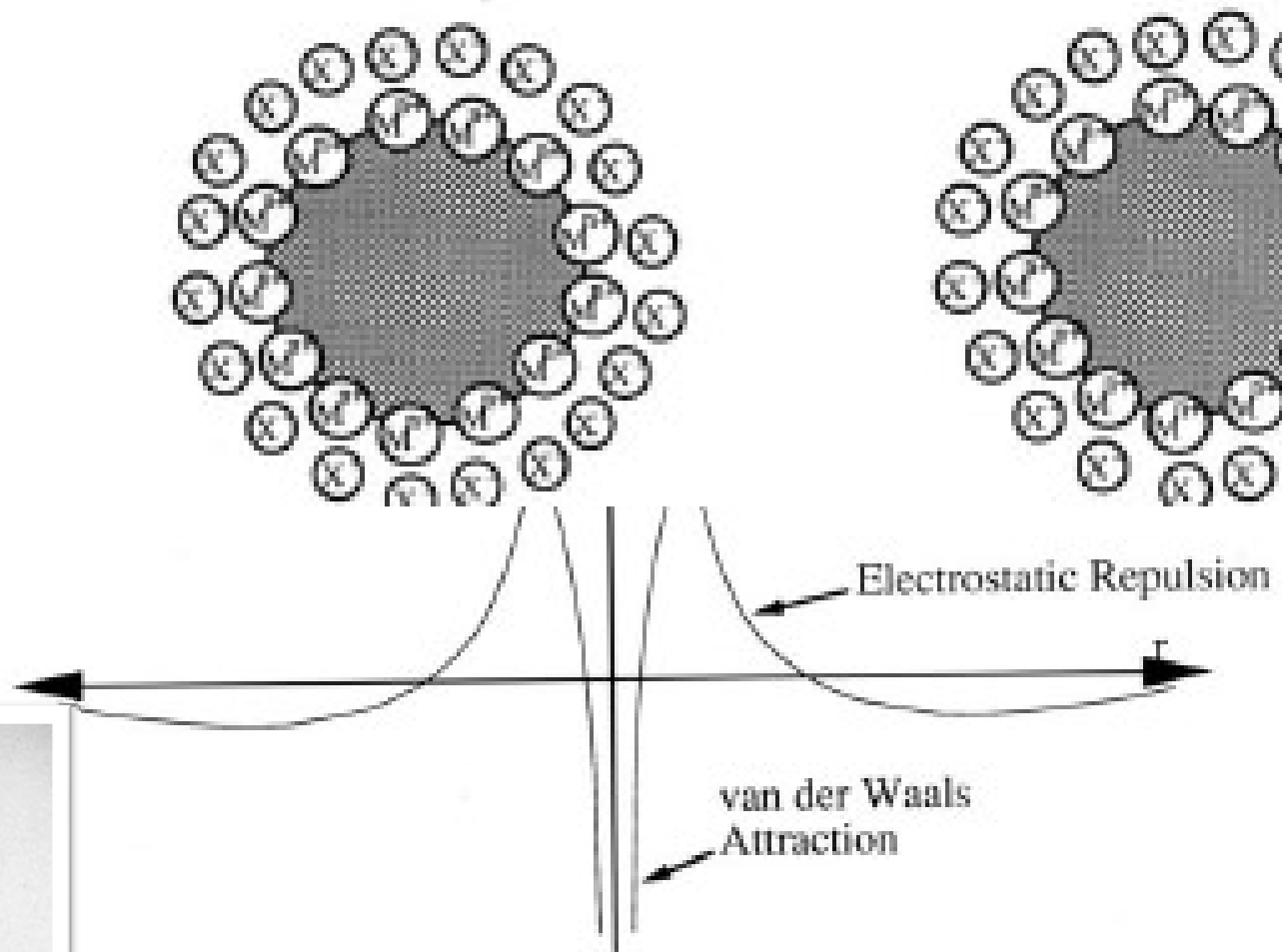
Electrochemical

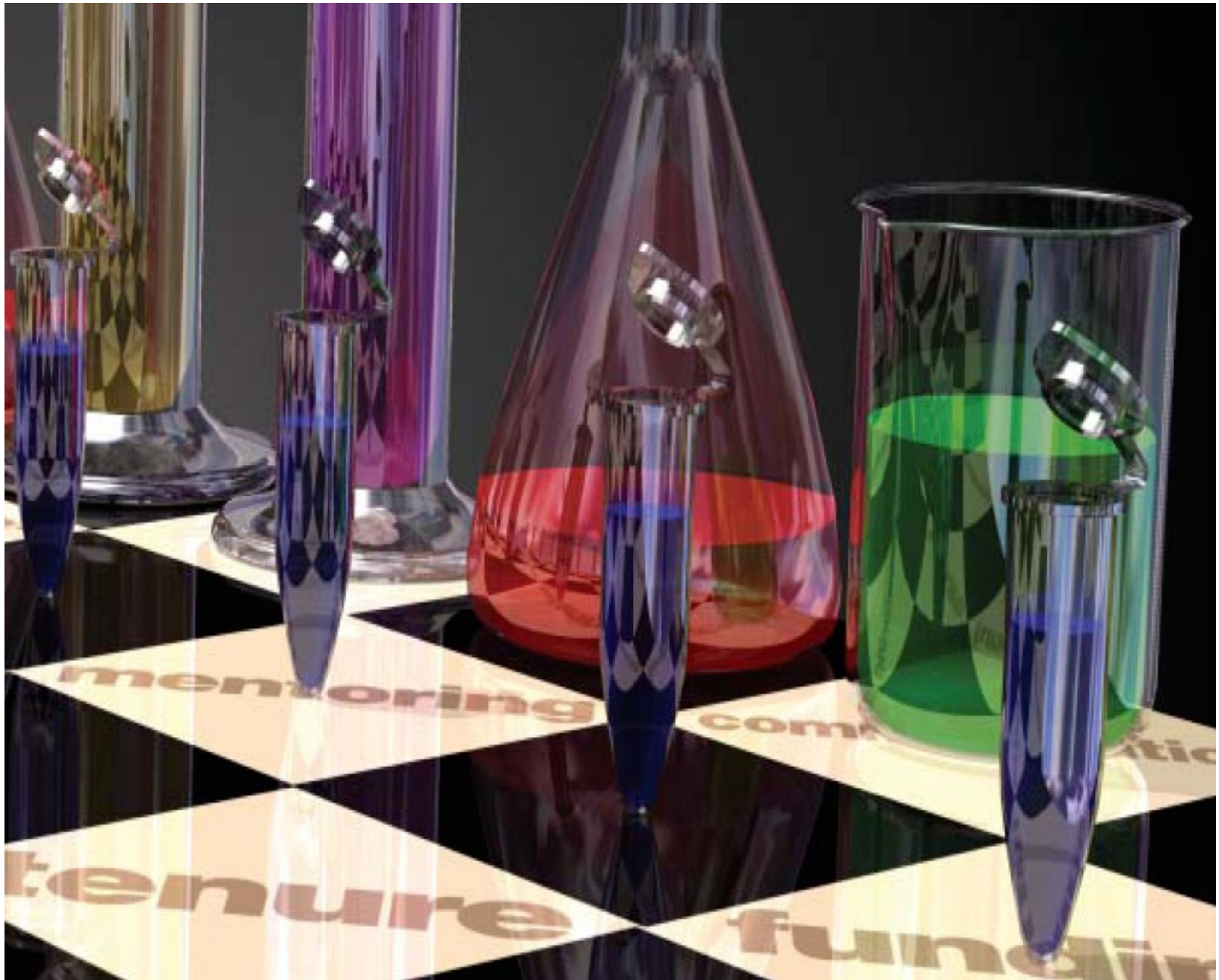


# Colloidal Synthesis Approach (Solution Chemistry)



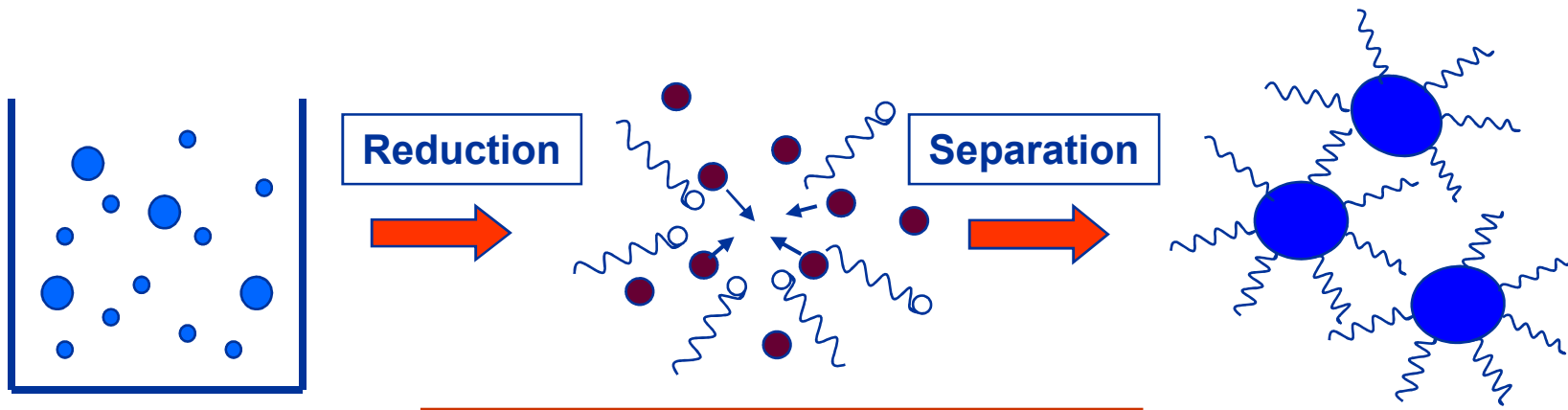
# Interactions of Colloids (Inter and intera)







# Synthesis of Colloidal nanoclusters

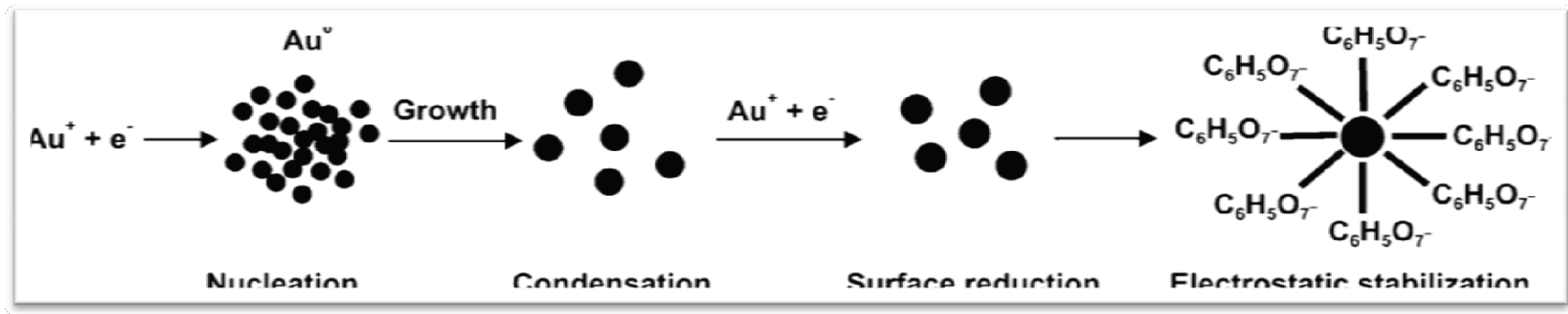


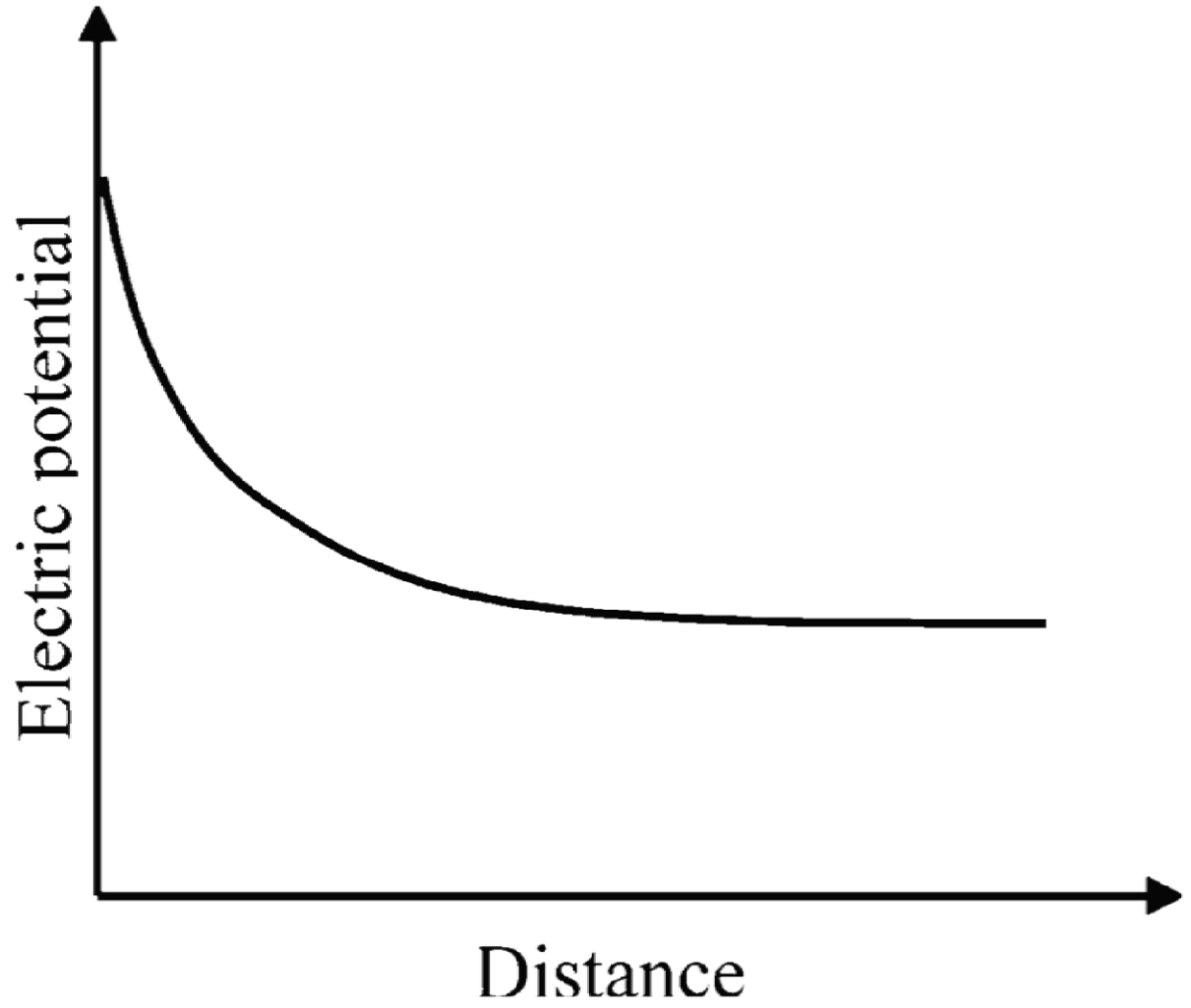
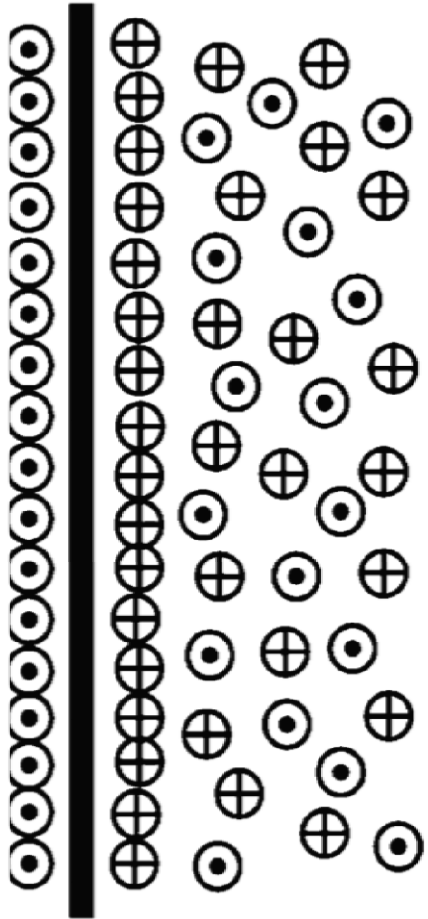
- Control of Cluster-Cluster spacing

- Size and shape

## Methods

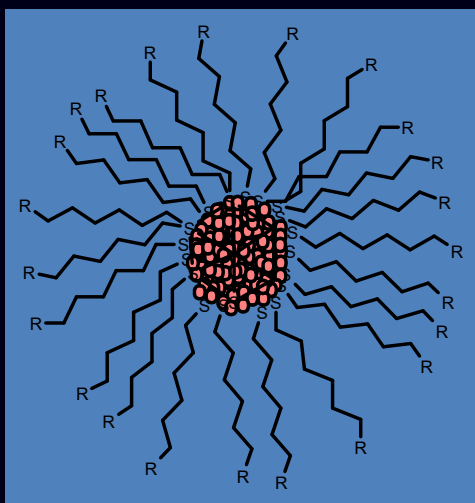
- Solubility Fractionation*
- Chromatography*
- Capillary Electrophoresis*
- Digestive Ripening*







## Monolayer Protected Clusters (MPCs)

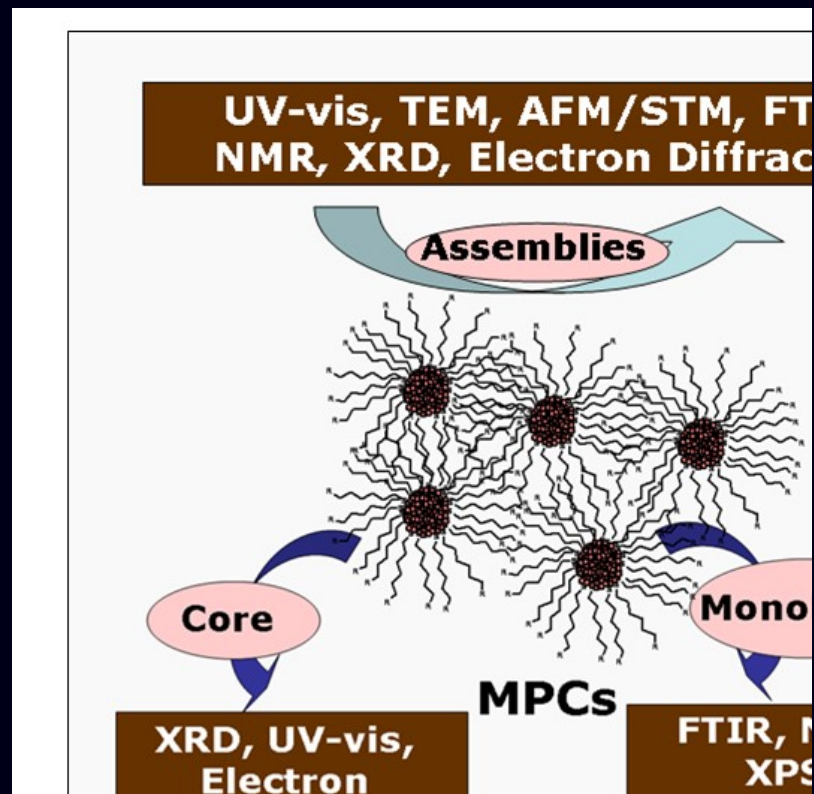


**Inorganic Core**  
(Metallic, semiconducting or insulating)

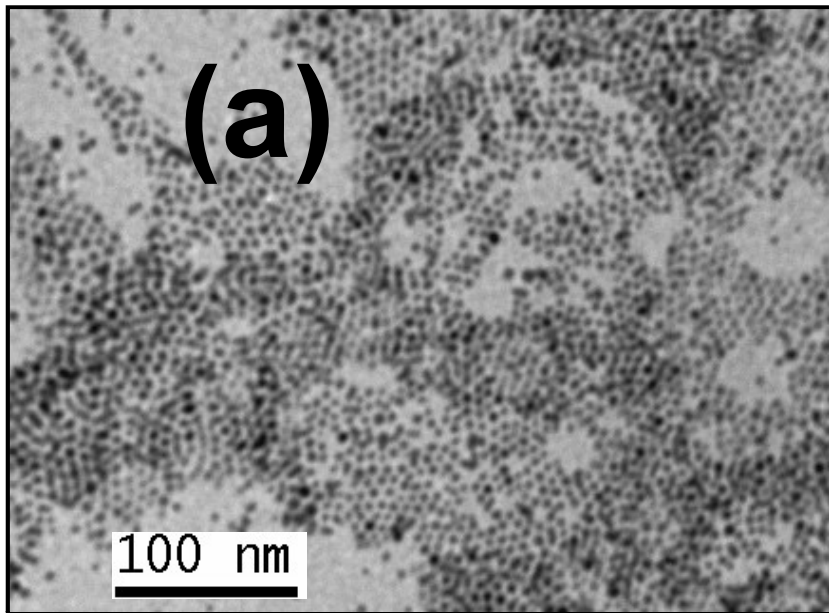
+

**Organic Monolayer**  
(Organic thiols, amines, acids etc.)

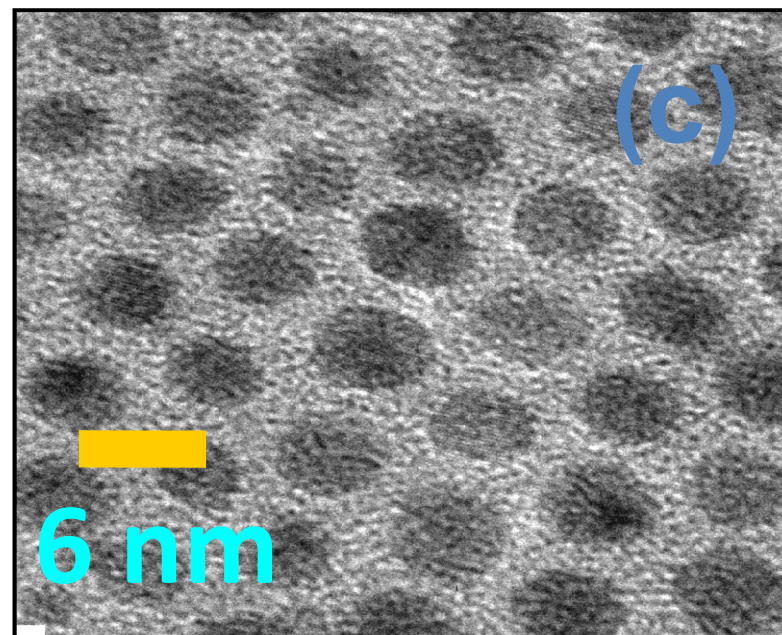
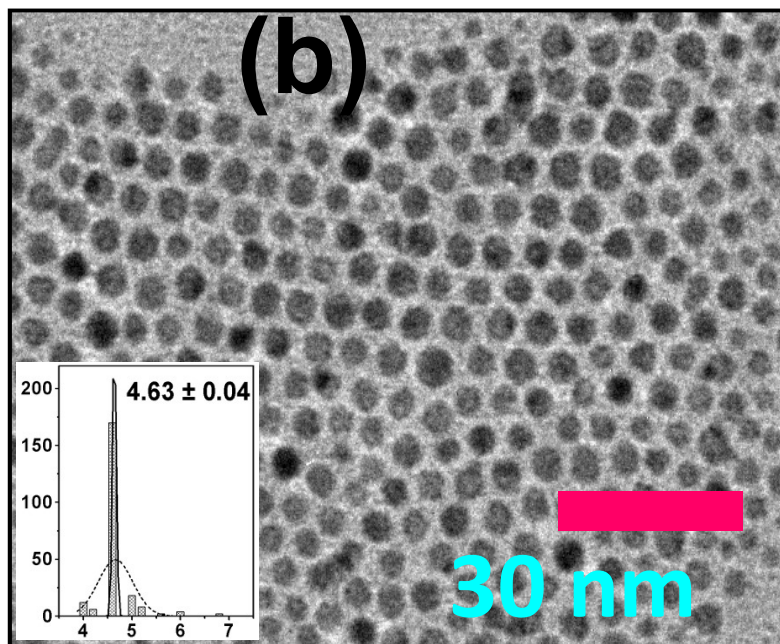
- ❖ A unique class of Inorgano-organo Hybrid Materials
- ❖ Attraction:
  - ❖ Enhanced Stability
  - ❖ Size, Shape and Capping Molecule: Flexibility
- ❖ Size dependent Catalytic, Optical, Electronic, Magnetic Property
- ❖ Nanoarchitecture/Superlattice:
  - ❖ Novel Properties Due to Collective Interactions

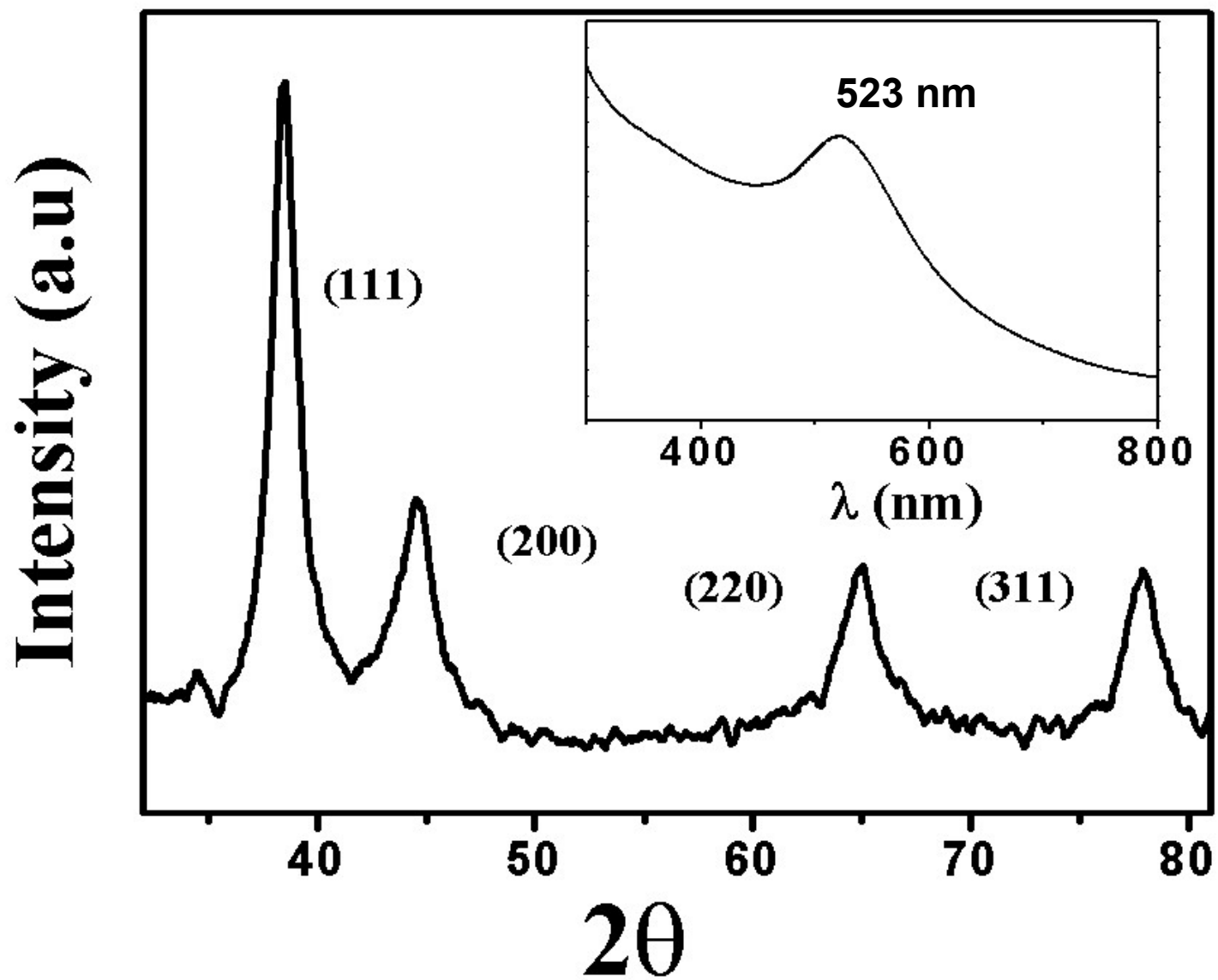


Various Characterization Tools



**TEM**

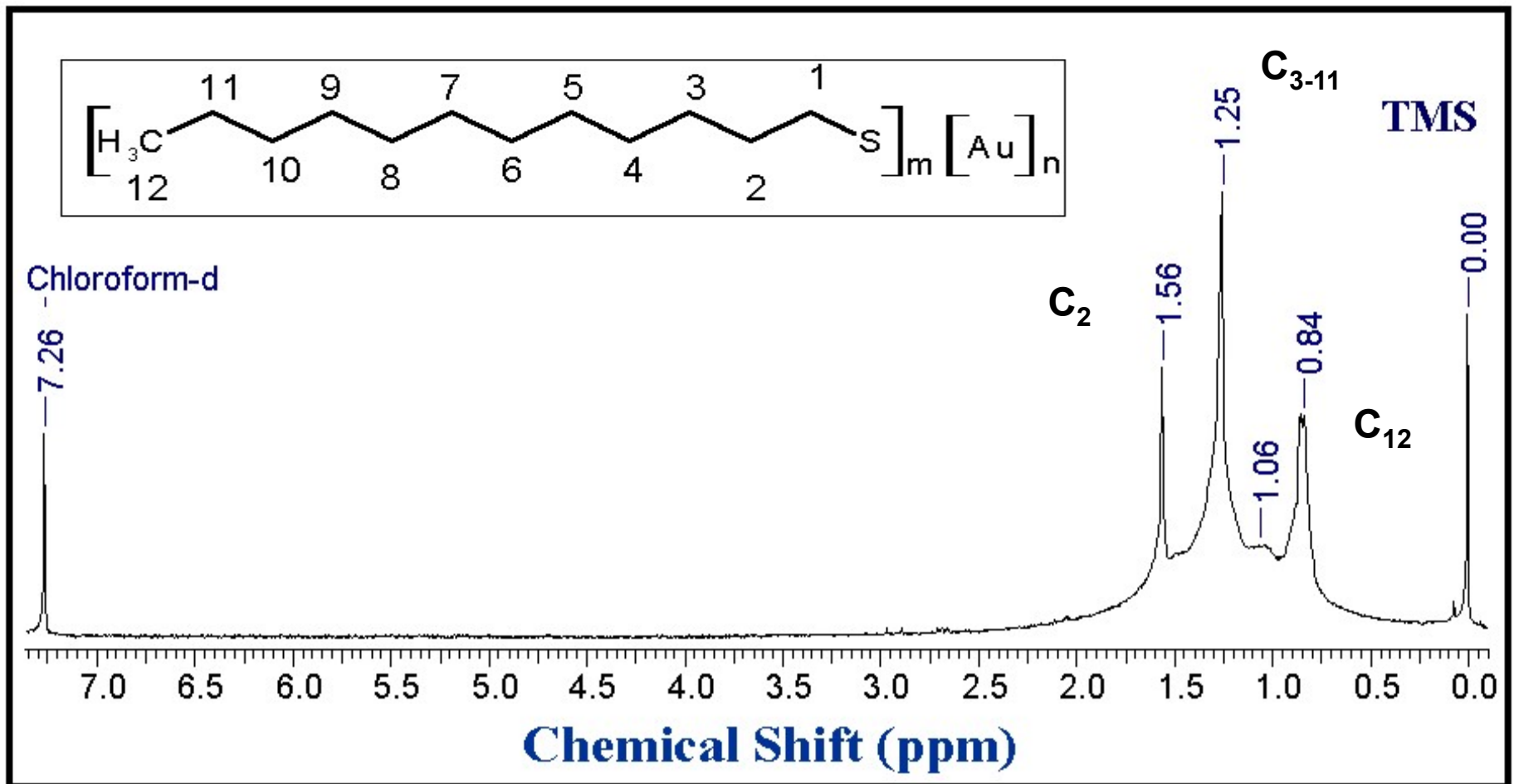




**fcc structure**

# NMR analysis

- Passivation of DDT
- Absence of unbound thiol

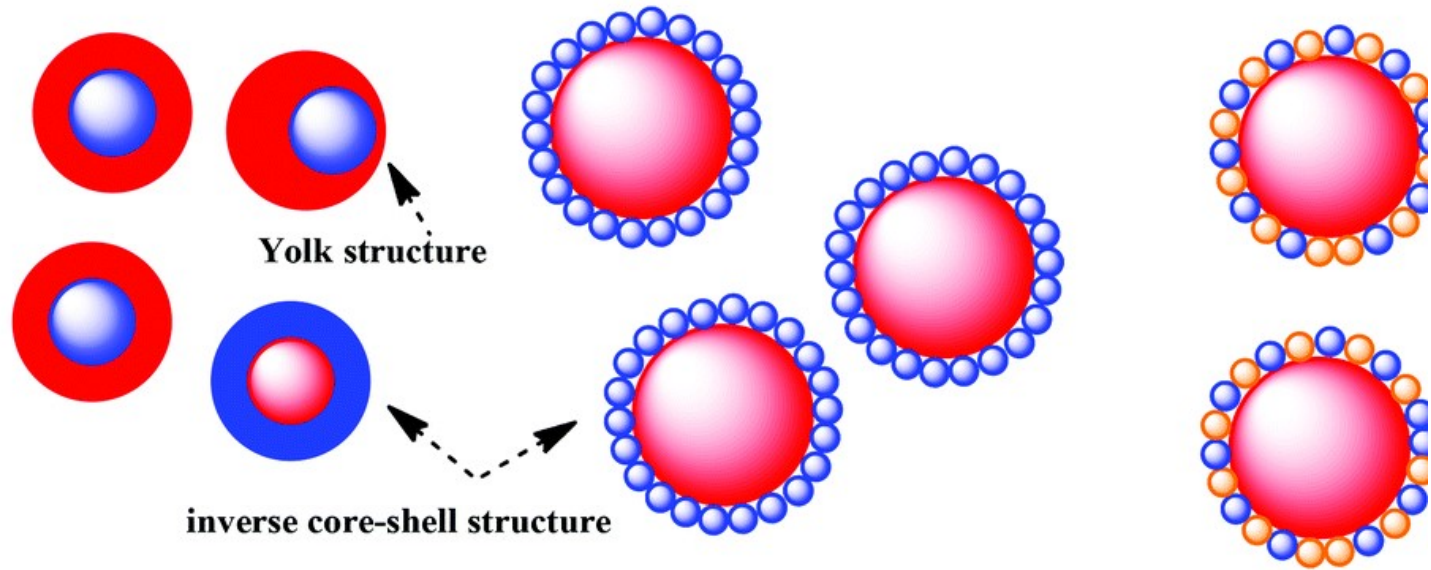




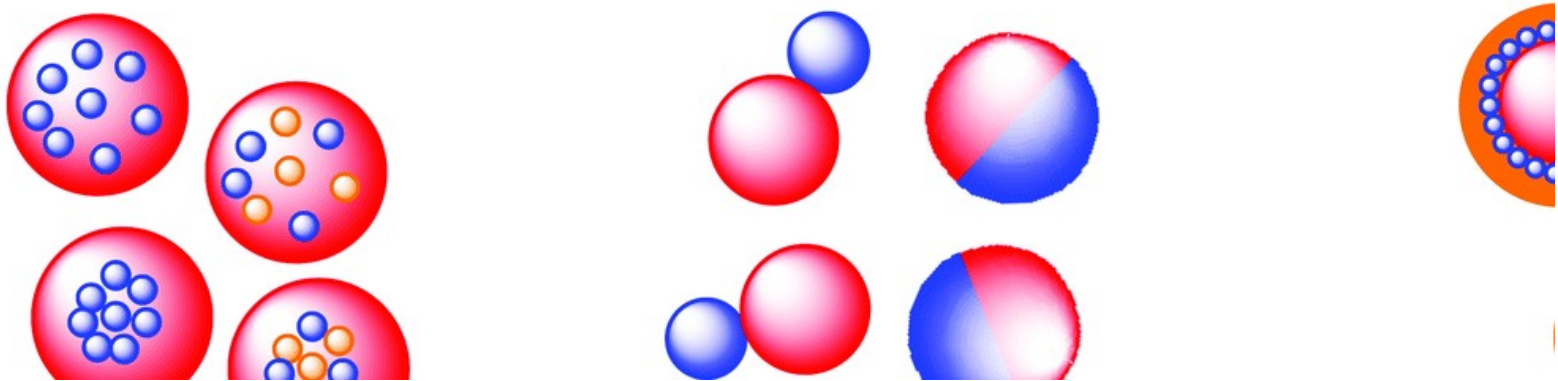
■ Magnetic IONPs

■ Semiconductor A

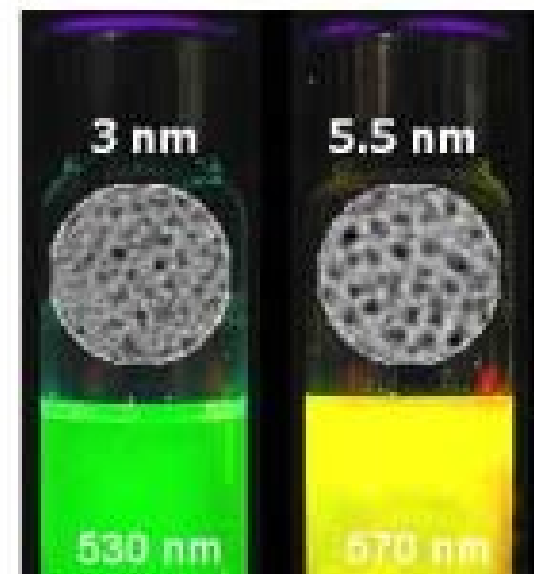
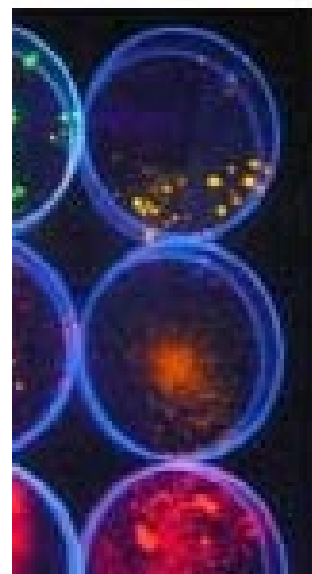
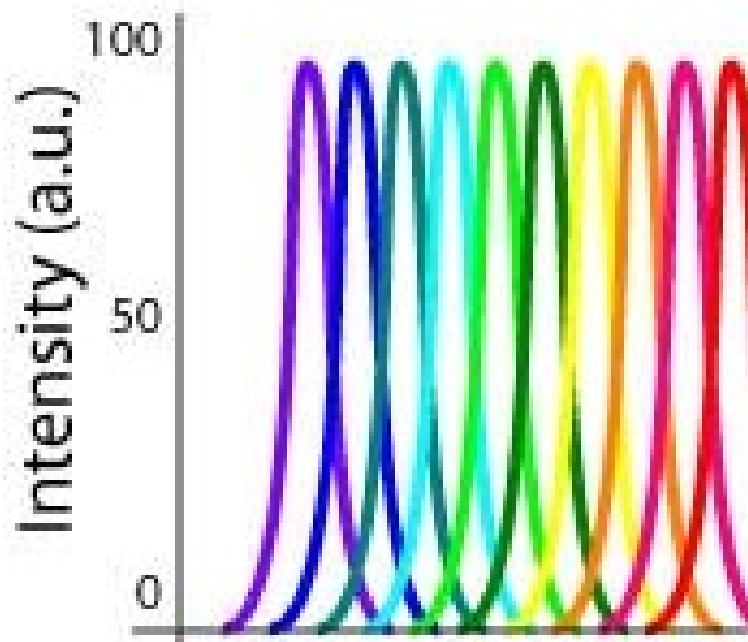
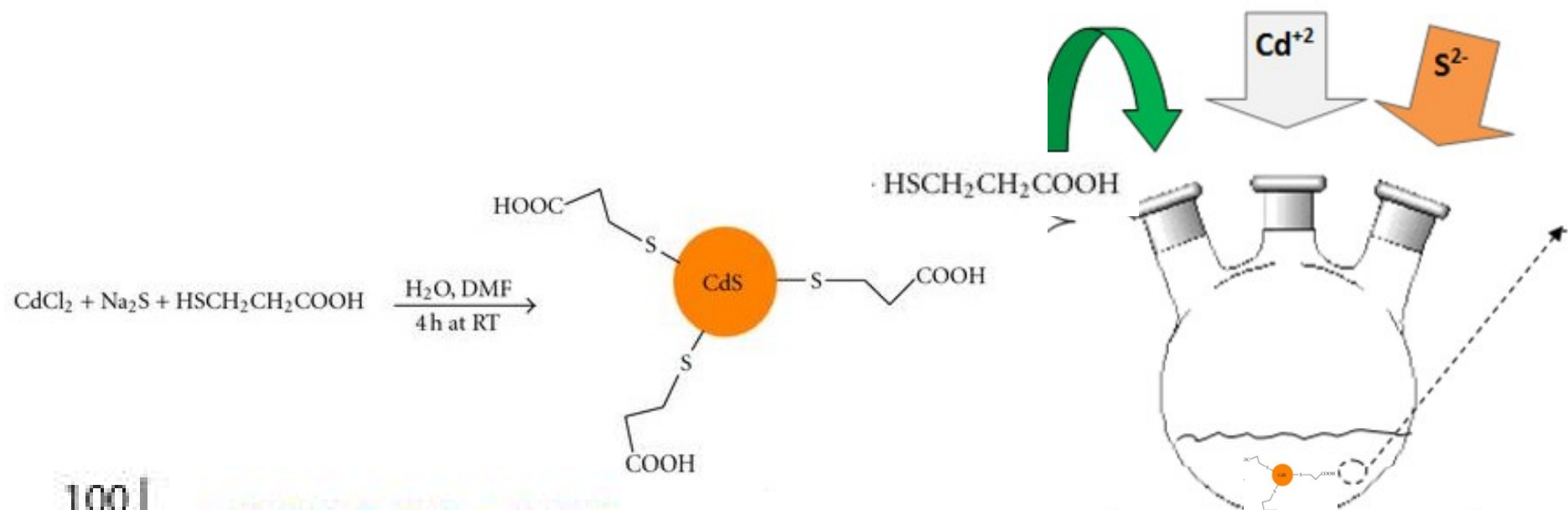
■ Semicon



## Core-Shell Structure



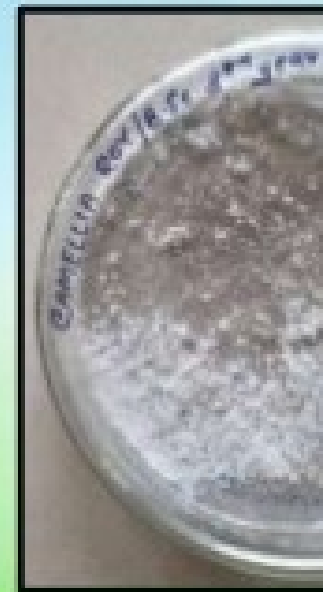
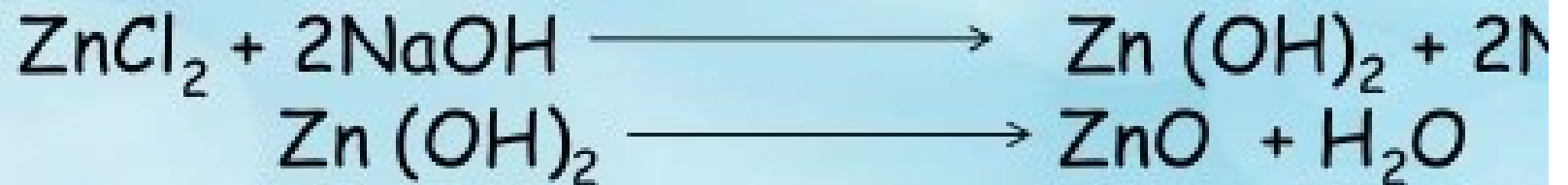
# Semiconductor(Compound) Nanoparticle: Synthesis

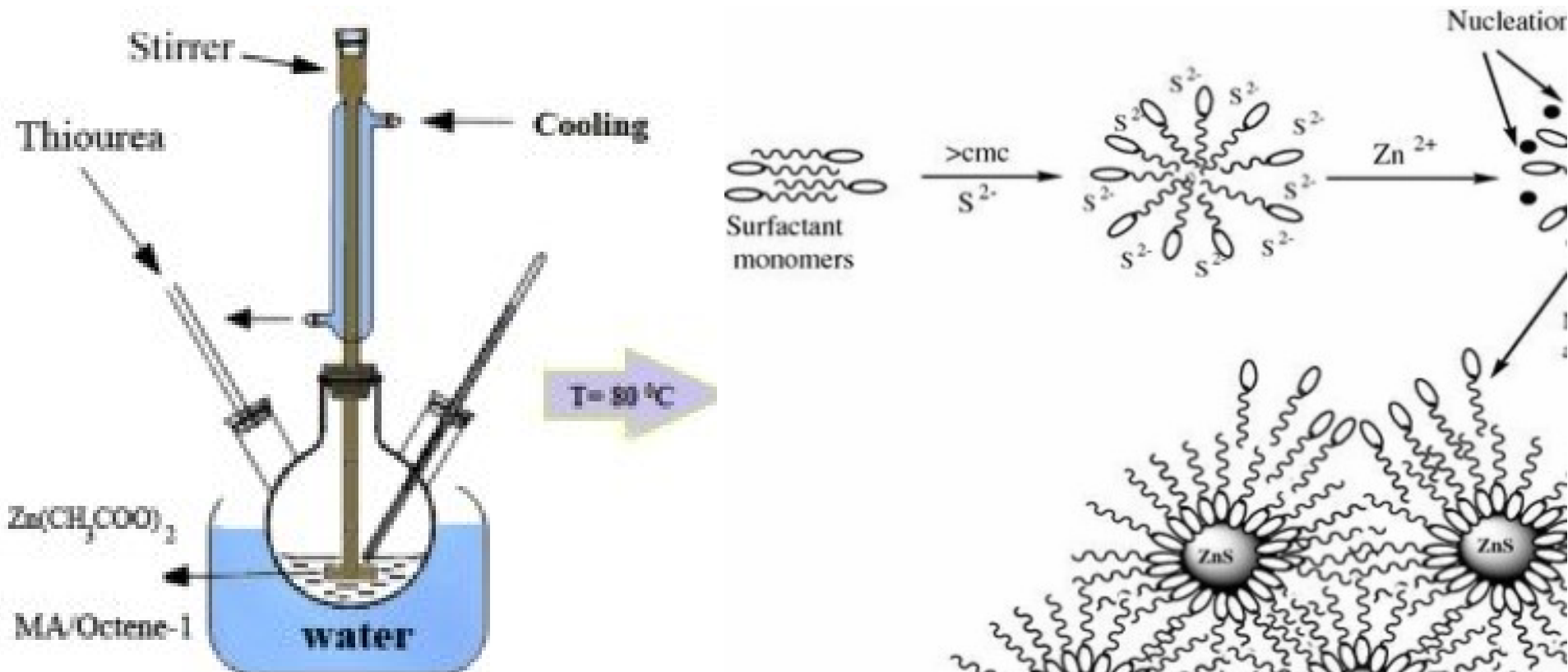


Photoluminescence Spectra of ZnS NPs

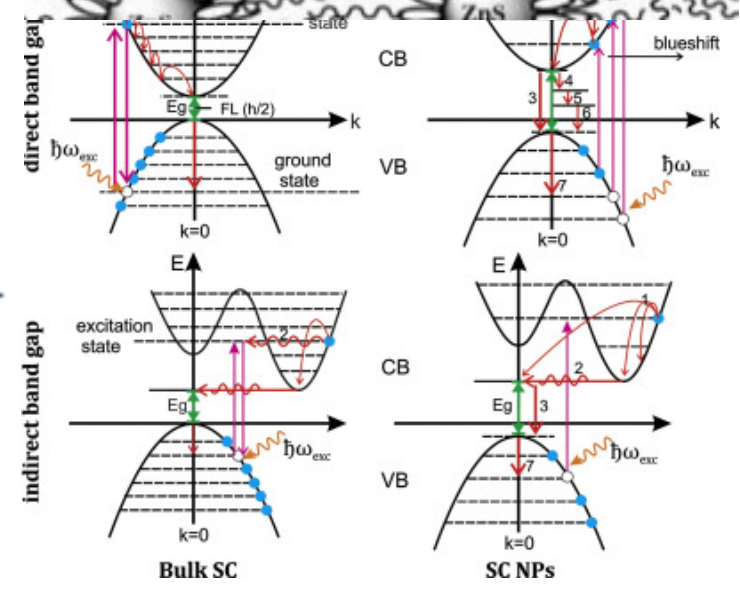
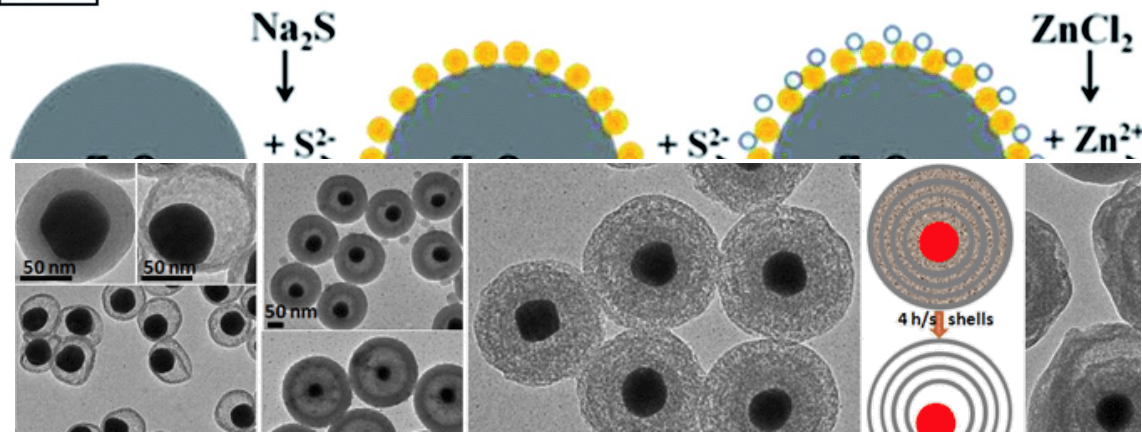
# Synthesis of Zinc oxide nanopart

## ➤ Chemical wet method





**c**



# Langmuir-Blodgett Films (

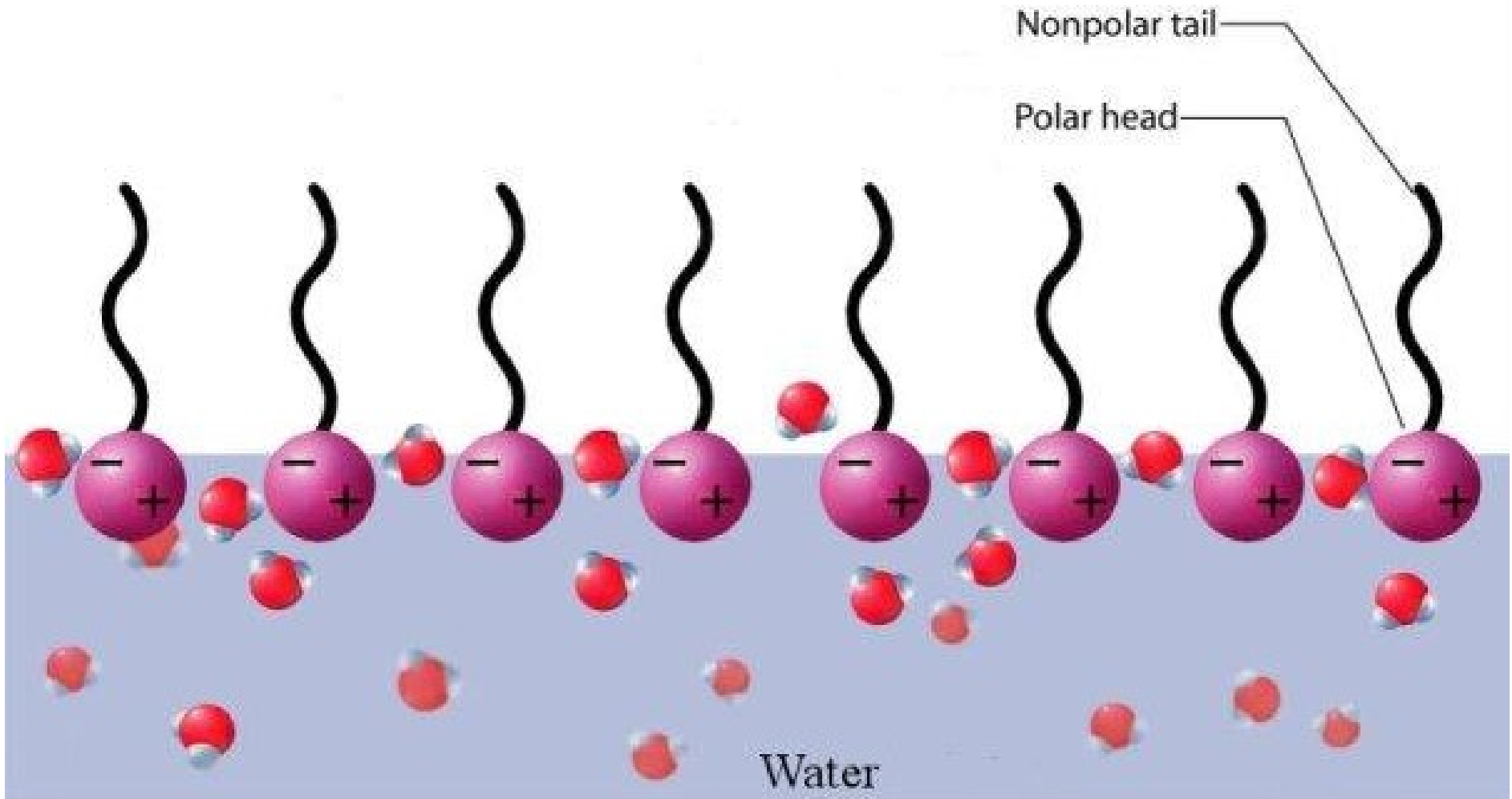
- Definition of LB films
  - History and development
- Construction with LB films
- Building simple LB SAMs
- Nano applications of LB films
  - Surface derivatized nanoparticles
  - Functionalized coatings in LB films

# Langmuir-Blodgett Films

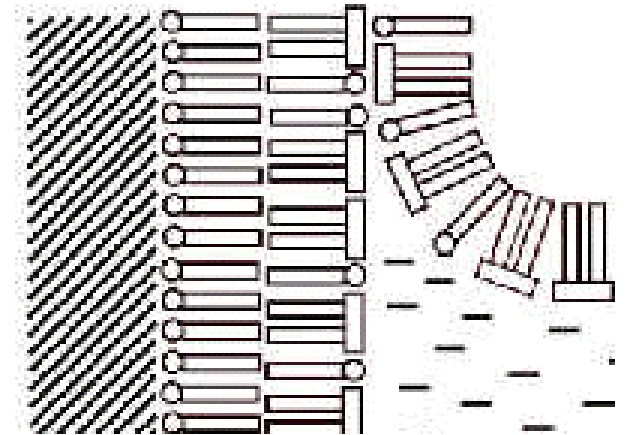
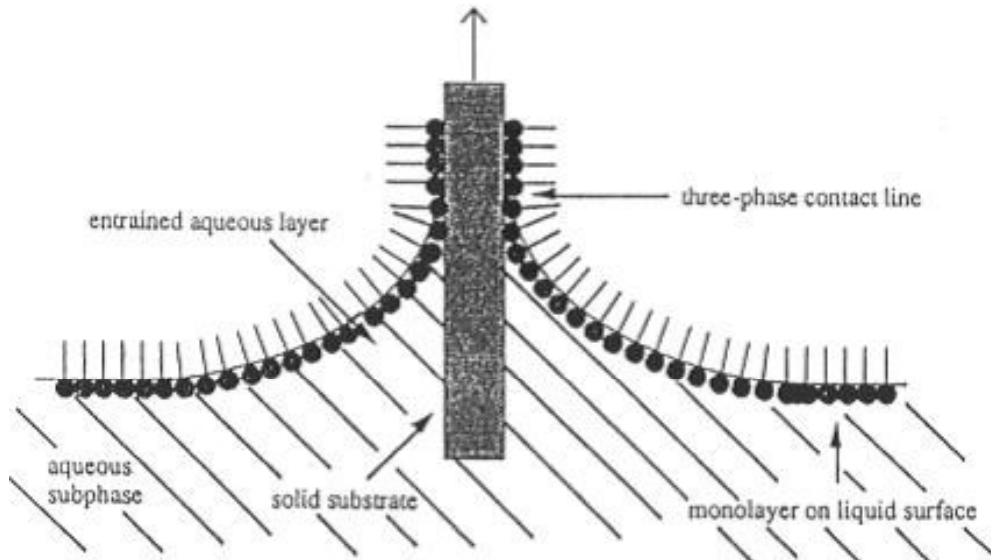
- A **Langmuir-Blodgett film** contains of one or more [monolayers](#) of an organic material, deposited from the surface of a liquid onto a solid by immersing (or emersing) the solid substrate into (or from) the liquid. A monolayer is added with each immersion or emersion step, thus films with very accurate thickness can be formed. Langmuir Blodgett films are named after [Irving Langmuir](#) and [Katherine Blodgett](#), who invented this technique. An alternative technique of creating single monolayers on surfaces is that of [self-assembled monolayers](#). Retrieved from "[http://en.wikipedia.org/wiki/Langmuir-Blodgett\\_film](http://en.wikipedia.org/wiki/Langmuir-Blodgett_film)"

**Benjamin Franklin in 1773**

**1926 Irving Langmuir and Katherine Blodgett : transfer of Langmuir monolayers onto substrates**



# Langmuir-Blodgett Films

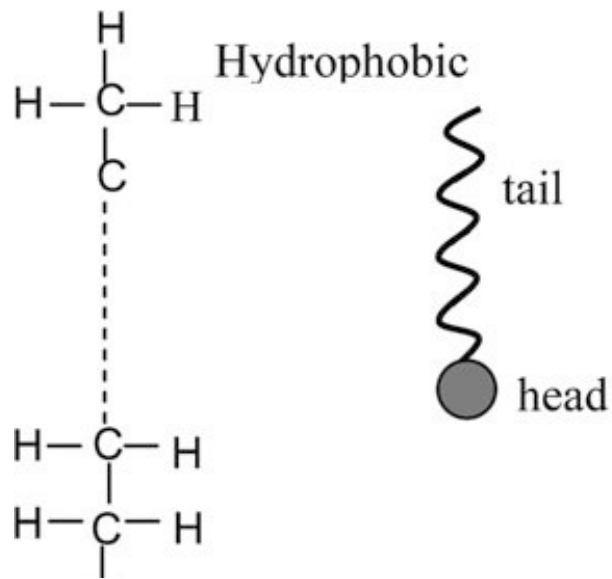


[http://www.bio21.bas.bg/ibf/PhysChem\\_dept.html](http://www.bio21.bas.bg/ibf/PhysChem_dept.html)

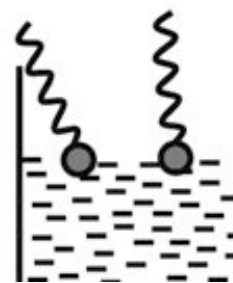
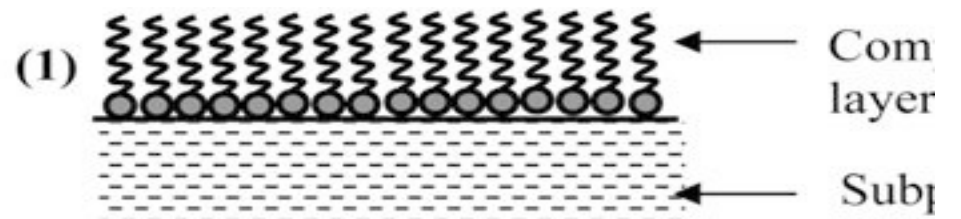
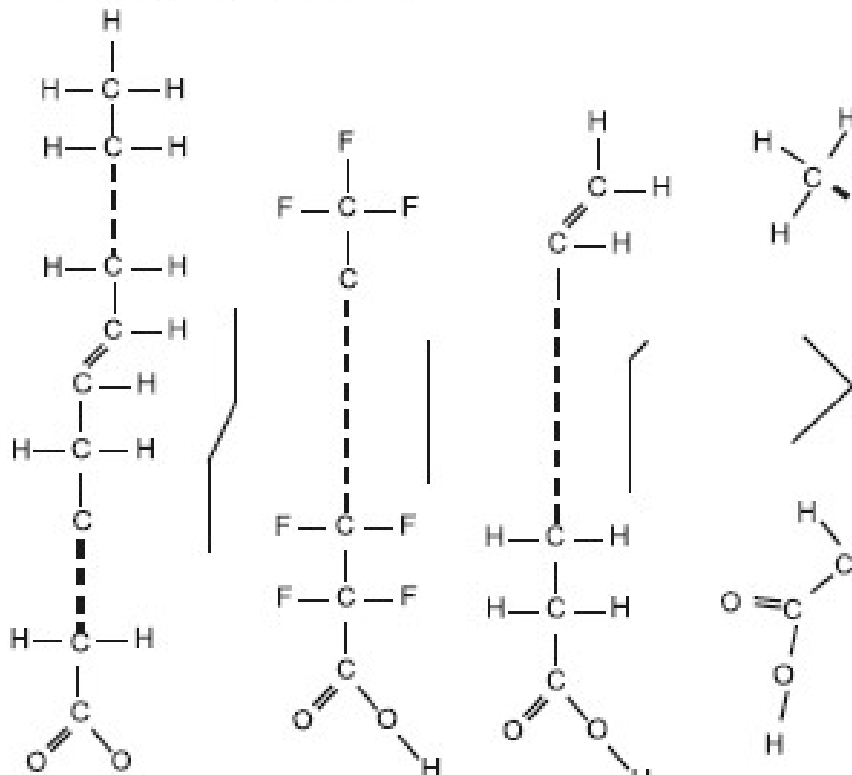
[http://www.ksvltd.com/pix/keywords\\_html\\_m4b17b42d.jpg](http://www.ksvltd.com/pix/keywords_html_m4b17b42d.jpg)

**Deposition of Langmuir-Blodgett molecular assemblies of lipids on solid substrates.**

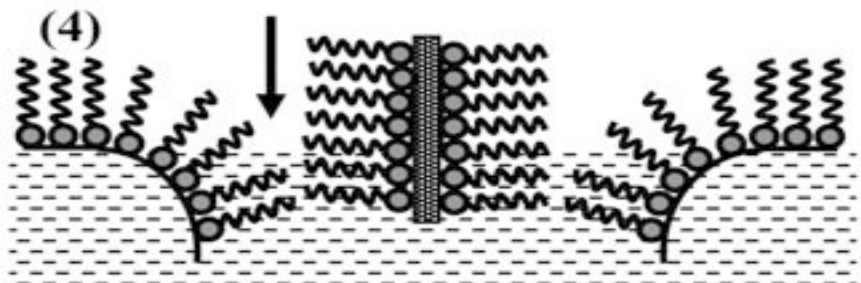
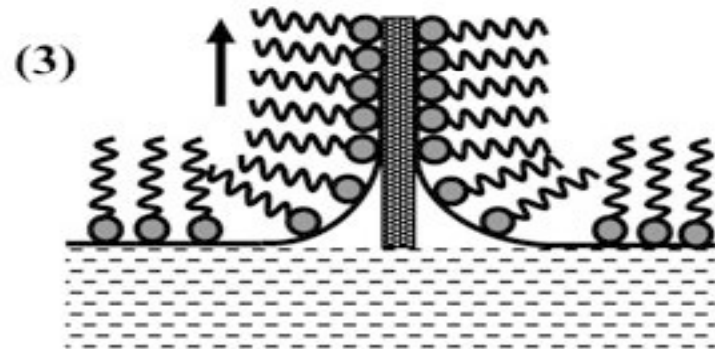
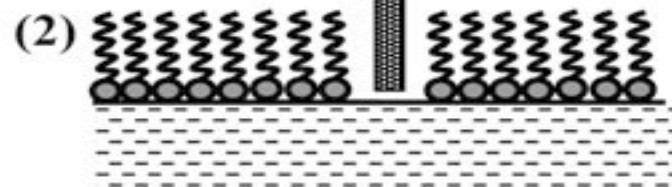




Modified hydrophobic tail



substrate



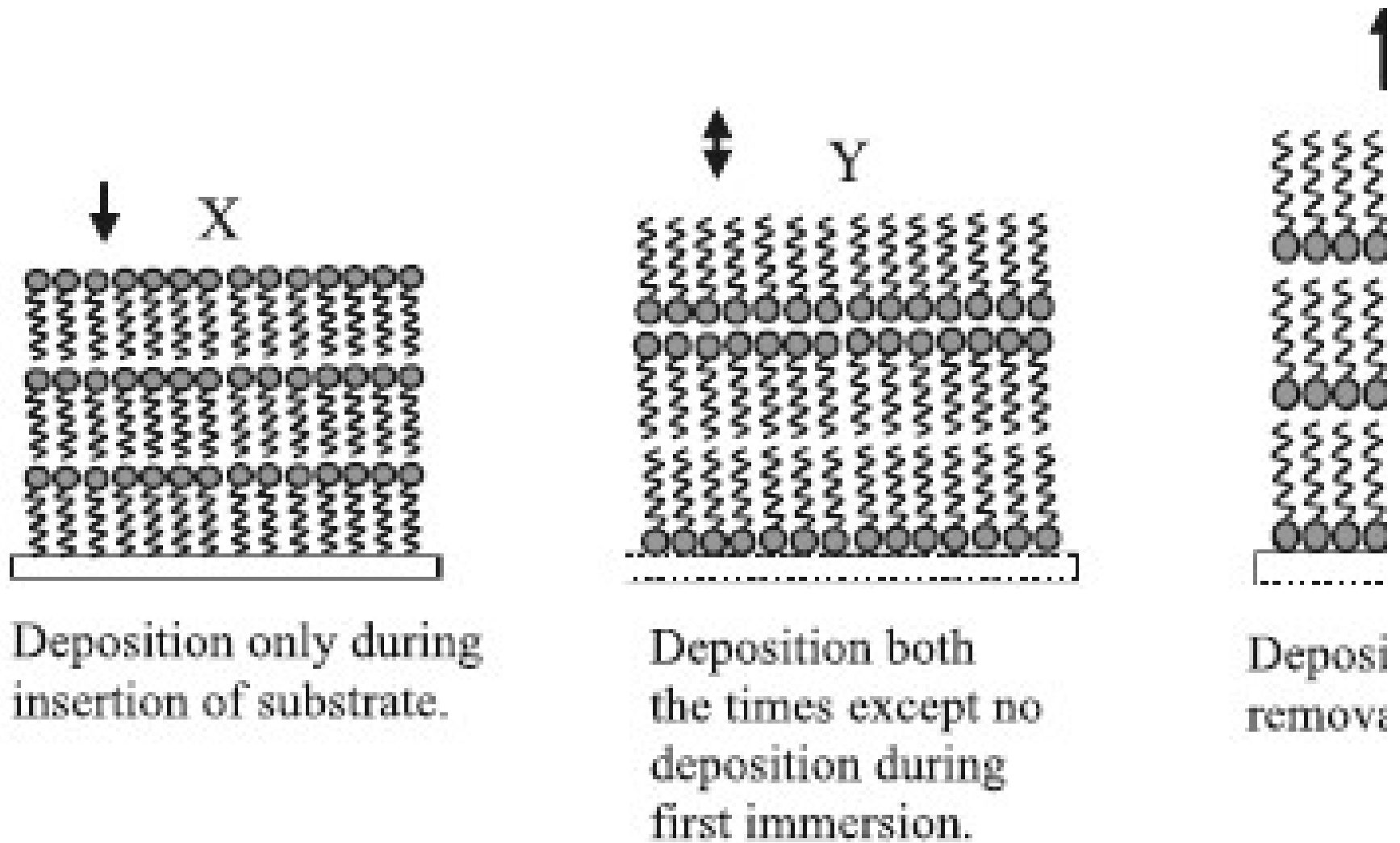


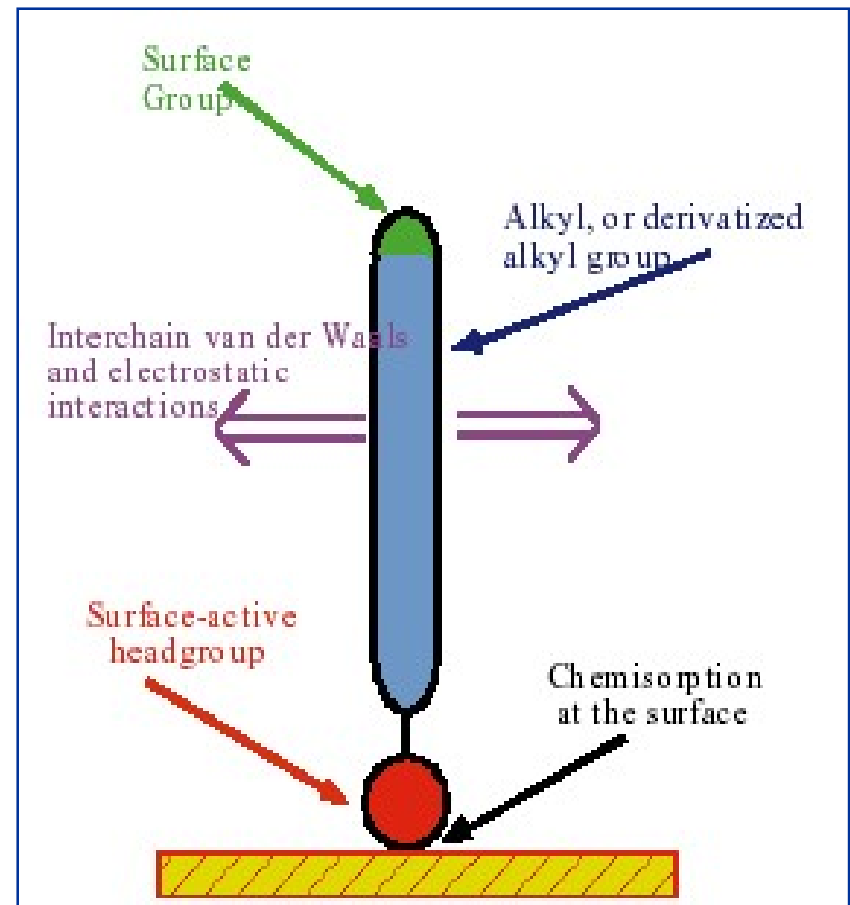
Fig. 4.22 X, Y and Z type L-B films



# Thermodynamics of Langmuir-Blodgett Films

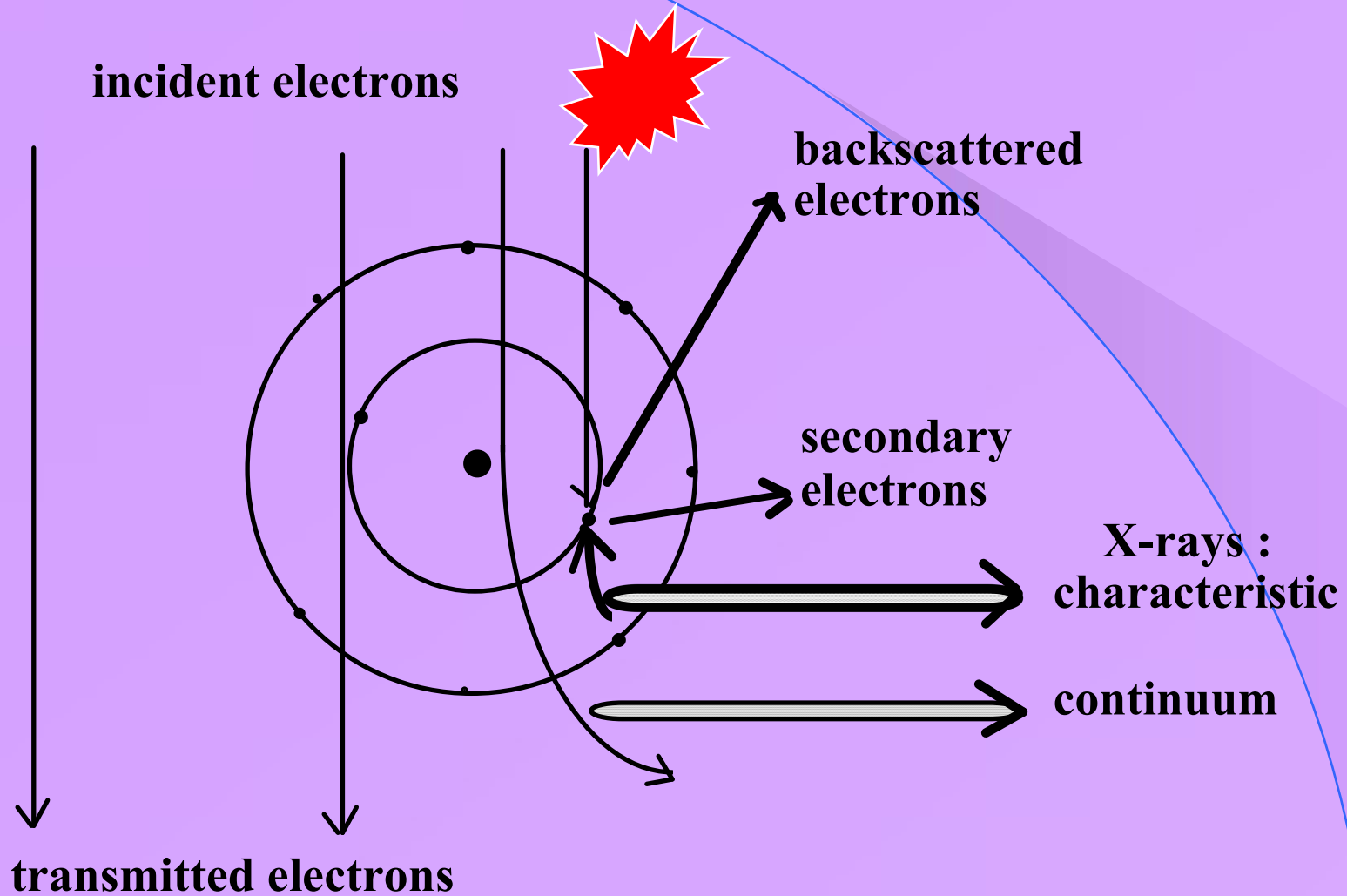
The free energy of a self-assembled monolayer is minimized because of three main processes:

- ❖ Chemisorption of the surfactant onto the surface,  $\sim 40\text{-}45$  kcal/mole
- ❖ Interchain van der Waals interaction,  $< 10$  kcal/mole
- ❖ Terminal Functionality,  $\sim 0.7\text{-}1.0$  kcal/mole for  $\text{CH}_3$  termination



# Characterization of Nanomaterials

# *when electrons hit matter ..*



# *when electrons hit matter ..*

(1) they may collide with an inner shell electron, ejecting same

> the ejected electron is a low-energy, *secondary* electron detected & used to form SEM images

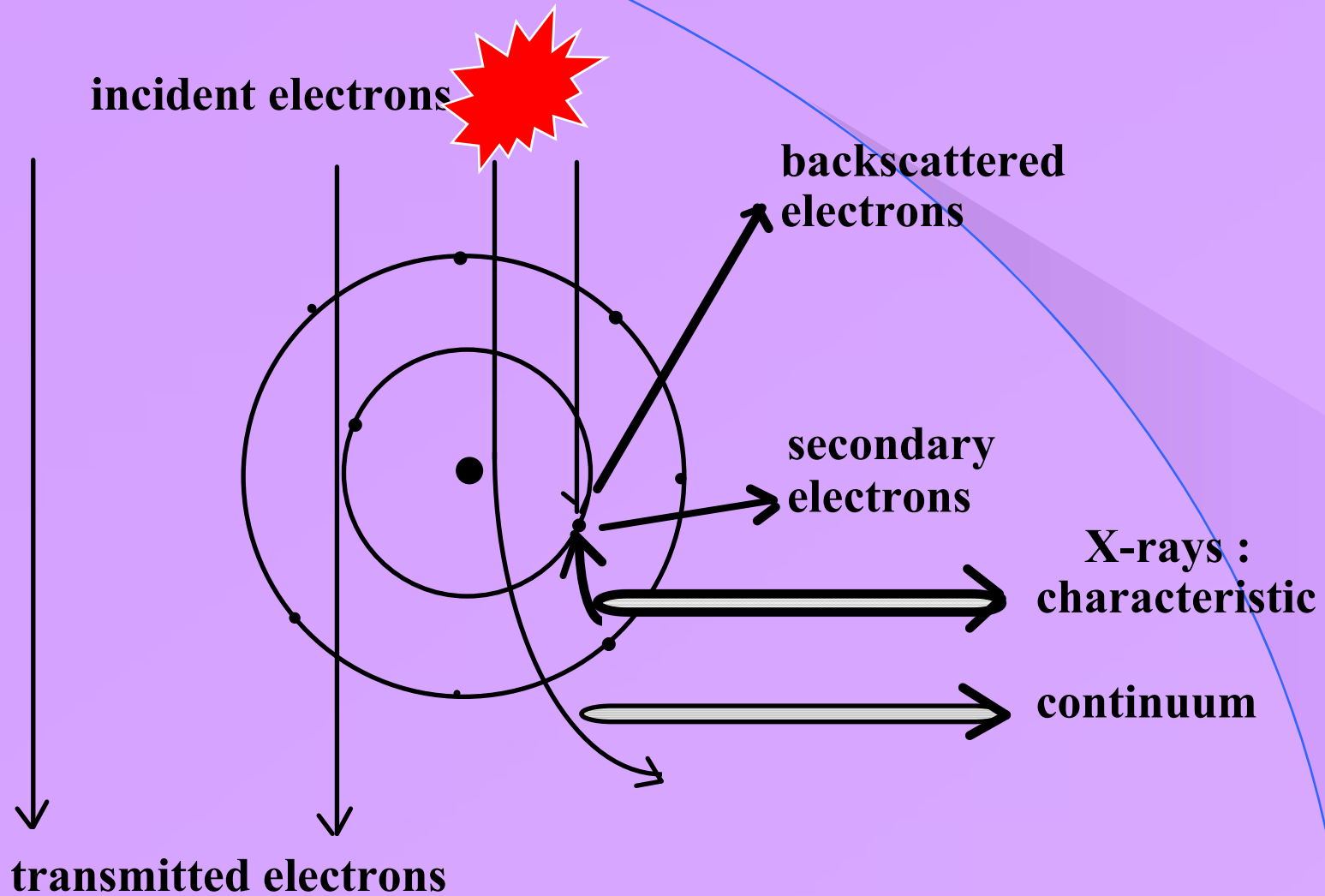
> the original high-energy electron is scattered

- known as a 'back-scattered' electron (SEM use)

> an outer-shell electron drops into the position formerly occupied by the ejected electron

> this is a *quantum process*, so a X-ray photon of precise wavelength is emitted - basis for *X-ray microanalysis*

# *when electrons hit matter ..*

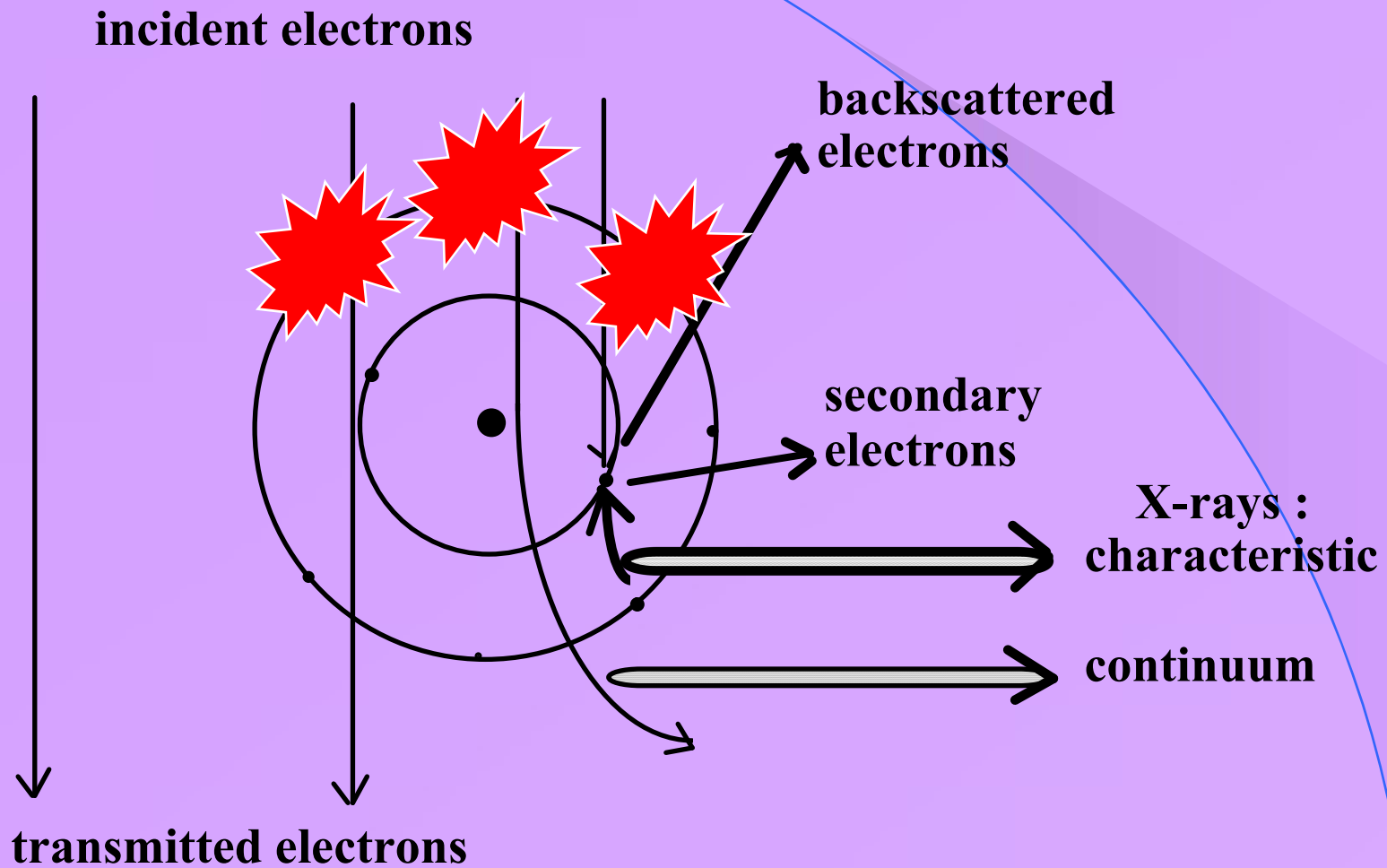


# *when electrons hit matter ..*

- (2) they may collide or nearly collide with an atomic nucleus
  - > undergo varying degree of deflection (inelastic scattering)
  - > undergo loss of energy - again varying
  - > lost energy appears as X-rays of *varying wavelength*
  - > this X-ray continuum is identical to that originating from an X-ray source/generator (medical, XRC etc)
  - > original electrons scattered *in a forward direction* will enter the imaging system, but with 'wrong'  $\lambda$
  - > causes a 'haze' and loss of resolution in image



# *when electrons hit matter ..*



# *when electrons hit matter ..*

(3) they may collide with *outer shell electrons*

> *either* removing *or* inserting an electron

> results in *free radical* formation

> this species is extremely chemically active

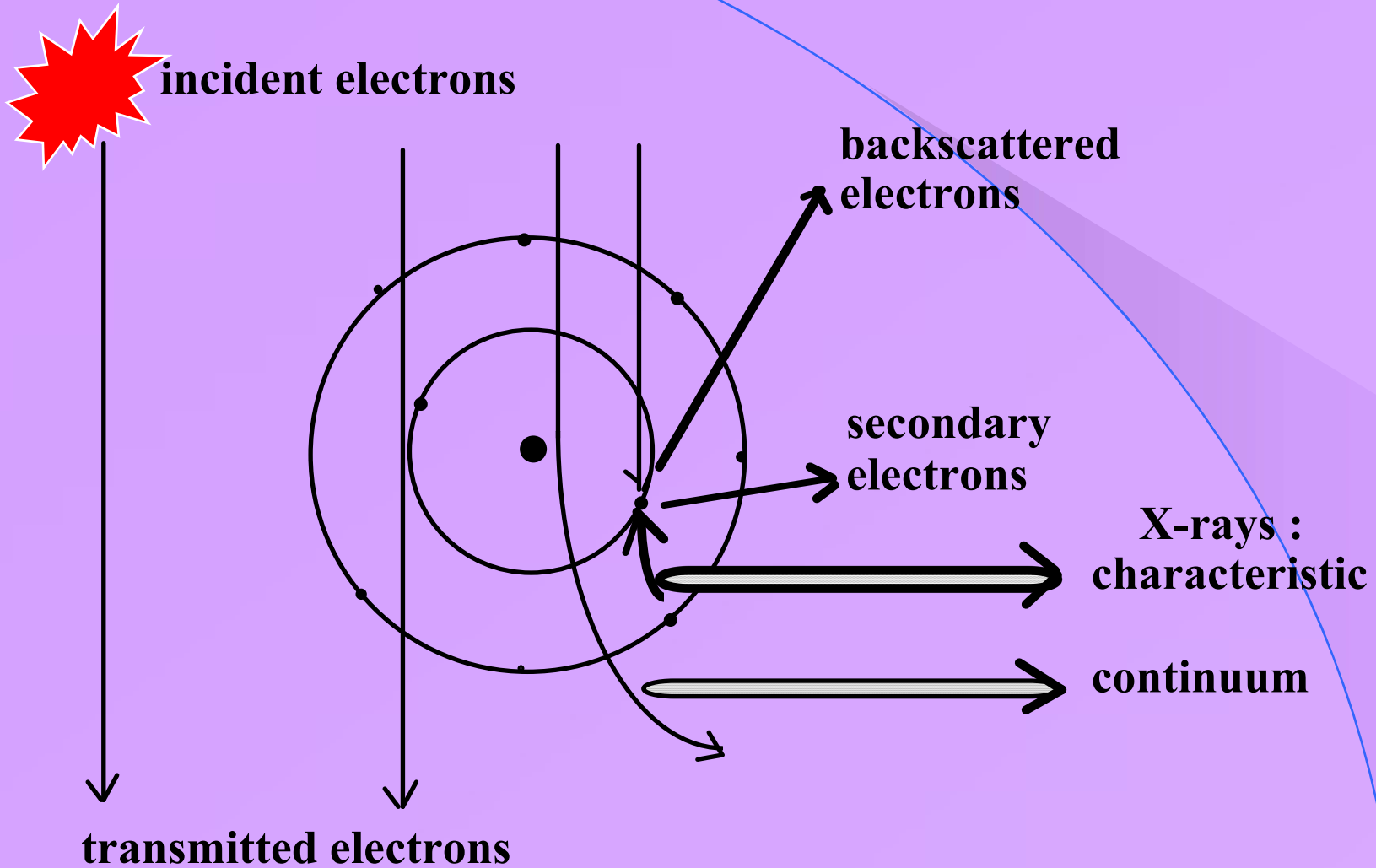
> reactions with neighbouring atoms induce massive change in the specimen, especially in the light atoms

> this *radiation damage* severely limits possibilities of EM

> examination of cells in the live state NOT POSSIBLE

> all examinations need to be as brief (low dose) as possible

# *when electrons hit matter ..*



# *when electrons hit matter ..*

(4) they may pass through unchanged

> these *transmitted electrons* can be used to form an image

> this is called imaging by *subtractive contrast*

> can be recorded by either

(a) TV-type camera (CCD) - very expensive

(b) photographic film - direct impact of electrons

Photographic film

> silver halide grains detect *virtually every electron*

> at least *50x more efficient than photon capture !*

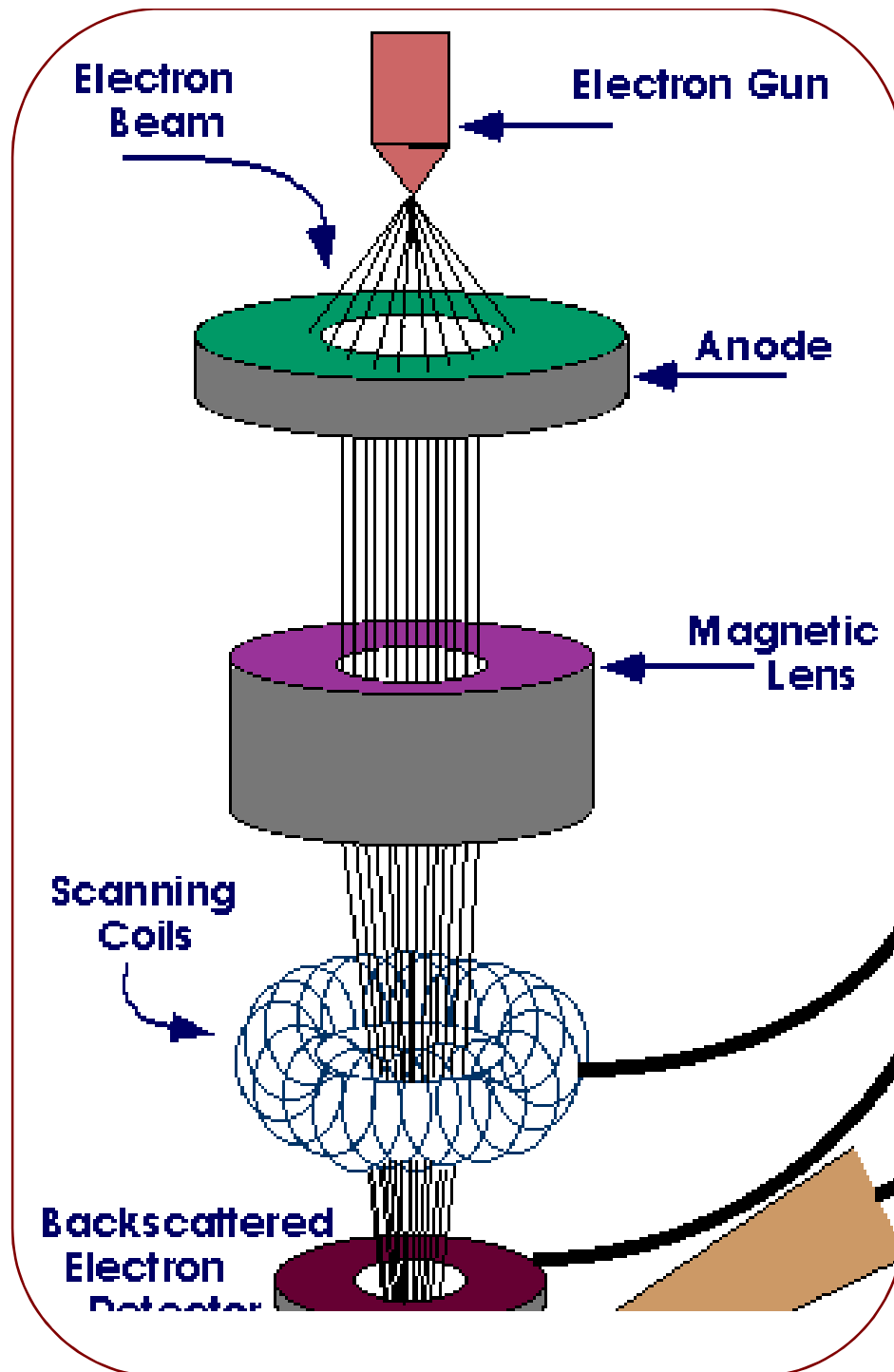
# Scanning Electron Microscopy

- The **scanning electron microscope (SEM)** is a type of electron microscope that images the sample surface by scanning it with a high-energy beam of electrons in a raster scan pattern. The electrons interact with the atoms that make up the sample producing signals that contain information about the sample's surface topography, composition and other properties such as electrical conductivity.
- Signals: From secondary electrons, back-scattered electrons

# The Scanning Electron Microscope



- uses electrons reflected from the surface of a specimen to create image
- produces a 3D image of specimen's surface features



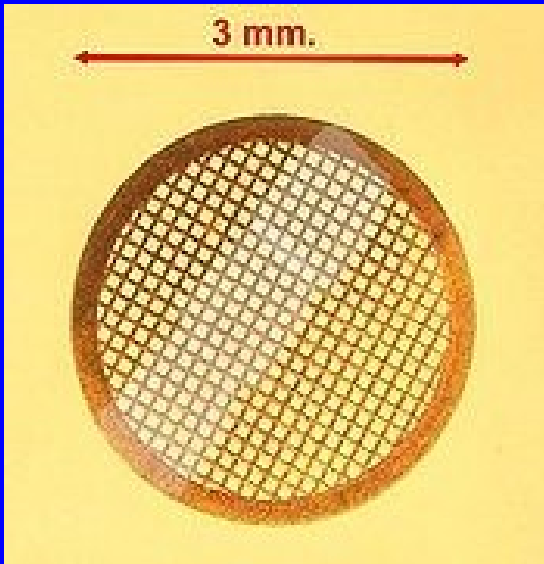
# Strength and Limitations Of SEM

- Low vacuum  $\sim 10^{-5}$  torr
- User Friendly
- Wet samples also
- Elemental analysis (EDS)
  
- Insulating samples cannot be analyzed
  
-



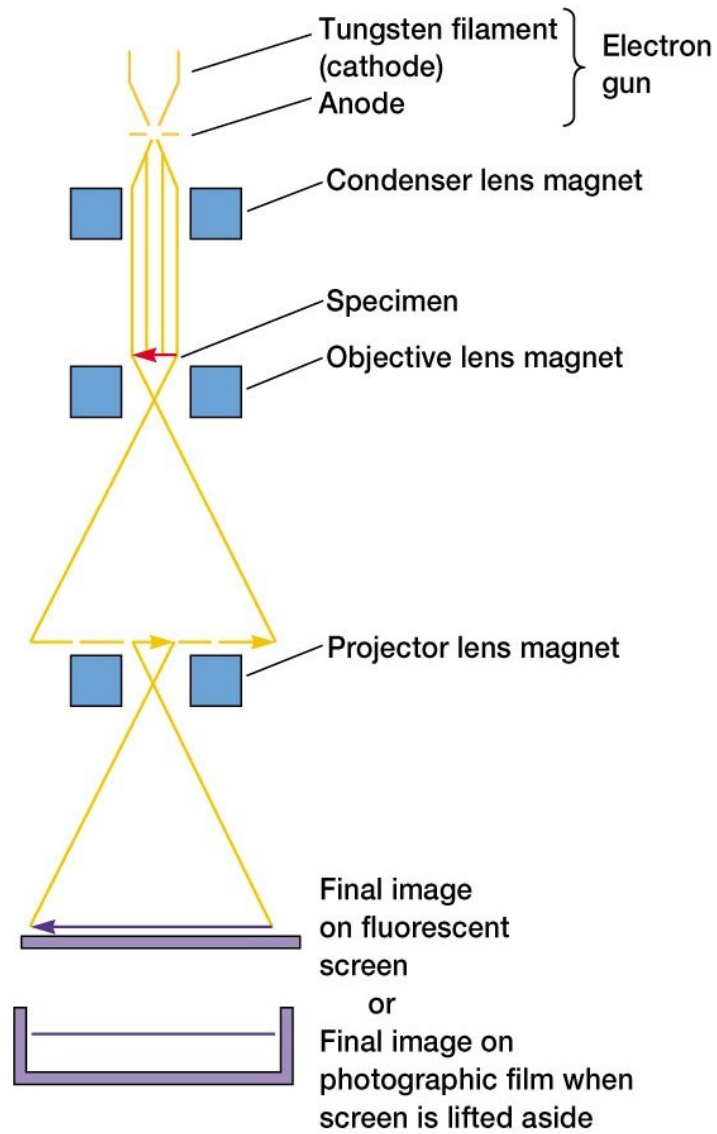
# The Transmission Electron Microscope

- electrons scatter when they pass through thin sections of a specimen
- transmitted electrons (those that do not scatter) are used to produce image
- denser regions in specimen, scatter more electrons and appear darker

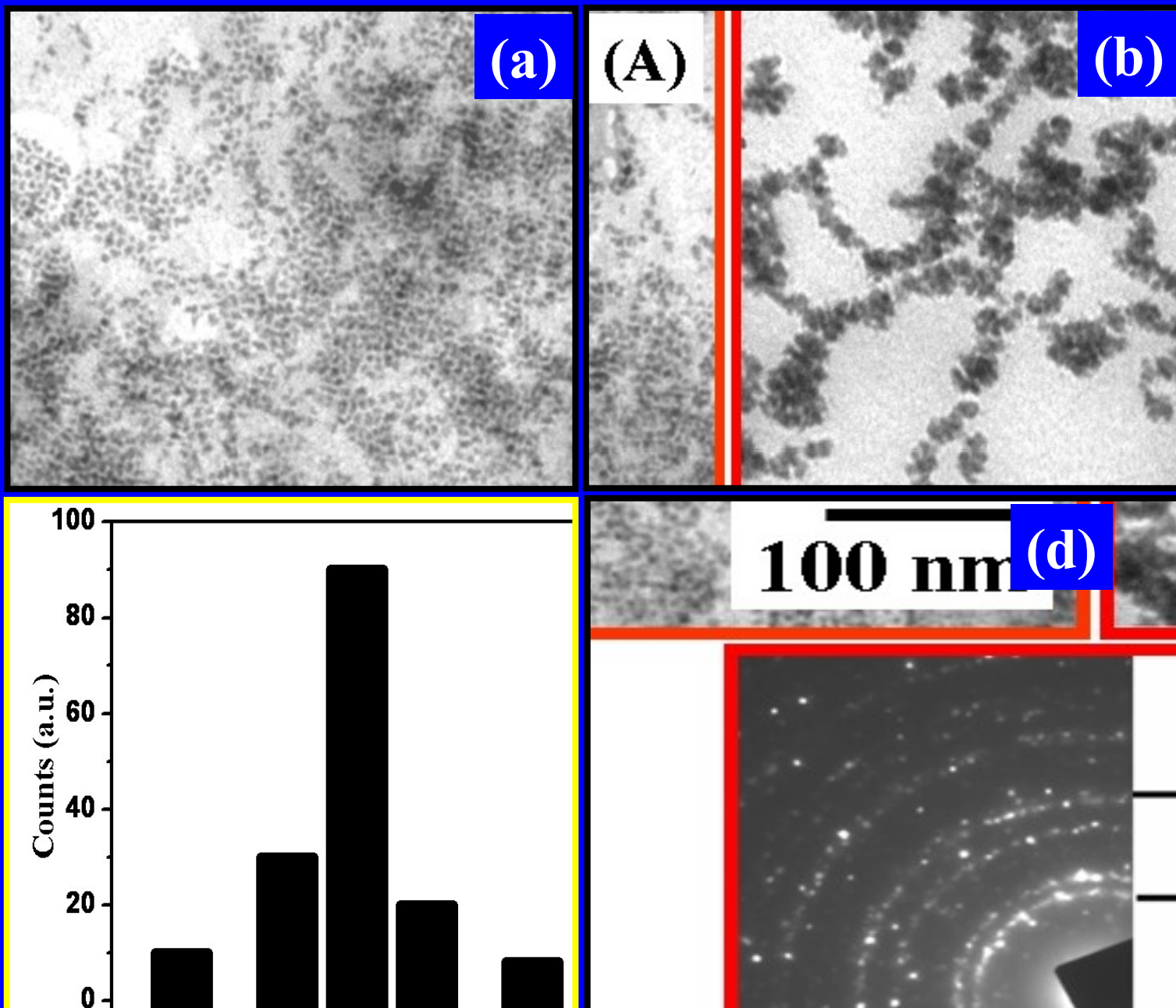


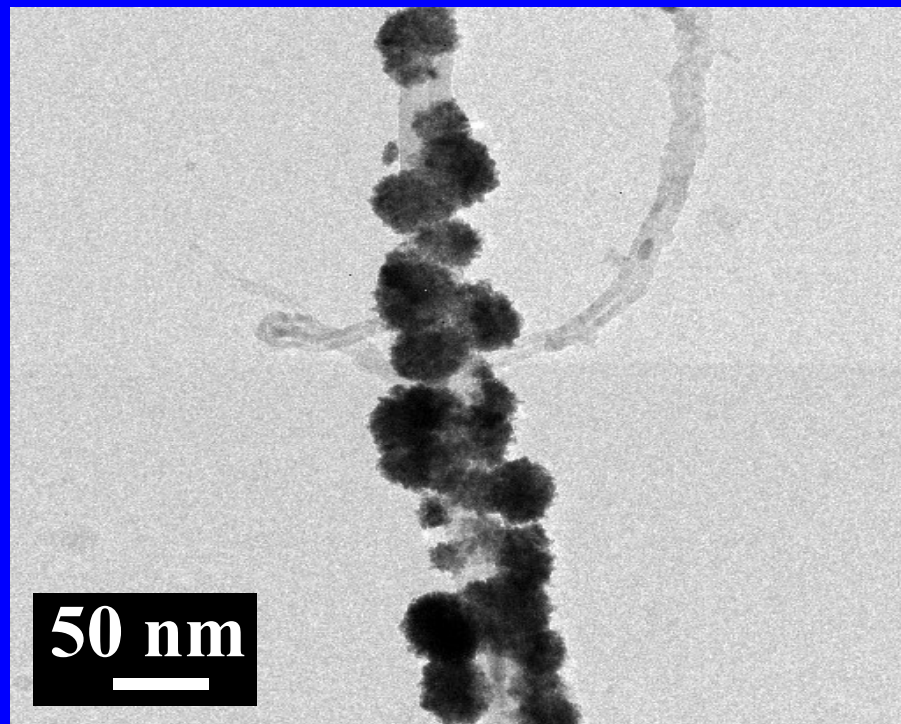
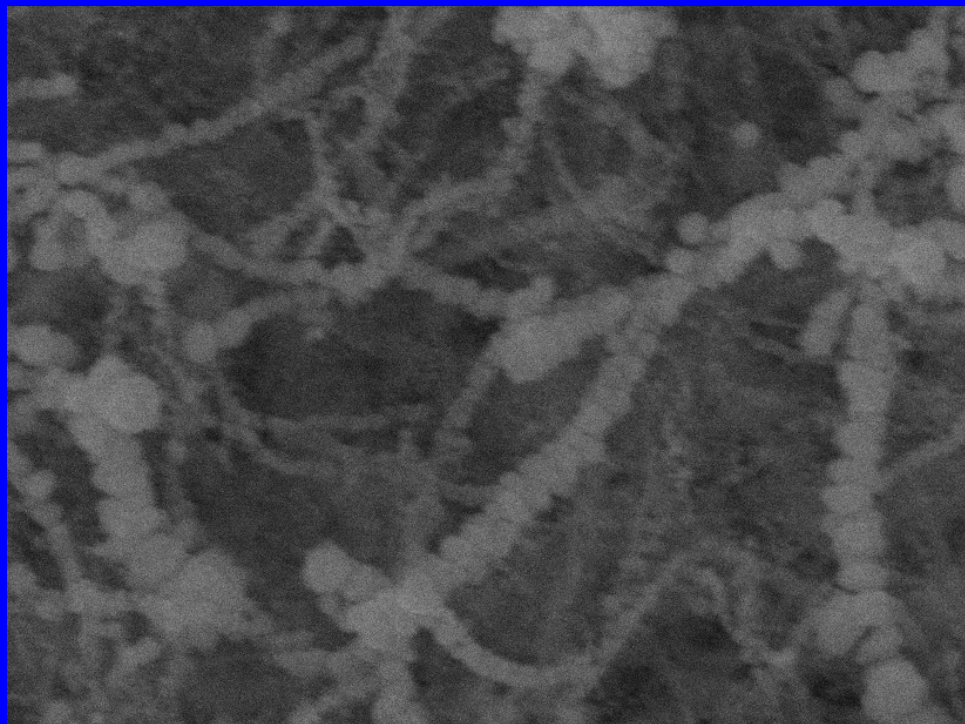
**Cu Grid**

### Transmission electron microscope



# TEM (Image and ED)





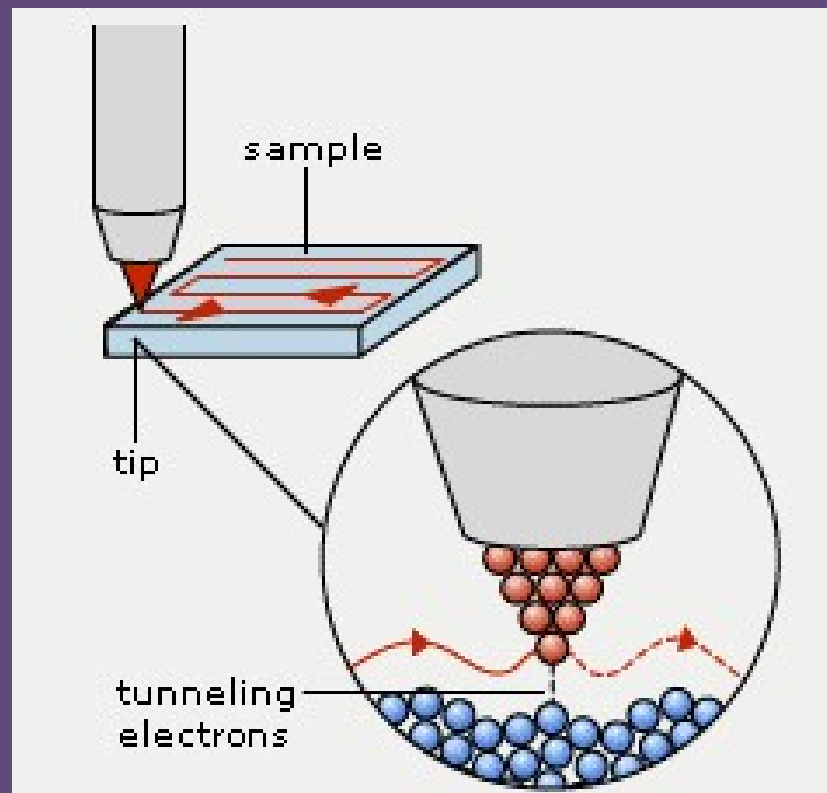
# Scanning Probe Microscopy

# Scanning Probe Microscopy

- Sharp tip of a metal is scanned across a sample surface
- To produce the images of samples even at subatomic level
- First SPM :- STM- 1982 by Binnig and Rohrer- Nobel Prize in 1986
- AFM and MFM (magnetic force microscope)
- To overcome limitations of individual spectroscopy and microscopes
- Chemical nature/Electronic Structure, Material studies (mechanical, thermal, optical, magnetic and so on)
- No special preparation, Vacuum is not necessary
- Not only insulating, Live biological and even liquid samples can analyse
- Tip materials : Si, Pt-Ir, Pt-Rh.....

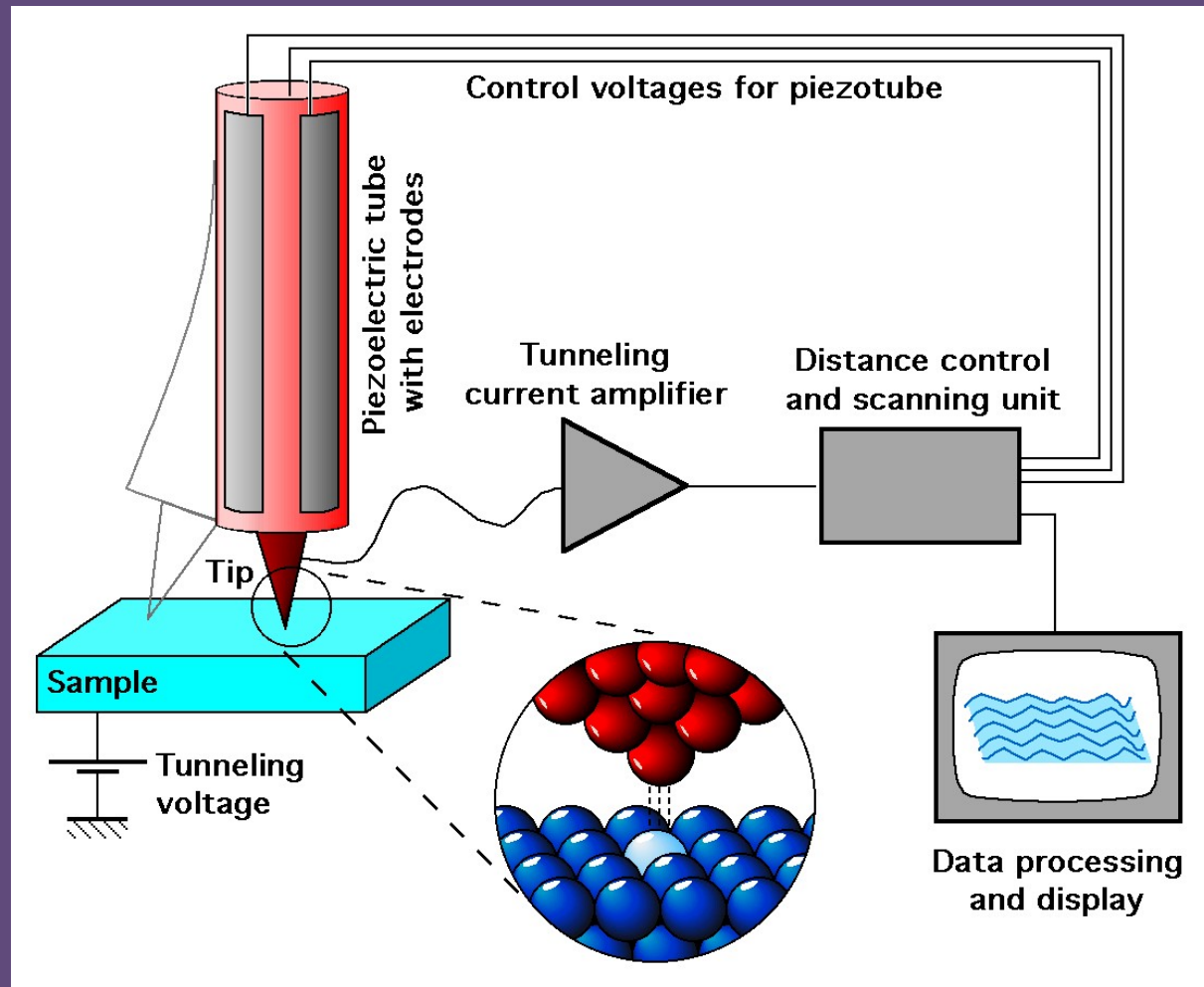
# The Scanning Tunneling Microscope (STM)

The STM is an electron microscope that uses a single atom tip to attain atomic resolution.



# History

The scanning tunneling microscope was developed at IBM Zürich in 1981 by Gerd Binnig and Heinrich Rohrer who shared the Nobel Prize for physics in 1986 because of the microscope.





# General Overview

- An extremely fine conducting probe is held about an atom's diameter from the sample.
- Electrons tunnel between the surface and the tip, producing an electrical signal.
- While it slowly scans across the surface, the tip is raised and lowered in order to keep the signal constant and maintain the distance.
- This enables it to follow even the smallest details of the surface it is scanning.
- Depends on work functions of metals

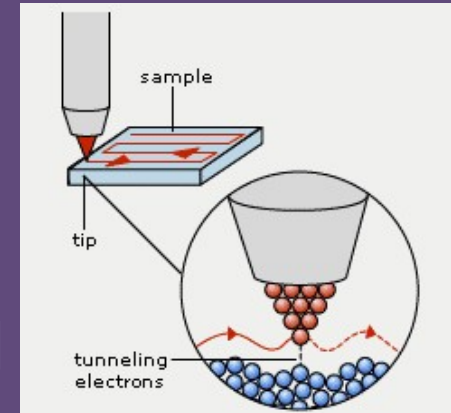
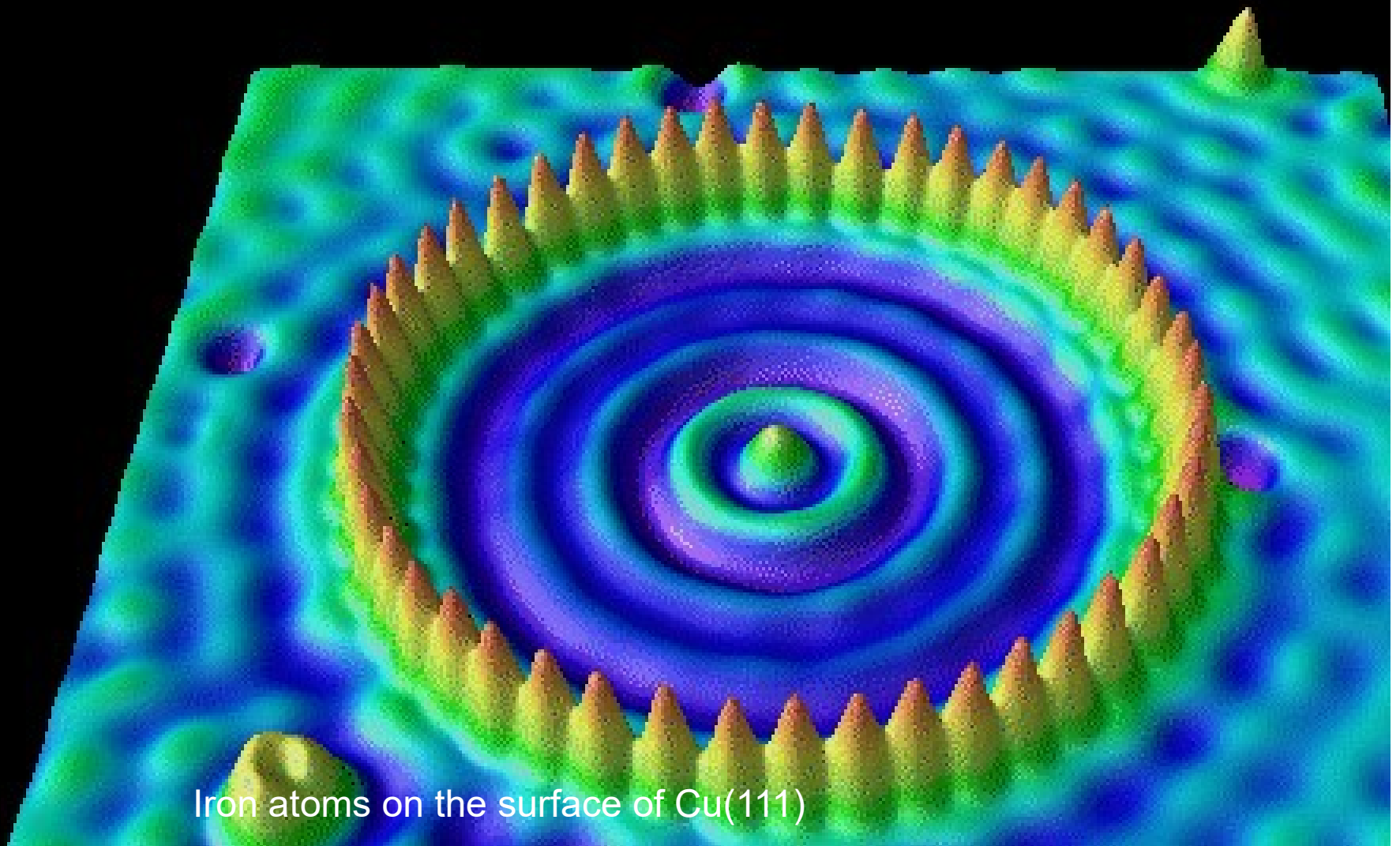
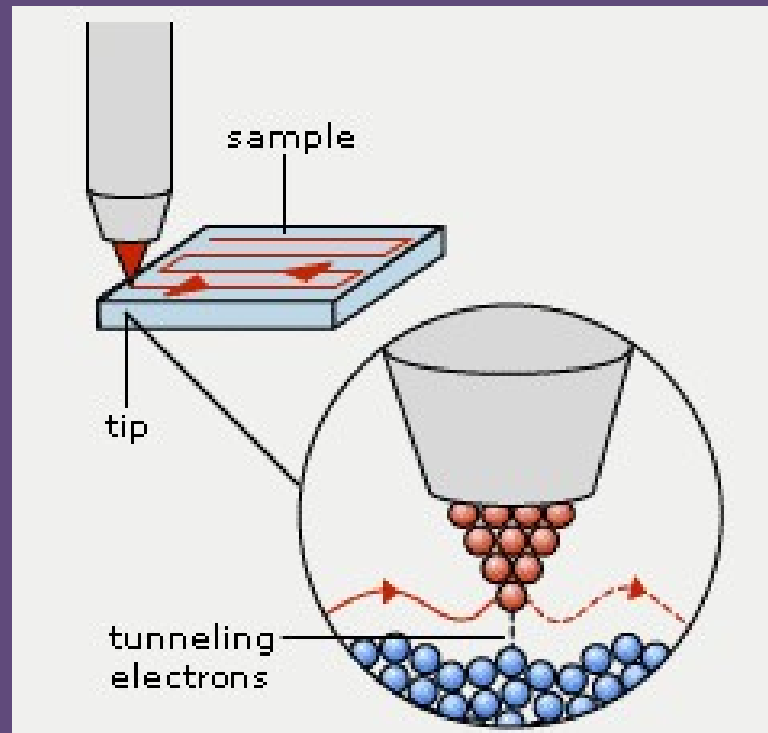


Image from an STM



Iron atoms on the surface of Cu(111)

# The Tip



As we will see later, it is very important that the tip of the probe be a single atom.

Tungsten is commonly used because you can use Electro-chemical etching techniques to create very sharp tips like the one above.

# Quantum Tunneling

So if you bring the tip close enough to the surface, you can create a tunneling current, even though there is a break in the circuit.

The size of the gap in practice is on the order of a couple of Angstroms ( $10^{-10}$  m)!

As you can see, the current is VERY sensitive to the gap distance.

# STM Operation Modes

Constant Current Mode

Constant Height Mode

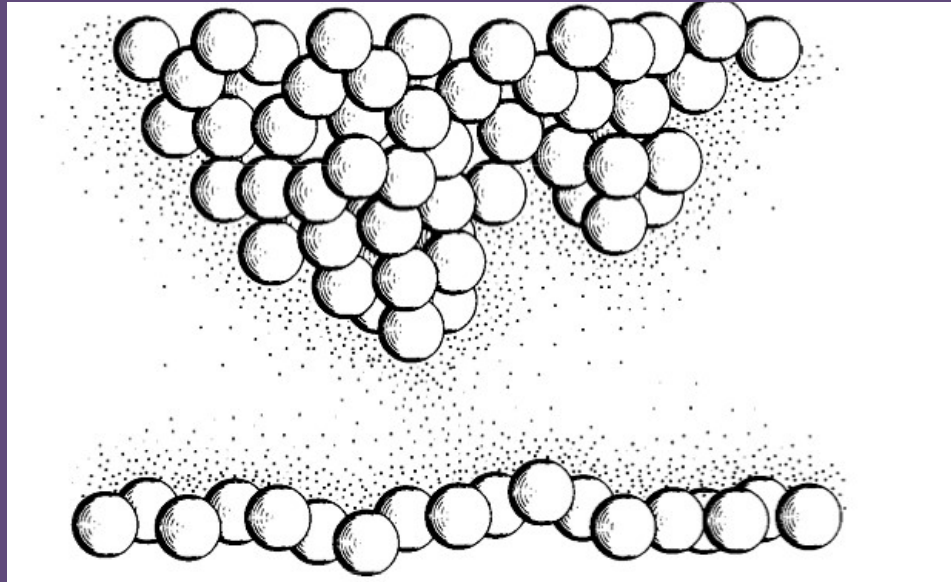
# Constant Current Mode

- Sample remains constant and tip is slowly moved on the surface
- In order to maintain constant Current between the tip and sample, the distance between the tip and the atoms of sample needs to be kept constant
- Controlled by proper feedback loop

# Constant Height Mode

- Tip moved on the surface at const height ( $\sim 0.5$  nm)
- Tip can move faster and requires less time as compared to earlier one
- Needs to keep away from the surface
- Results into loss in sensitivity

# Problems with Tip



The second tip shown above is recessed by about two atoms and thus carries about a million times less current. That is why we want such a fine tip. If we can get a single atom at the tip, the vast majority of the current will run through it and thus give us atomic resolution.



# Note

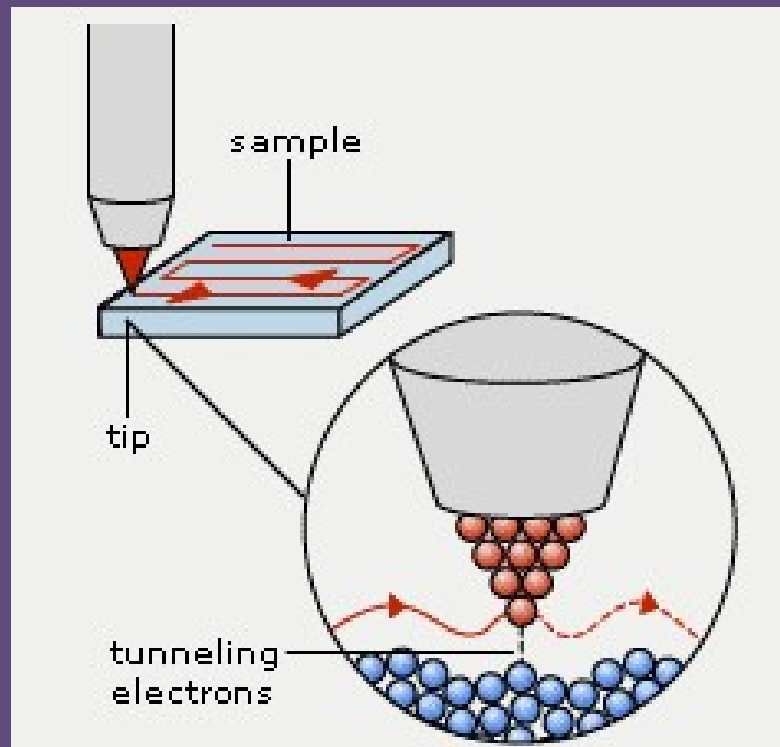
A STM does not measure nuclear position directly.

Rather it measures the electron density clouds on the surface of the sample.

In some cases, the electron clouds represent the atom locations pretty well, but not always.

# Small Movements

To get the distance between the tip and the sample down to a couple of Angstroms where the tunneling current is at a measurable level, STMs use feedback servo loops and converse piezoelectricity.



# Problems and Solutions

- Bringing the tip close to the surface and scanning the surface
    - **Feedback Servo Loops**
  - Keeping the tip close to the surface
    - **Converse Piezoelectricity**
  - Creating a very fine tip
    - **Electro-chemical etching**
  - Forces between tip and sample
    - **Negligible in most cases**
  - Mechanical vibrations and acoustic noise
    - **Soft suspension of the microscope within an ultra high vacuum chamber ( $10^{-11}$  Torr)**
  - Thermal length fluctuations of the sample and especially the tip
    - **Very low temperatures**
- 
- The sample has to be able to conduct electricity
    - **There is no way around this, try using an AFM**

# Different STM Ideas

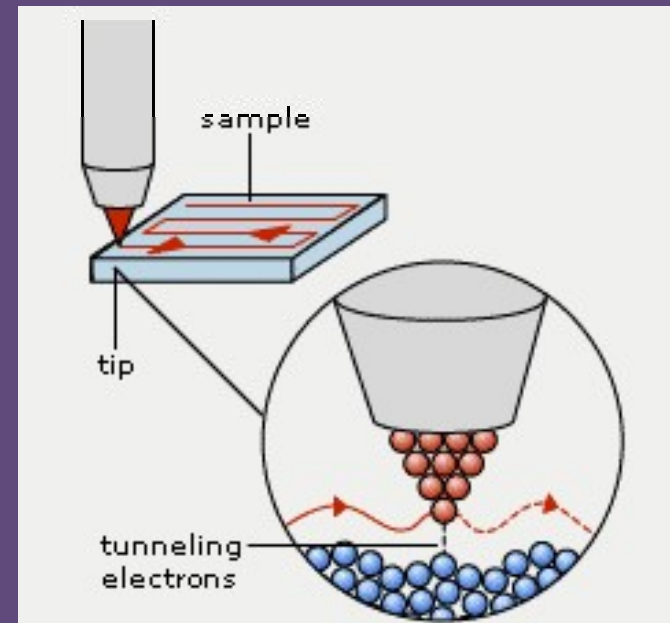
You could decide not to use piezoelectricity to keep the distance between the tip and the surface equal at all times, and instead use the current measurements to determine the surface of a sample.

## Pros:

- You can scan much faster

## Cons:

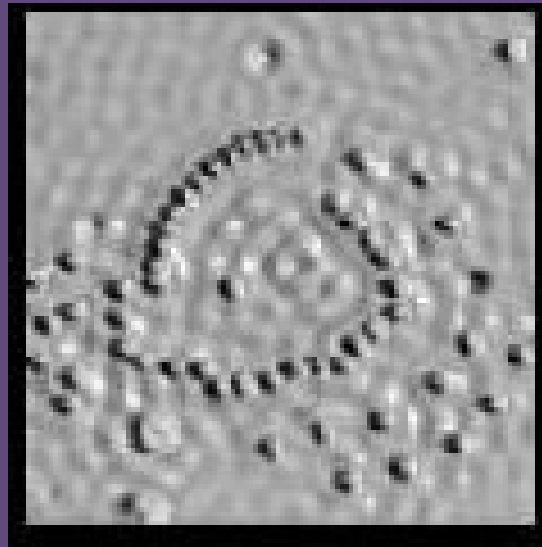
- The surface must not have cavities more than a few Angstroms deep (an atom or two) because of tunneling



# Different STM Ideas

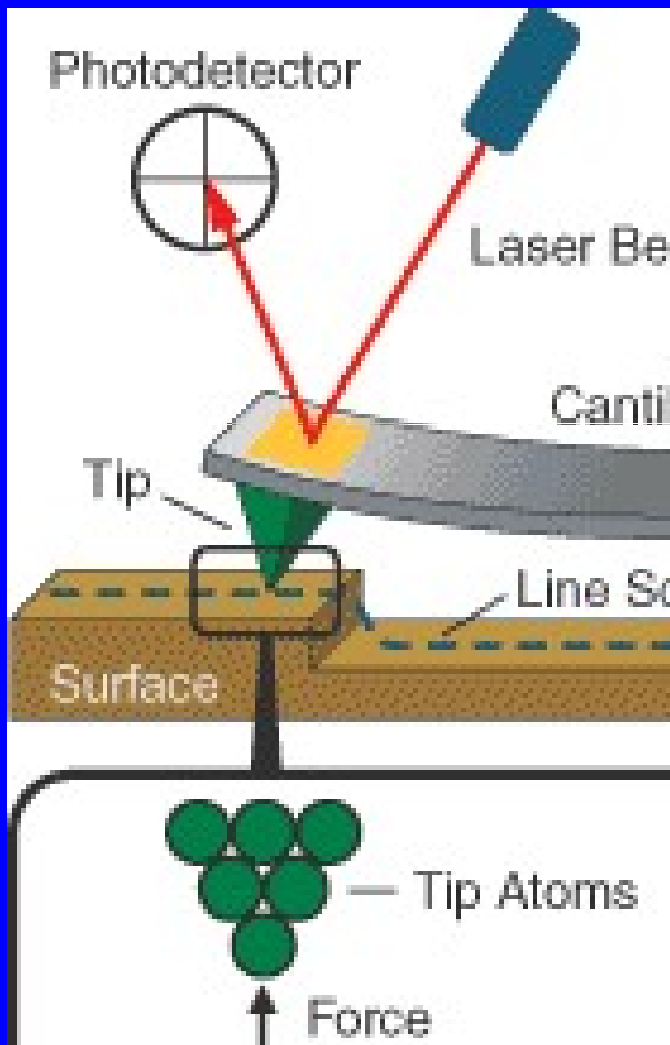
Imagine increasing the tunneling current when you are on top of an atom by lowering the tip a little. The attractive force between the tip and the atom would then increase, allowing you to “drag” atoms around.

IBM imagined this. Iron atoms were first physisorbed (stuck together using intermolecular forces, aka Van Der Waals forces) on a Cu surface. The iron atoms show up as bumps below.



# Atomic Force Microscopy

# How It Works



- Invented in 1986
- Cantilever
- Tip
- Surface
- Laser
- Multi-segment photodetector

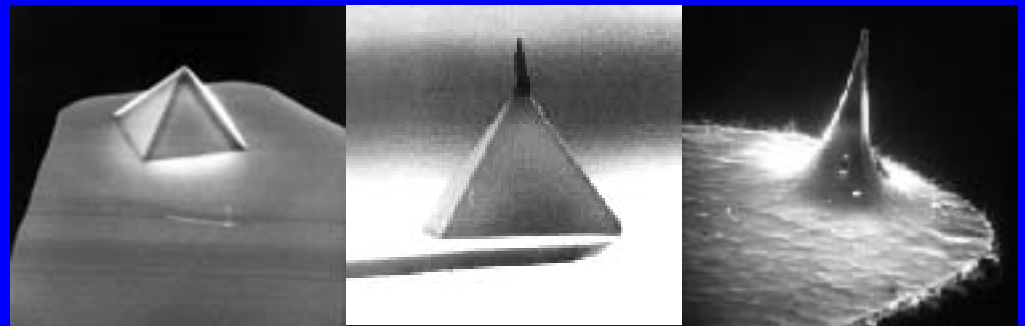


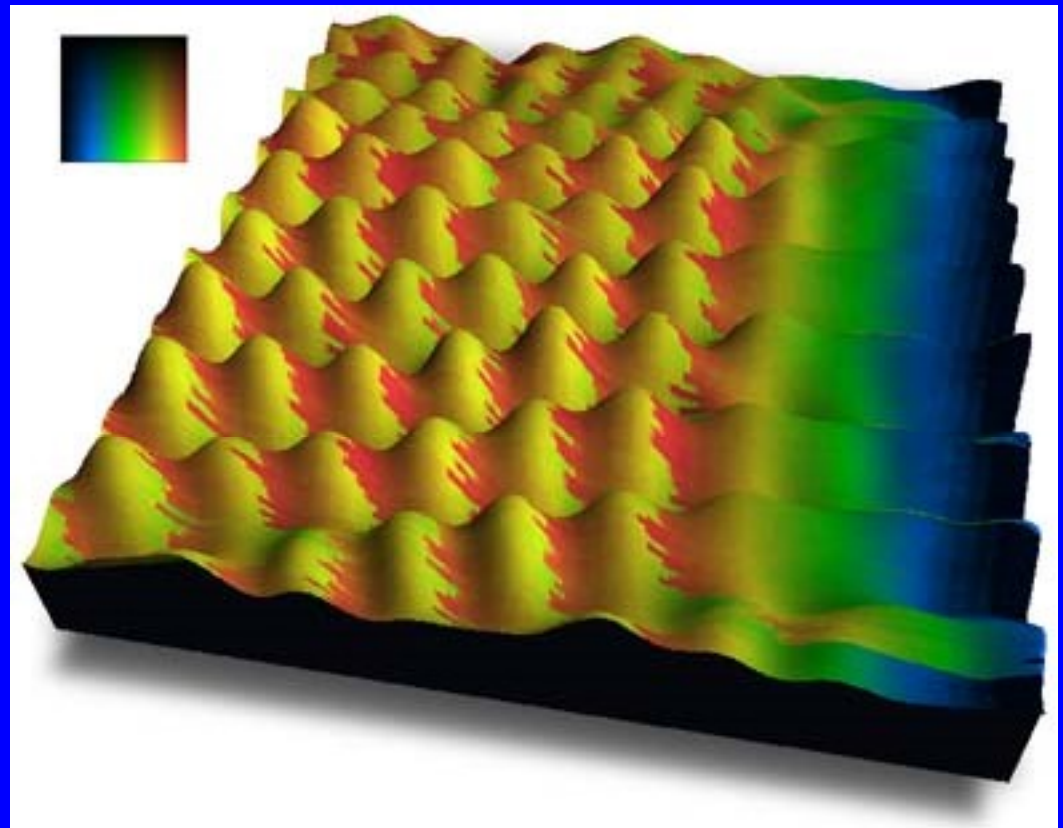
Figure 4. Three common types of AFM tip. (a) normal tip ( $3\ \mu\text{m}$  tall); (b) supertip; (c) Ultralever (also  $3\ \mu\text{m}$  tall). Electron micrographs by Jean-Paul Revel, Caltech. Tips from Park Scientific Instruments; supertip made by Jean-Paul Revel.

<http://stm2.nrl.navy.mil/how-afm/how-afm.html#imaging%20modes>

[http://www.molec.com/what\\_is\\_afm.html](http://www.molec.com/what_is_afm.html)

# Topography

- Contact Mode
  - High resolution
  - Damage to sample
  - Can measure frictional forces
- Non-Contact Mode
  - Lower resolution
  - No damage to sample
- Tapping Mode
  - Better resolution
  - Minimal damage to sample



2.5 x 2.5 nm simultaneous topographic and friction image of highly oriented pyrolytic graphic (HOPG). The bumps represent the topographic atomic corrugation, while the coloring reflects the lateral forces on the tip. The scan direction was right to left  
<http://stm2.nrl.navy.mil/how-afm/how-afm.html#imaging%20modes>

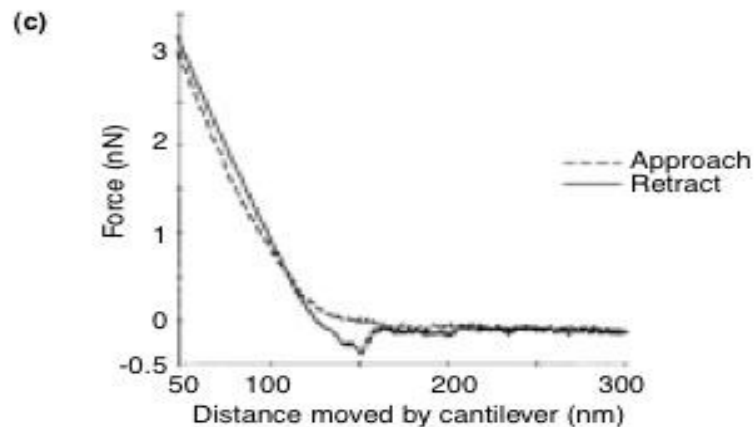
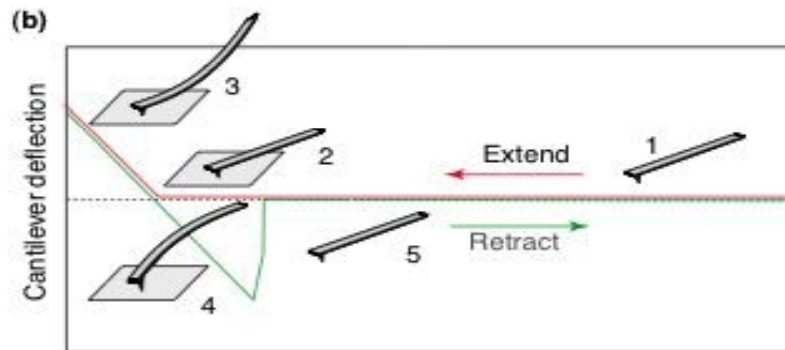
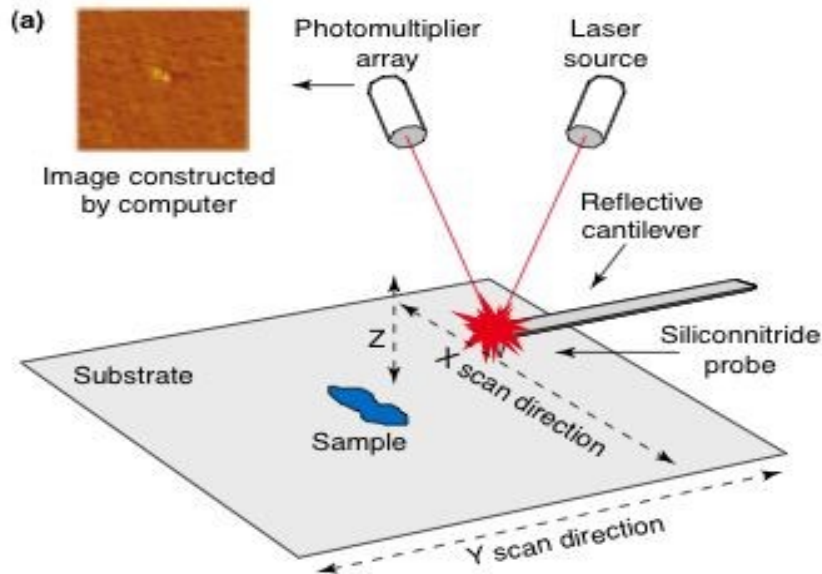


# Approach

- In the approach the tip is not yet in contact with the surface
- Attractive forces maybe
- Repulsive forces definitely
  - Due to contact
  - Gives information about the elasticity or stiffness of sample

# Retraction

- Attractive forces again during the retraction phase
  - Chemical and/or electrostatic
- Break of attractive forces due to retraction of the tip > characteristic “jump” in force curve



Drug Discovery Today

**Figure 1.** Operation of the atomic force microscope. **(a)** The principle of AFM. A fine pyramidal silicon nitride tip at the end of a reflective cantilever is scanned back and forth over the substrate in a raster pattern. As the tip is deflected by the sample, the cantilever also deflects, and the magnitude of the deflection is registered by the change in direction of a laser beam that is reflected off the end of the cantilever and detected by a photomultiplier array. In this way, a topological map of the surface is constructed. **(b)** The principle of the force curve. The tip is held stationary over the substrate and then oscillated up and down ('extended' and 'retracted'). At point '1' the tip is not in contact with any substrate and so no deflection is registered. At '2' the probe meets the substrate, and at '3' it is advanced further downwards onto the substrate and so the cantilever bearing the probe is deflected. This is shown in the 'y' axis of the curve. The piezo drivers then begin to withdraw the probe upwards ('retract'). Because the probe and substrate are physically attracted, they maintain contact '4', even when the probe has been withdrawn before the point where it originally made contact with the substrate. At point '5', the probe loses contact with the substrate and jumps back to its original position, as does the 'retract' curve. The measure of the force of attraction between tip and substrate is given broadly by the size and shape of the triangle lying below the dotted line in the diagram. **(c)** The measurement of the force between a biotinylated AFM tip and a streptavidin-coated substrate. The 'retract' trace shows the breakage of the attachment between streptavidin and biotin and the force measured is approximately 300 pN. Part (c) of this figure is adapted from Reference [5].

J Michael Edwardson and Robert M. Henderson

DDT Vol. 9, No. 2 January 2004

# AFM Operation Modes

Contact Mode

Non-contact Mode

Tapping Mode

# Who Needs It

- Vacuum, Air, Aqueous Medium - Mimic Biological Environment
- Sub-nanometer resolution
- Manipulate Surface with Molecular Precision
- Real Time Direct Structure-Function Studies

- 3-D Surface Topography
- Force Measurements in pico-Newton - nano-Newton range
- May Be Combined Simultaneously With Other Techniques
  - AFM with Fluorescence
  - AFM with Patch-Clamp

# Applications

- Study Unfolding Of Proteins
- Imaging Of Biomolecules
- Force Measurements In Real Solvent Environments
- Antibody-Antigen Binding Studies
- Ligand-Receptor Binding Studies
- Binding Forces Of Complimentary DNA Strands
- Study Surface Frictional Forces
- Ion Channel Localization

# Properties of Nanomaterials

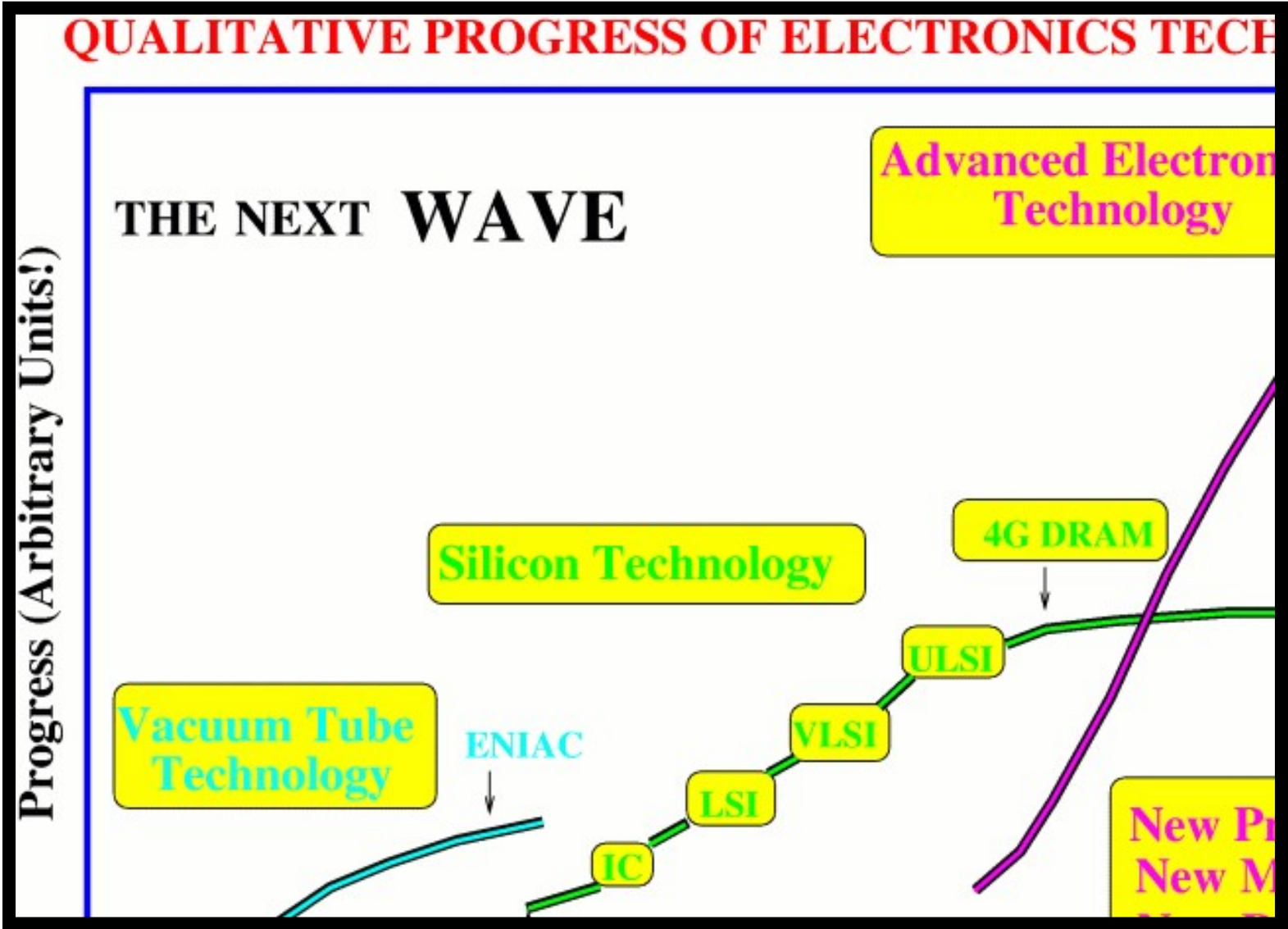


# Mechanical Properties

- Depends on composition of bonds between the atoms
- Presence of impurities affect on properties  
Like (O, N, C, P, S)
- Single nanoparticle study is difficult .....Study on nanocrystalline materials is possible

# Electrical Properties

# Moor's Law



Larger grain boundaries

Good candidate for the energy/storage

# What is the reason for interesting Electrical behavior?

- ❖ MPCs are tiny capacitor ( $10^{-18}$  F).
- ❖ Single Electron charging at RT.
- ❖ Charge transport is possible, if,  
 $E_c \gg K_B T.$
- ❖  $E_c \approx e^2/2C$ ; where, C= Capacitance of the MPC

# How does the Capacitance of MPC's can be calculated?

## ❖ Spherical Shell Capacitor Model

MPCs can be viewed as a spherical capacitor covered by a dielectric thin shell.

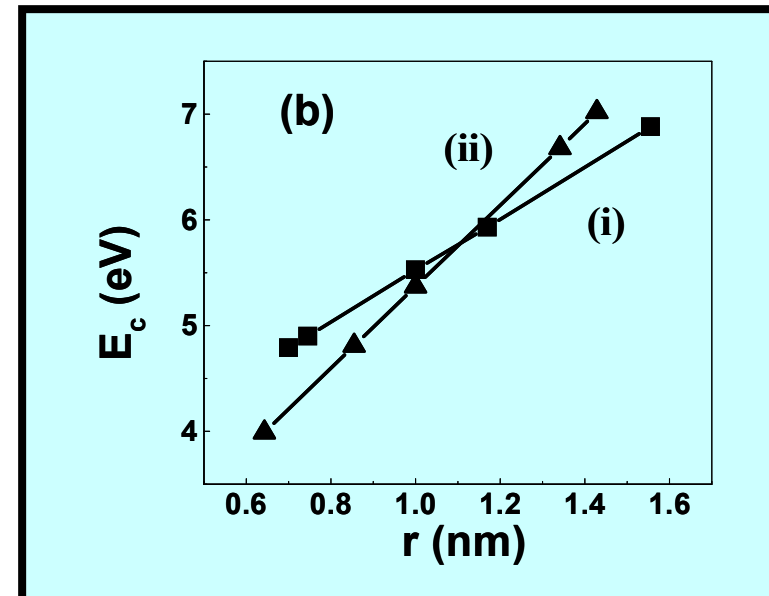
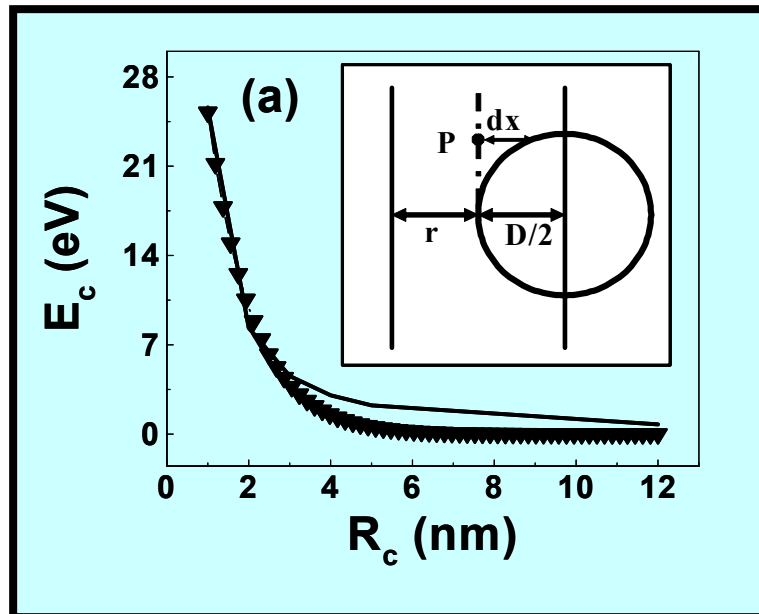
Accordingly,

$$C = 4\pi\epsilon_0\epsilon_r rR / [R - r(1 - \epsilon_r)] ,$$

&

$$E_c = e^2 [D_c - (r(1 - \epsilon_r))] / 8\pi\epsilon_0\epsilon_r rD_c$$

# How $E_c$ depends on the length of the capping molecules and size of the clusters?



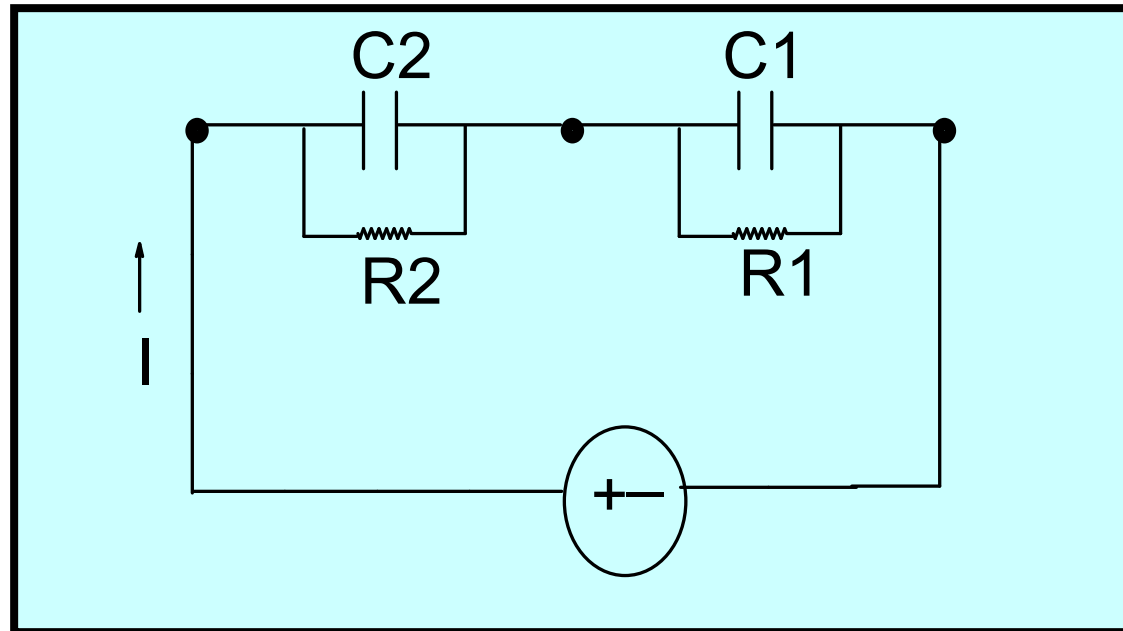
Variation of  $E_c$  with (a) size of MPCs and (b) with the length of the capping molecule by two different approximation (i) Curved metallic surface embedded in dielectric media (ii) Spherical shell model.

# How to Measure Electrical property?

- **Creation of a Metal-Insulator-Metal junction (MIM) junction**
- **Applying a bias Voltage from an external Voltage source.**
- **A Double Barrier Tunnel Junction (DBTJ) is formed during I-V measurement**
- **I-V of single particle measured by STM.**
- **Electrical conductivity of nanomaterials, polycrystalline materials ; always greater than single crystal materials: Grain size effects**



# What is the Double Barrier Tunnel Junction structure?



$C_1$  &  $C_2$  = Capacitance  
 $R_1$  &  $R_2$  = Resistance

## Why Single Electron Transfer?

- MPCs are surrounded by thin dielectric media, thus creating Quantum Well structure.
- Small capacitive natures ( $10^{-18}$  F) allows them to charge with a single electron.
- SET can be visualized in I-V measurements as Coulomb Blockade behavior at RT, provided particle size is small (< 10 nm).

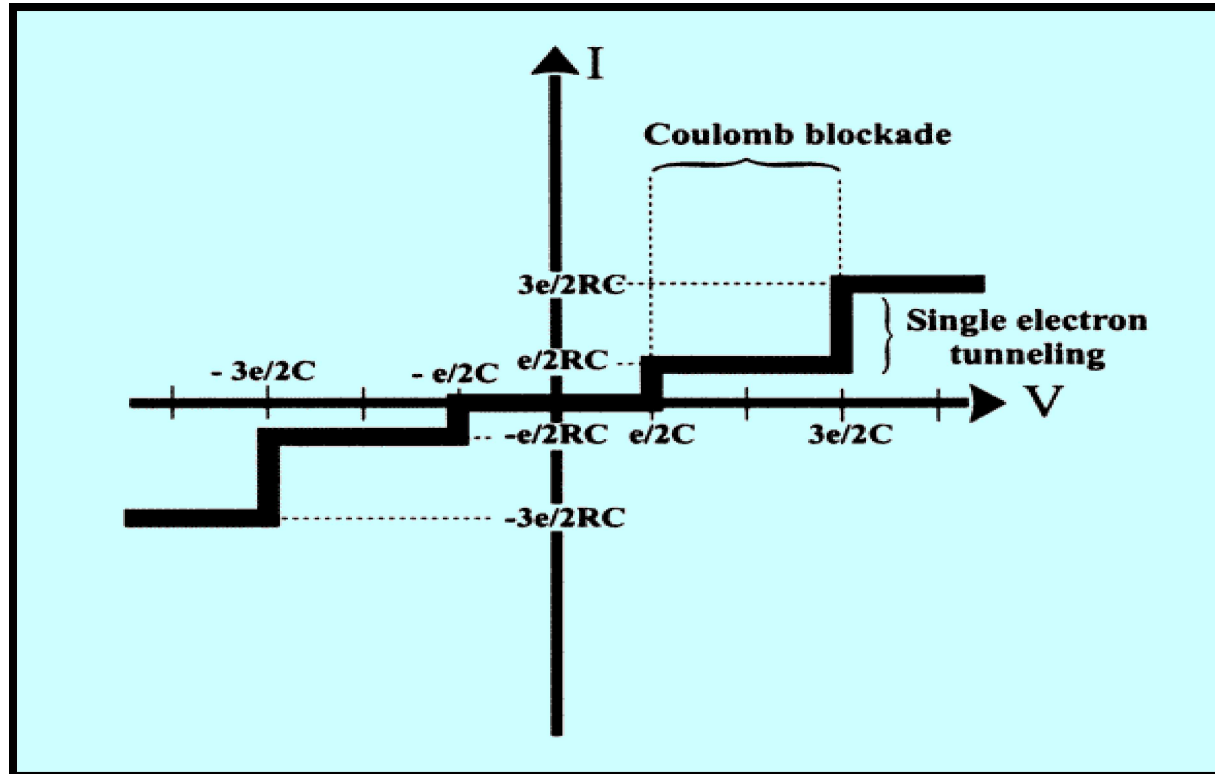
# What is the Coulomb Blockade Behavior?

- The coulombic interaction between electrons can prohibit their transport around a circuit; occurs in system when

$$E_c \gg K_B T \text{ \& } R_T \gg h/e^2.$$

- Current steps are observed by voltage plateaus in I-V curve, when MIM junction is biased by an external voltage source.
- Voltage plateaus are known as Coulomb Blockade.
- Coulomb Blockade width is depends on size of the MPCs and intercluster spacing.

# Ideal Coulomb Blockade Behavior of a DBTJ

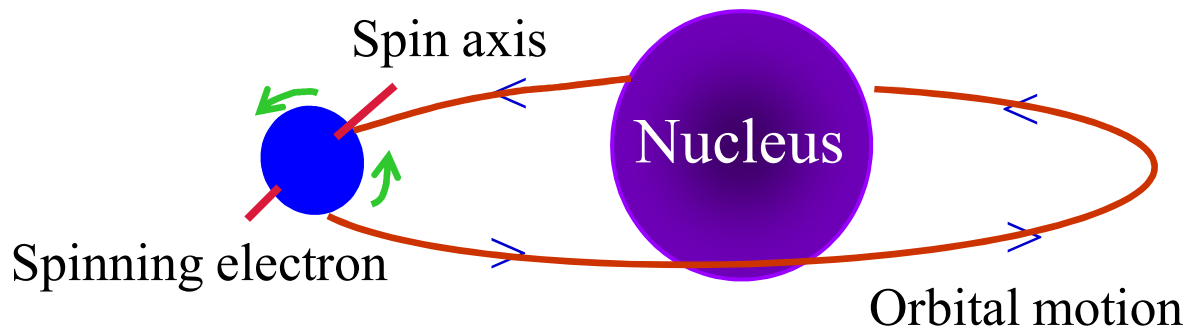


Current steps are observed due to addition of one  $e$  to the MPC, which can be seen ideally after a successive  $\Delta V$  corresponding to  $e/c$ .

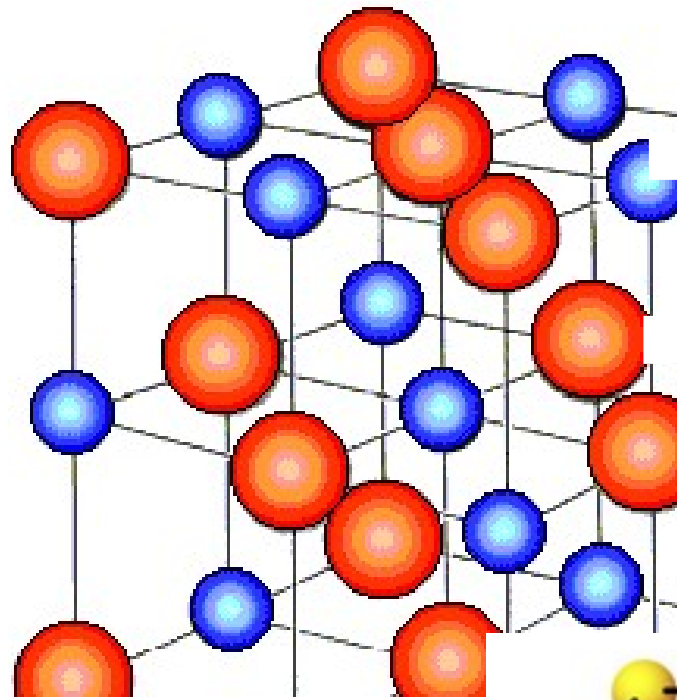
# Magnetic Properties

# Magnetic Moments of Electrons

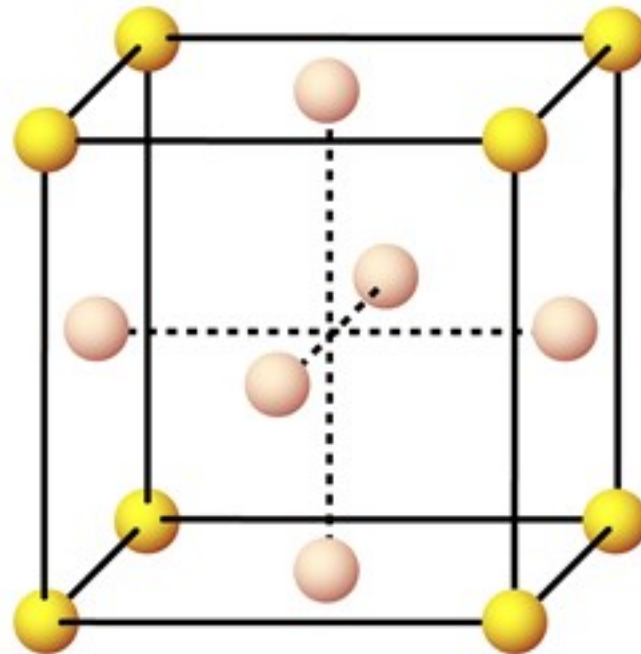
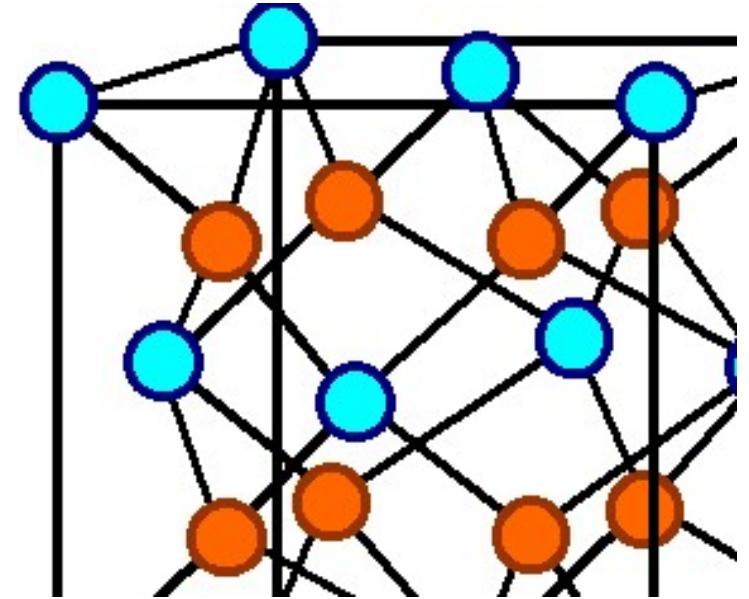
- Orbital motion
  - Spin motion
- Each has a magnetic moment



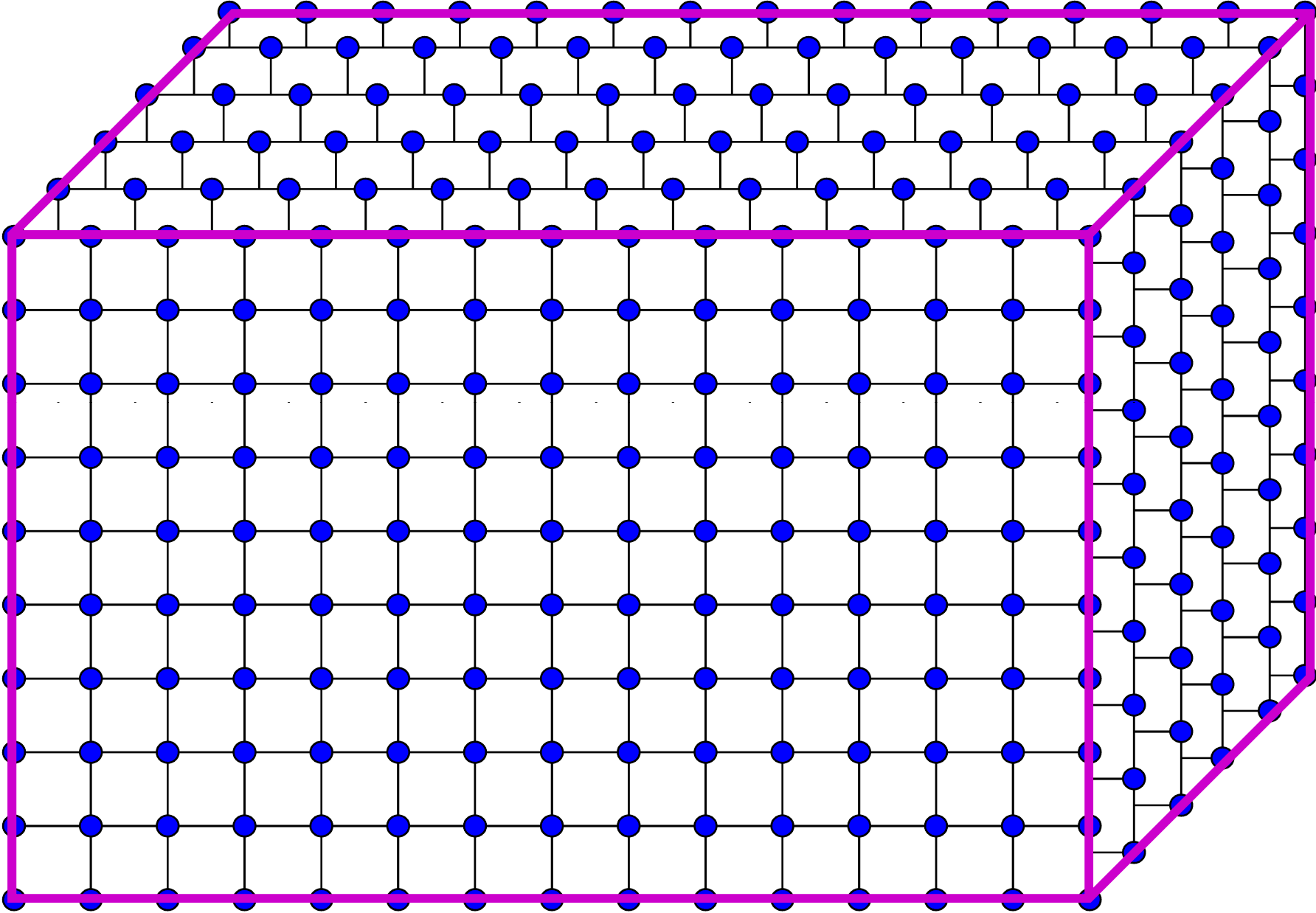
Magnetism due to unpaired electrons  
d-block and f-block elements



**Solids**

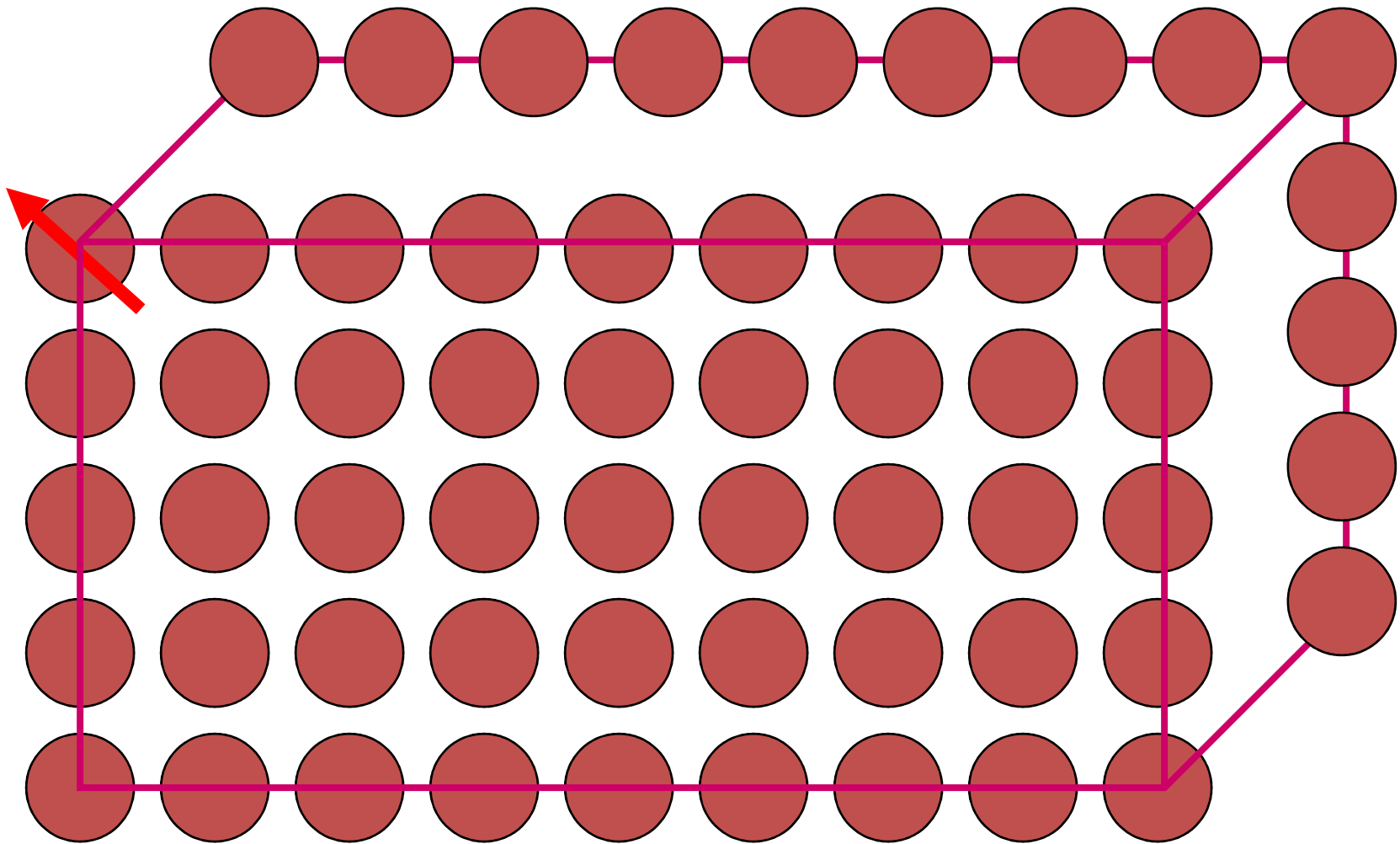


# Solids – array of atoms in 3 dimension



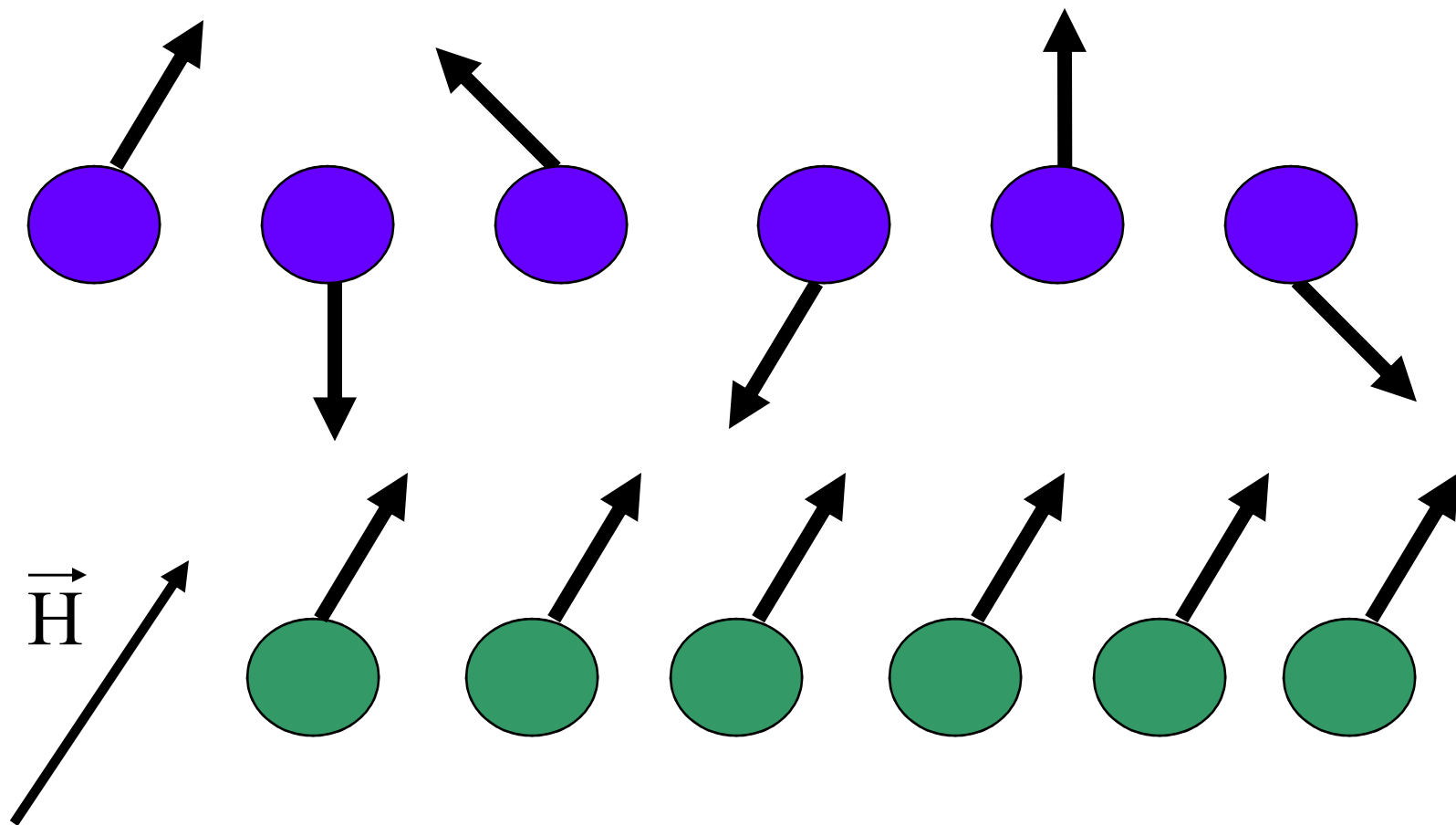


# Magnetism in Solids

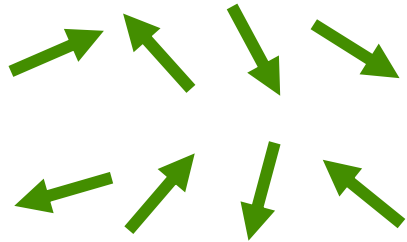


Orientation of magnetic moments in  
individual atoms/ions in a solid

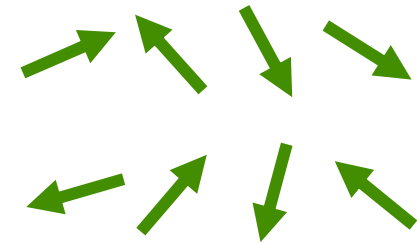
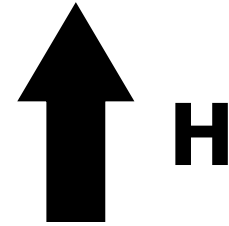
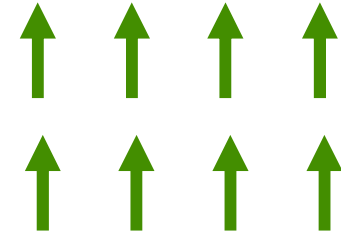
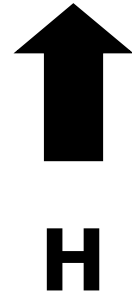
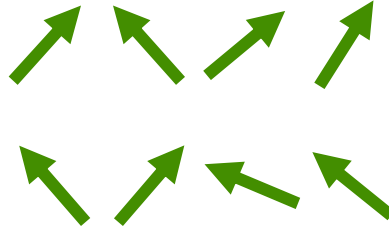
# Paramagnetism



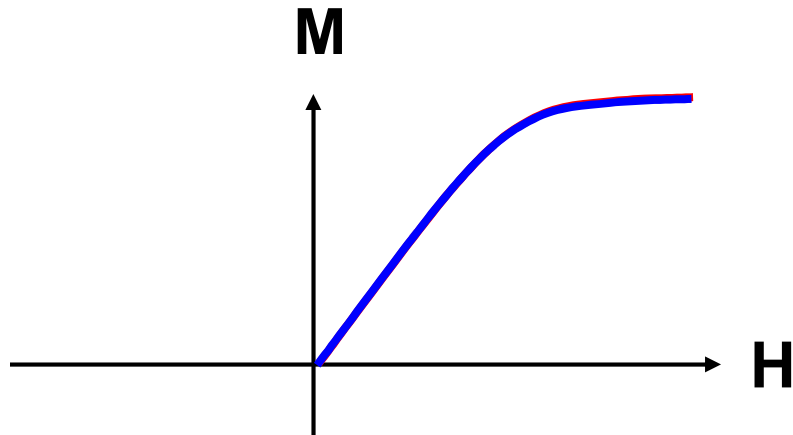
# Paramagnetism



$H = 0$



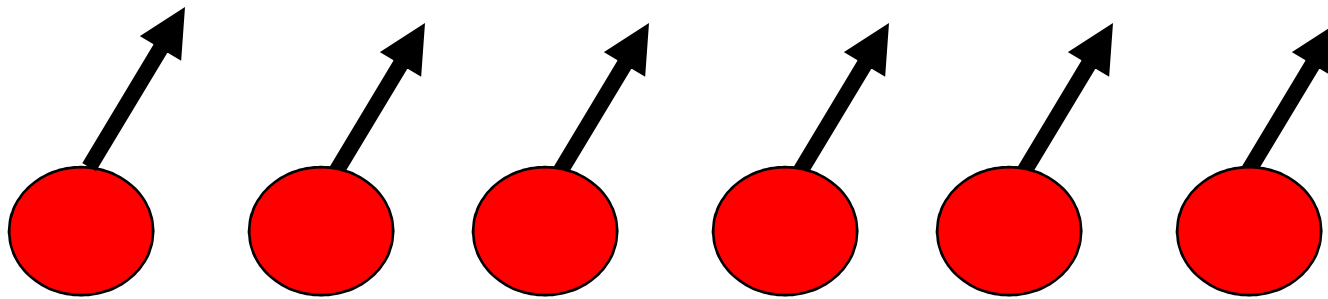
$H = 0$



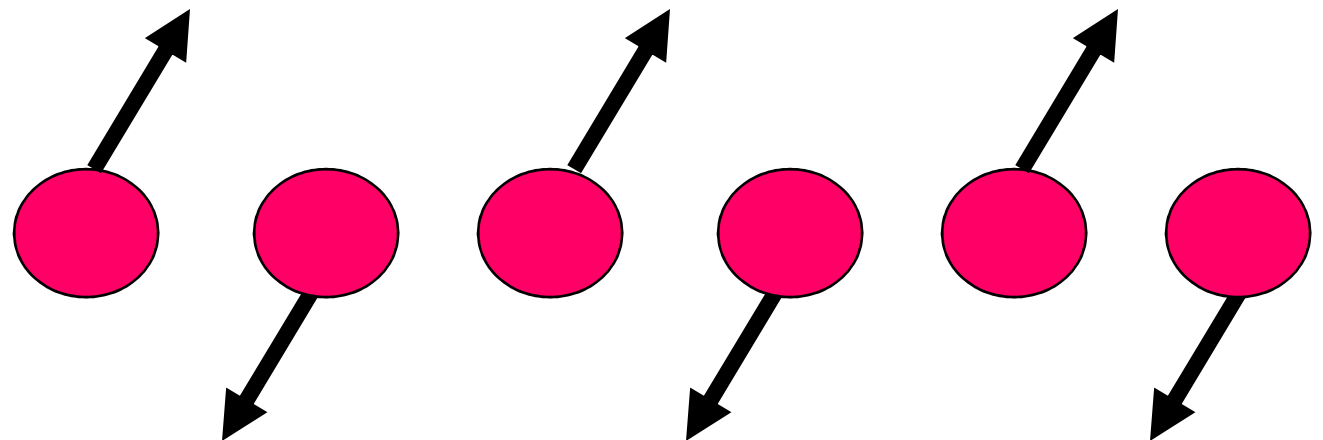
# Spontaneous Magnetization ( $H=0$ )

## Long Range Ordered Magnetism

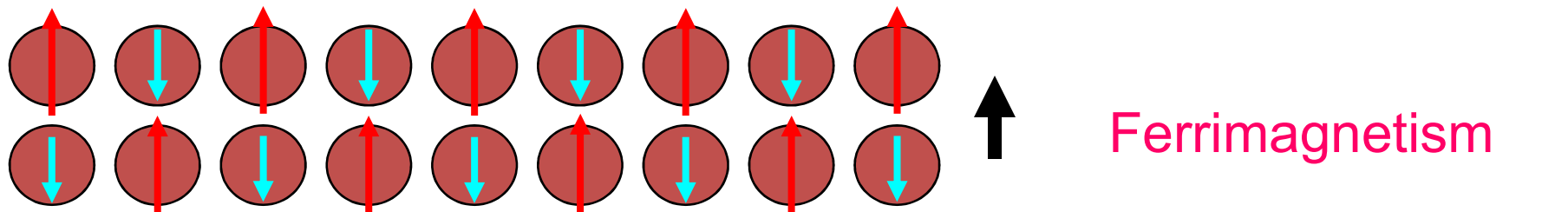
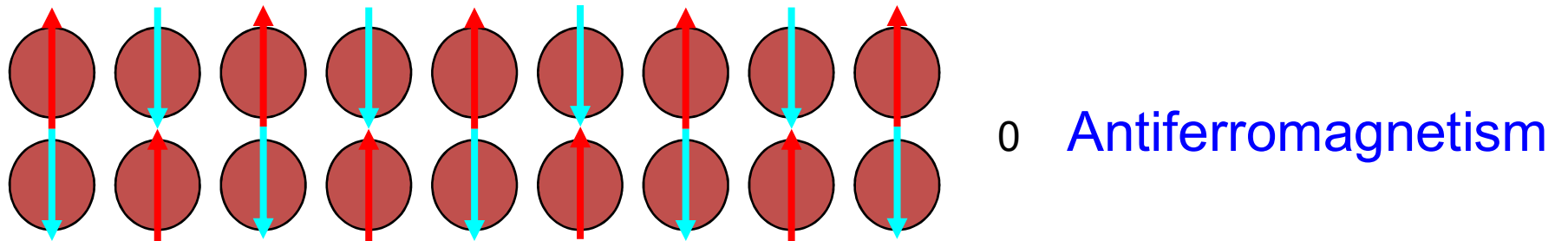
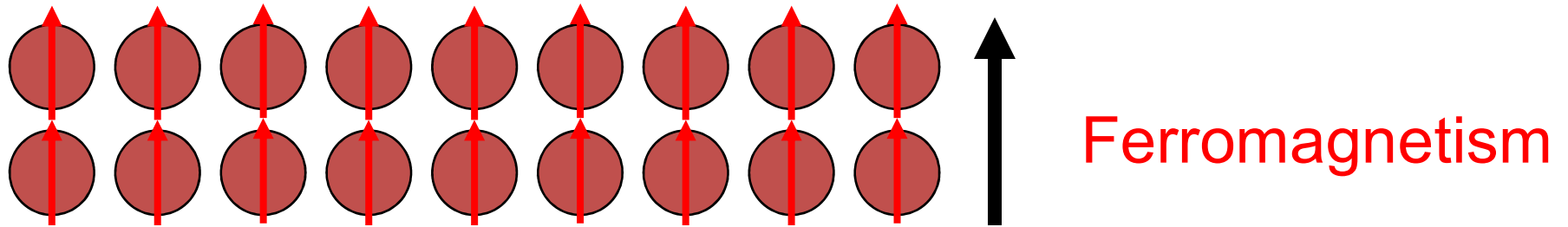
### Ferromagnetism



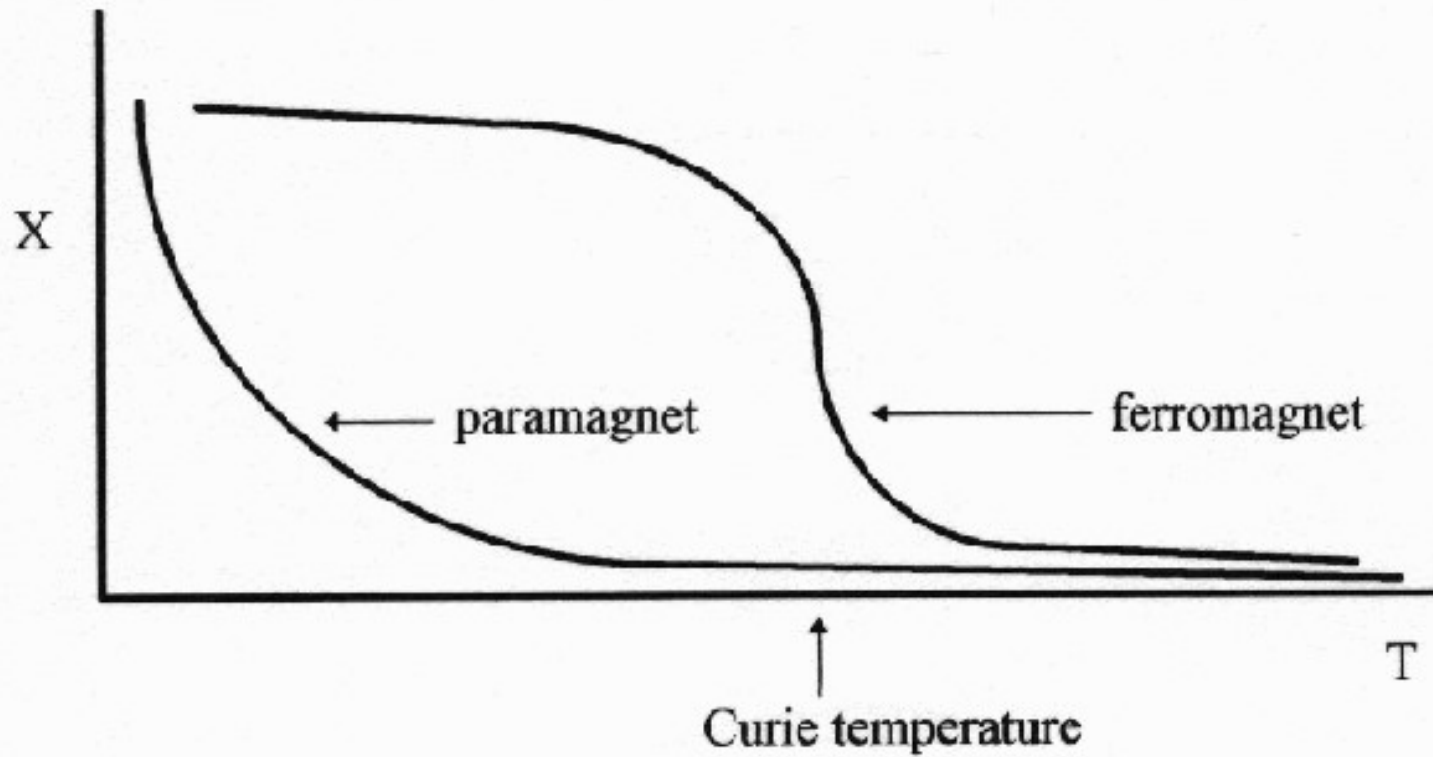
### Antiferromagnetism



# Different forms of ordered magnetism

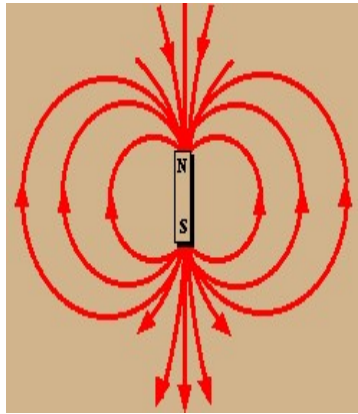


## Effect of Temperature (Ferro, Ferri)

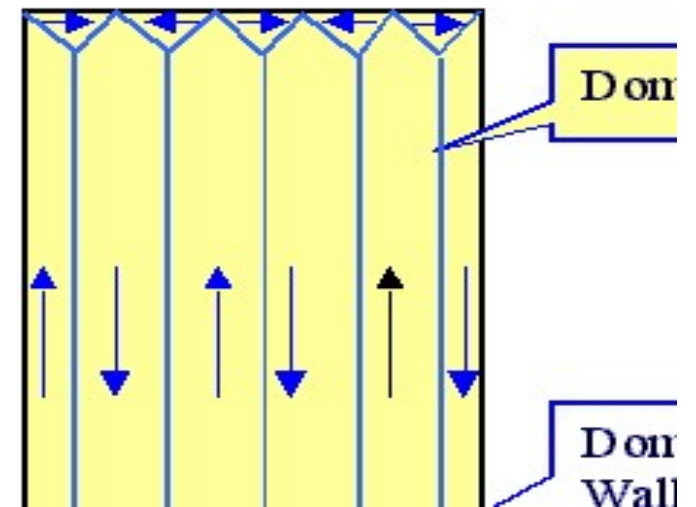
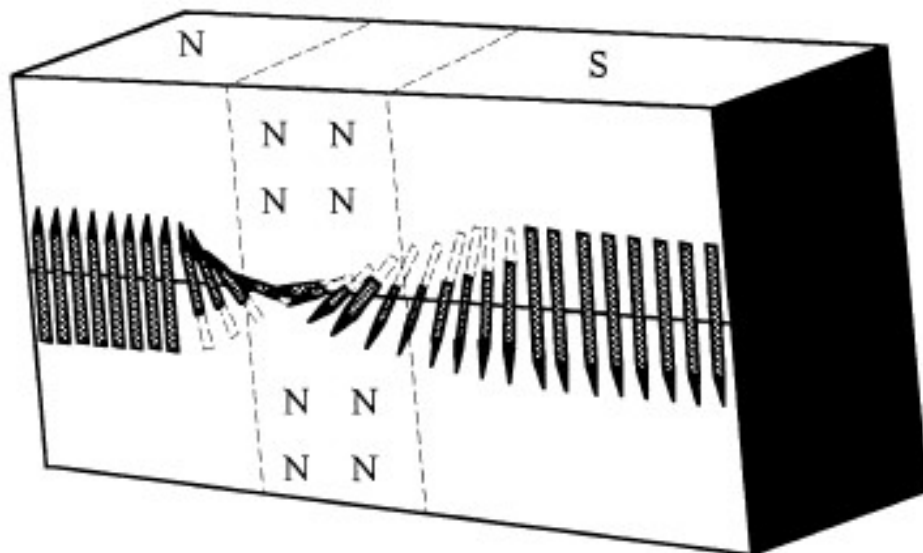
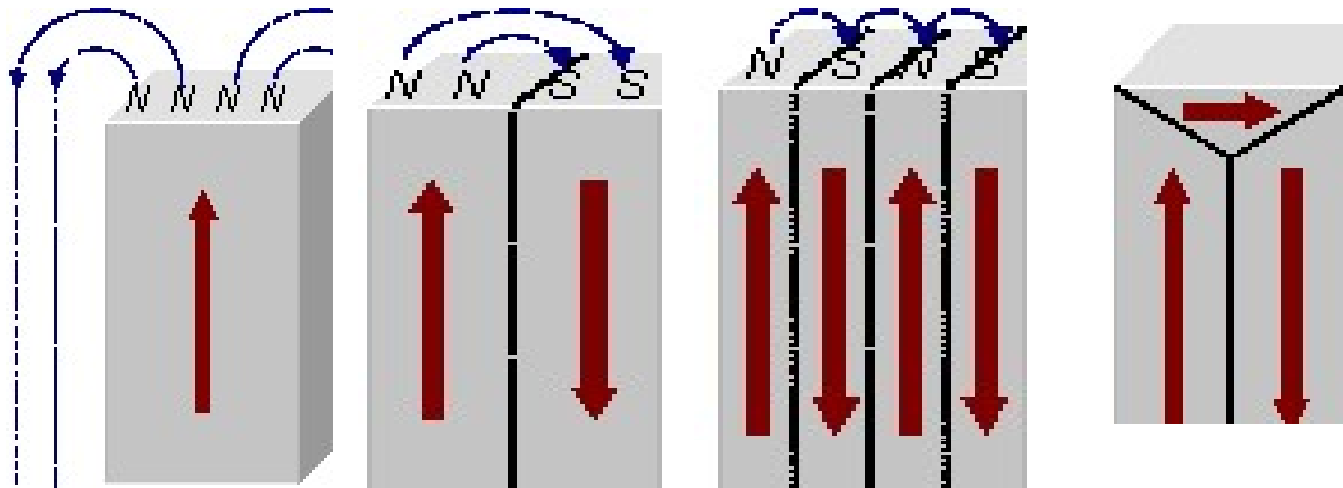


# Magnetic Periodic Table

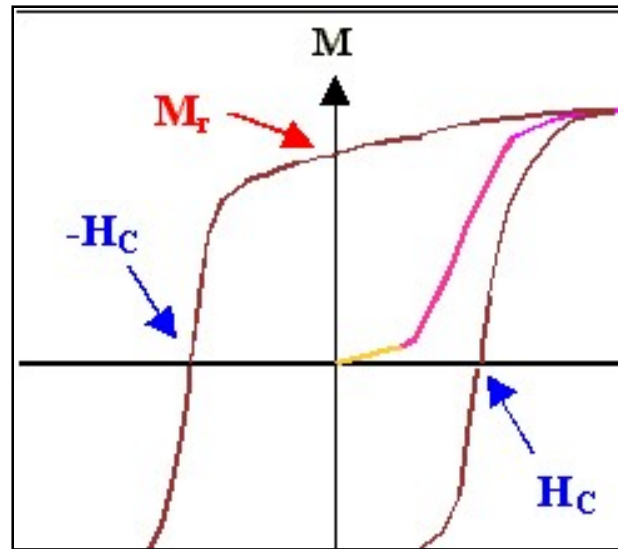
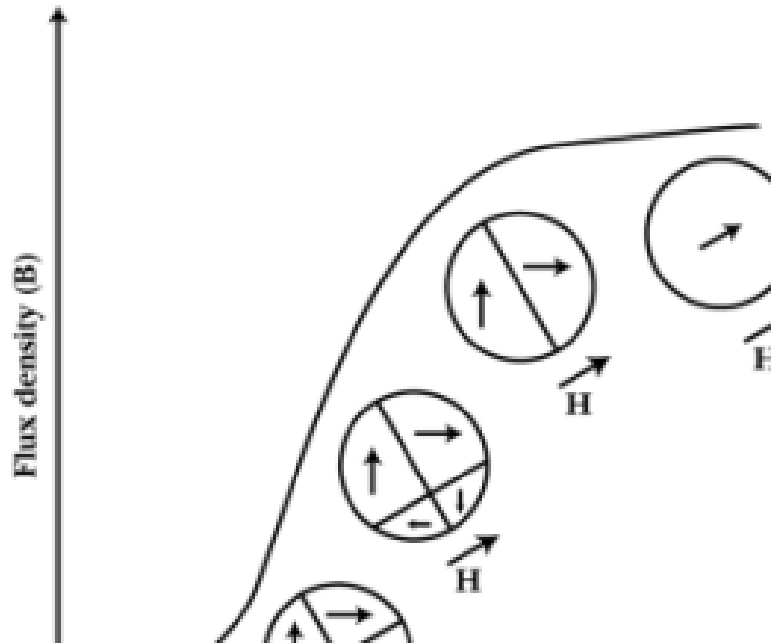
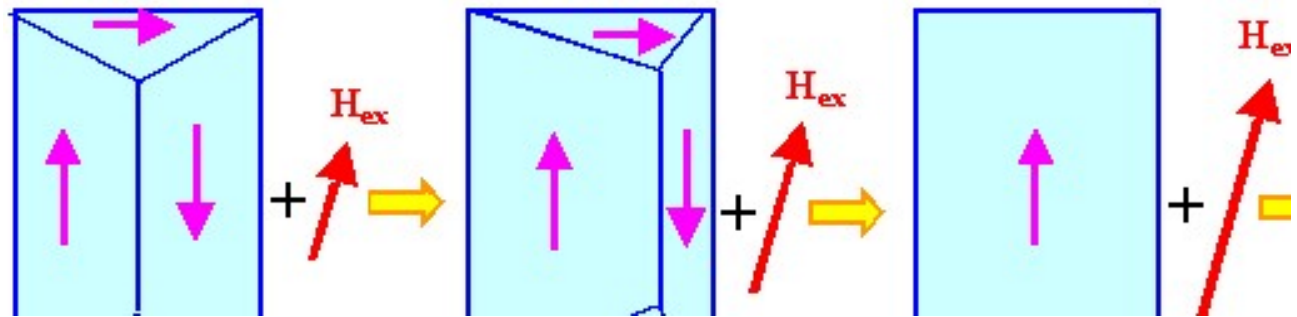
1 H																	2 He						
3 Li	4 Be	<b>Ferromagnetic</b>																5 B	6 C	7 N	8 O	9 F	10 Ne
11 Na	12 Mg	<b>Antiferromagnetic</b>																13 Al	14 Si	15 P	16 S	17 Cl	18 Ar
19 K	20 Ca	21 Sc	22 Ti	23 V	24 Cr 312 K	25 Mn 96 K	26 Fe 1043 K	27 Co 1390 K	28 Ni 629 K	29 Cu	30 Zn	31 Ga	32 Ge	33 As	34 Se	35 Br	36 Kr						
37 Rb	38 Sr	39 Y	40 Zr	41 Nb	42 Mo	43 Tc	44 Ru	45 Rh	46 Pd	47 Ag	48 Cd	49 In	50 Sn	51 Sb	52 Te	53 I	54 Xe						
55 Cs	56 Ba	57 La	72 Hf	73 Ta	74 W	75 Re	76 Os	77 Ir	78 Pt	79 Au	80 Hg	81 Tl	82 Pb	83 Bi	84 Po	85 At	86 Rn						
87 Fr	88 Ra	89 Ac																					
			58 Ce 13 K	59 Pr	60 Nd 19 K	61 Pm	62 Sm 105 K	63 Eu 90 K	64 Gd 293 K	65 Tb 229 221	66 Dy 179 85	67 Ho 132 20	68 Er 85 20	69 Tm 56 K	70 Yb	71 Lu							
			90 Th	91 Pa	92 U	93 Np	94 Pu	95 Am	96 Cm	97 Bk	98 Cf	99 Es	100 Fm	101 Md	102 No	103 Lr							



# Magnetic Domains





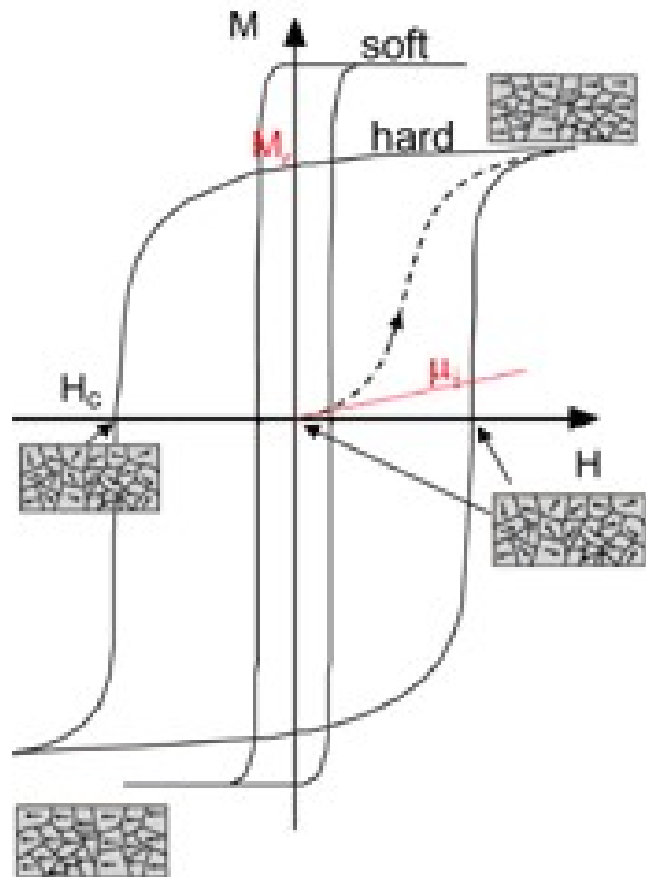


Domains Before Magnetization



Magnetic field

# Hard and soft magnetic materials



## Soft magnetic materials

Easily magnetized, easily demagnetized

Inductor and transformer cores, recording head

High saturation magnetization  $M_s$

Low coercivity  $H_c$

High permeability  $\mu$

Low magnetocrystalline anisotropy  $K_u$

Low magnetostriction  $\lambda_s$

Low core loss

High resistivity



## Hard magnetic materials

Difficult to magnetize, difficult to demagnetize

Permanent magnets, recording media

High saturation magnetization  $M_s$

Low coercivity  $H_c$

High magnetocrystalline anisotropy  $K_u$

High maximum energy product  $(BH)_{max}$



- Compass, magnetometers
- Holding devices
- Magnetic filtration
- Drives and couplings
- Switches
- Magnetic clutches
- Magnetic tools

- Travelling-wave tube
- Electron microscope
- Klystron
- Magnetron
- Mass spectrometer

## Hard

- Loudspeakers
- DC motors
- Synchronous motors
- Brushless motors
- Hysteresis motors
- Computer peripherals
- Measuring instruments
- Telephone receivers

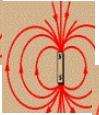
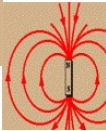
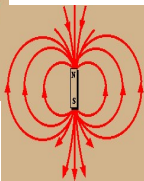
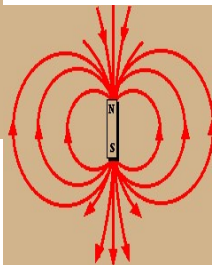
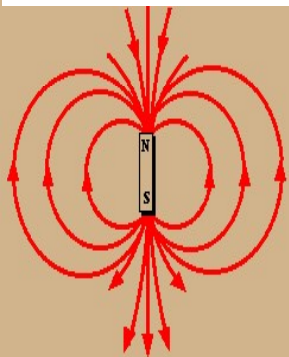
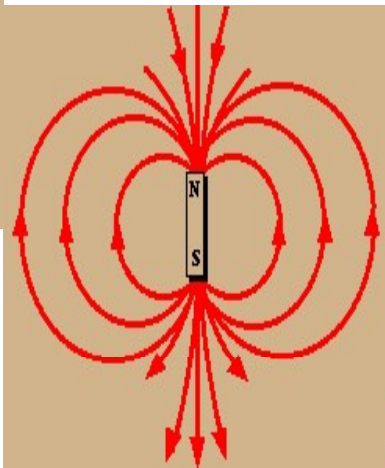
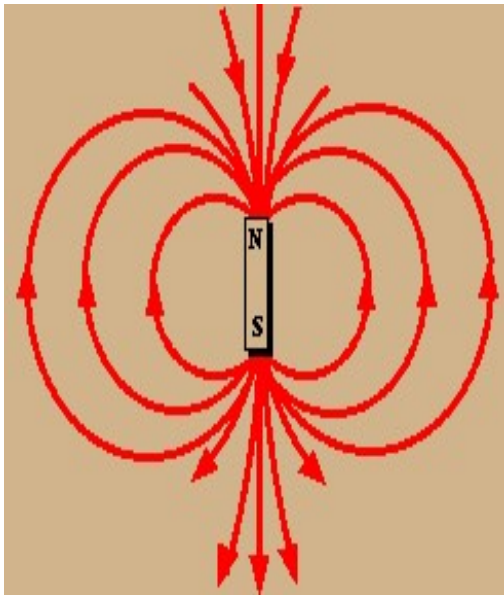
- Generators
- Microphones
- Brakes
- Speedometers

- Electromagnets and yokes
- Relays
- Magnetic shields
- Electrical measuring devices
- Magnetic amplifiers

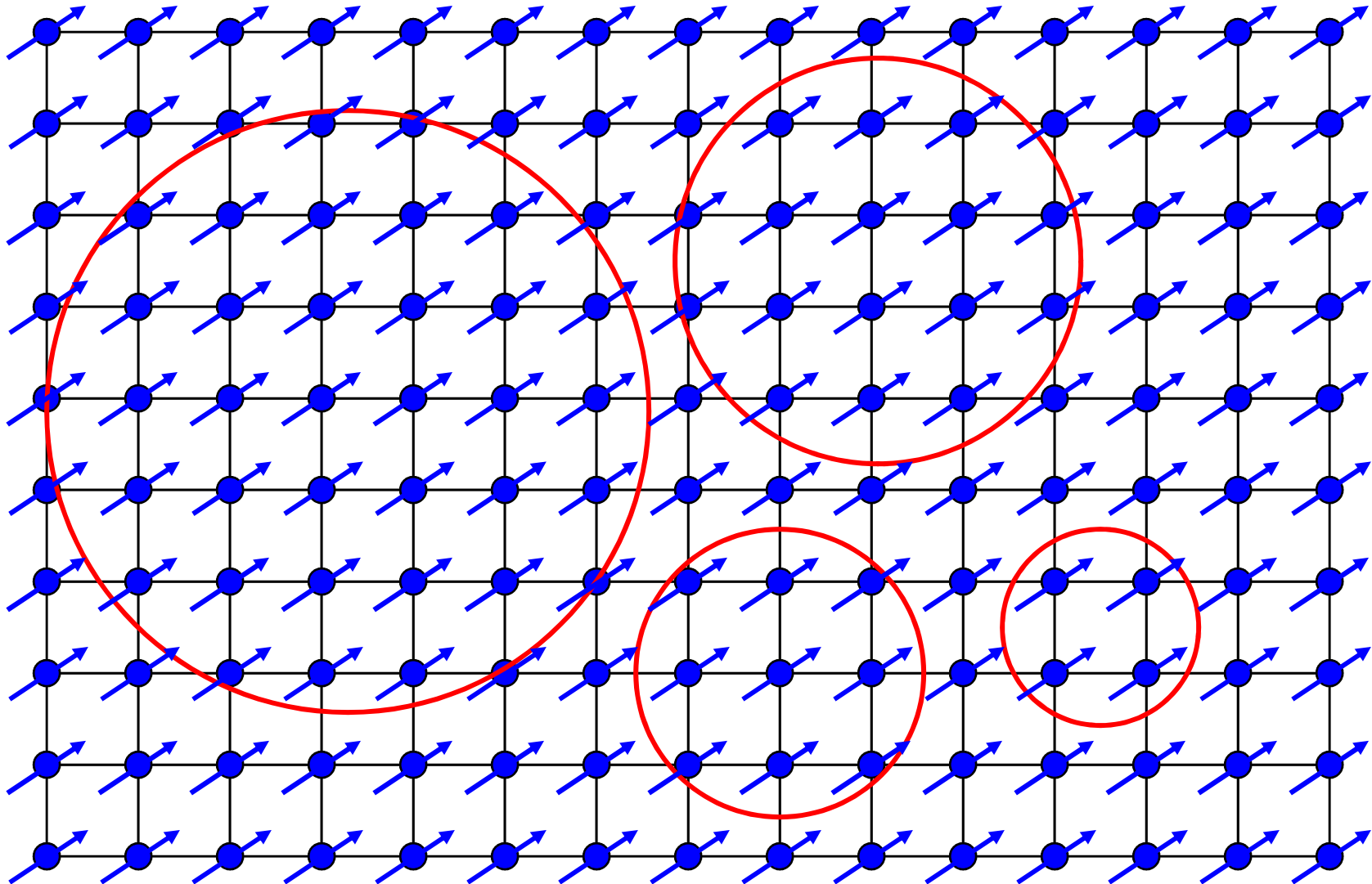
## Soft

- Transformers
- Motors and generators
- Signal transmitters and receivers

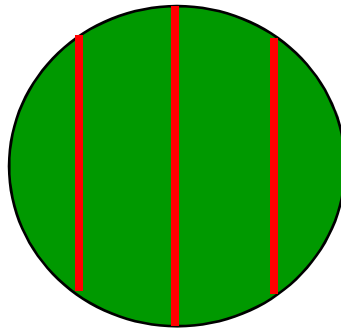
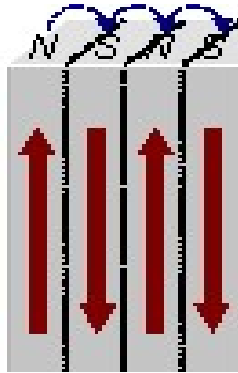
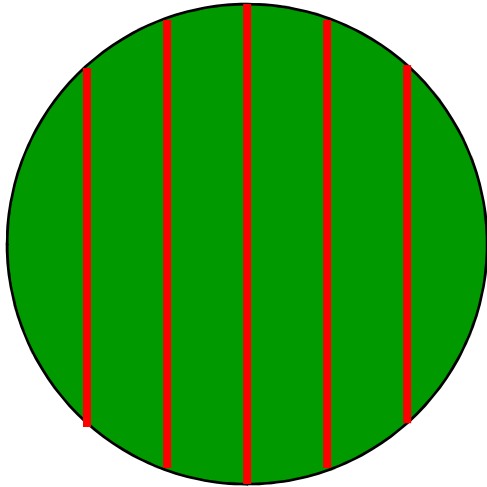
# Nanomagnetics



# Bulk and Nanomagnetic materials

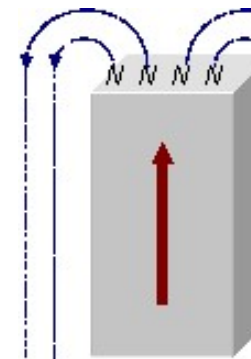
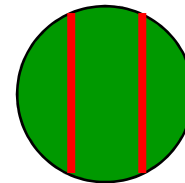


# Multi-domain



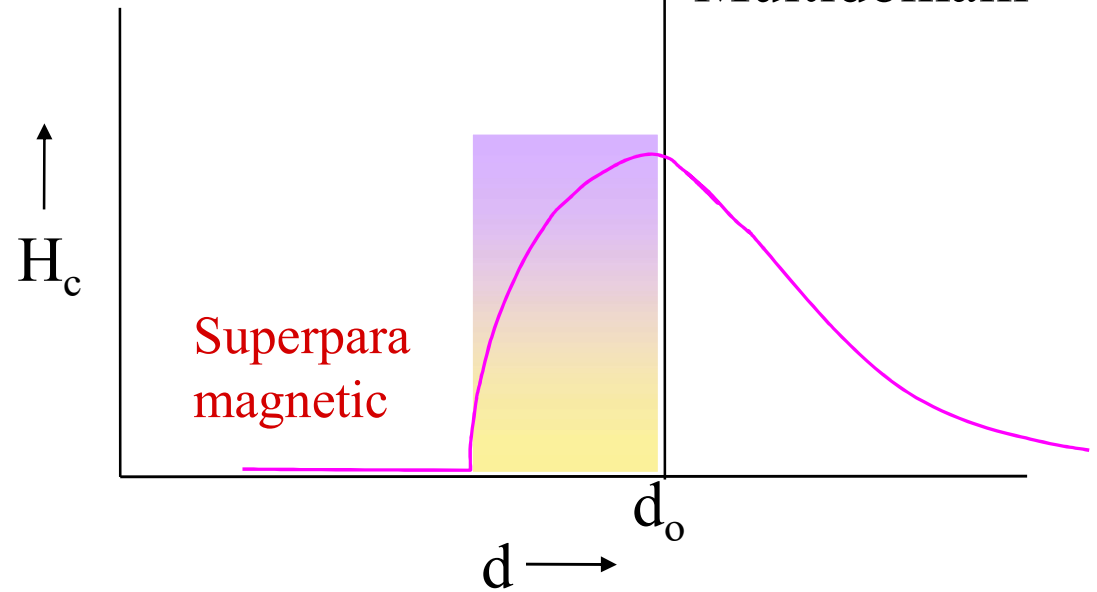
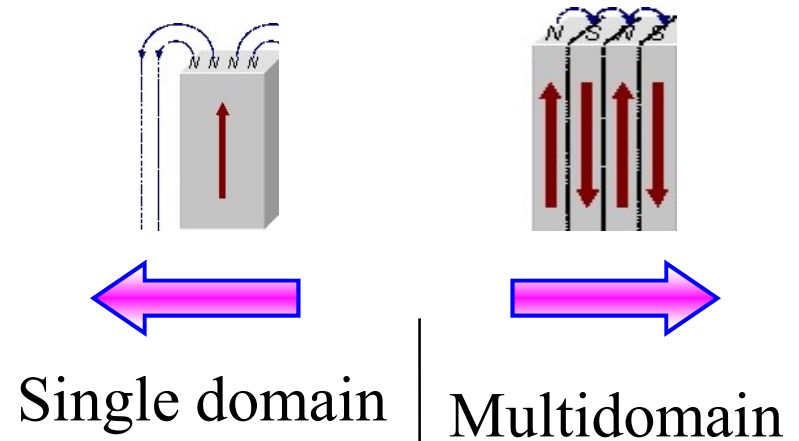
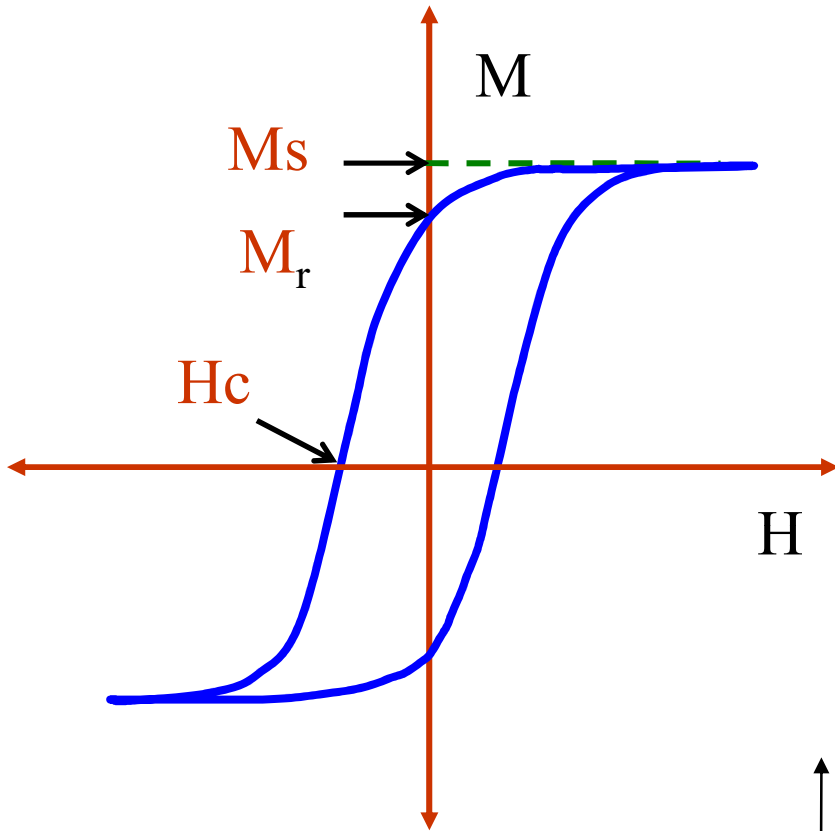
$r_c \approx 3\text{nm}$  for Fe

$r_c \approx 30\text{nm}$  for  $\text{Fe}_3\text{O}_4$



# Single-domain

# Effect of Finite Dimension



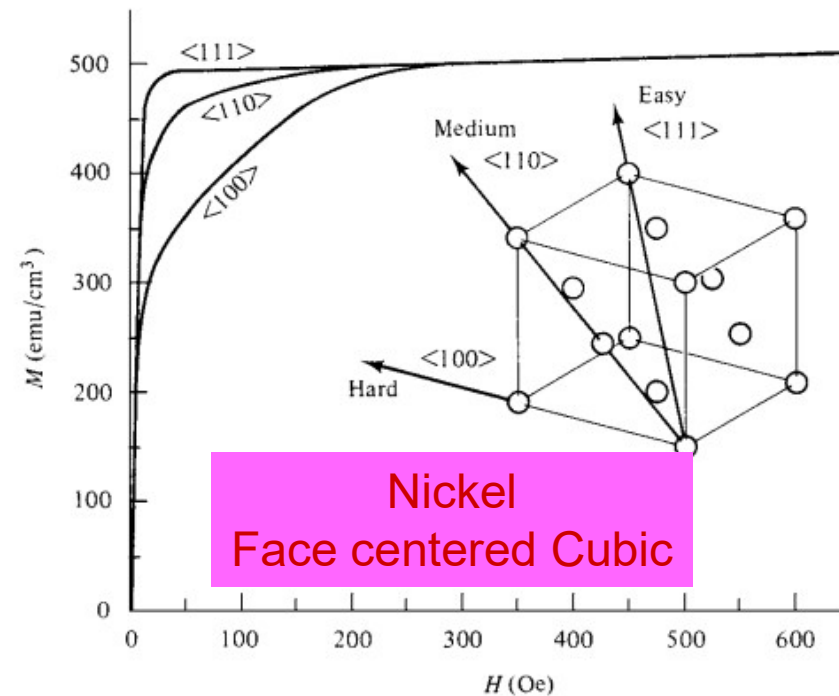
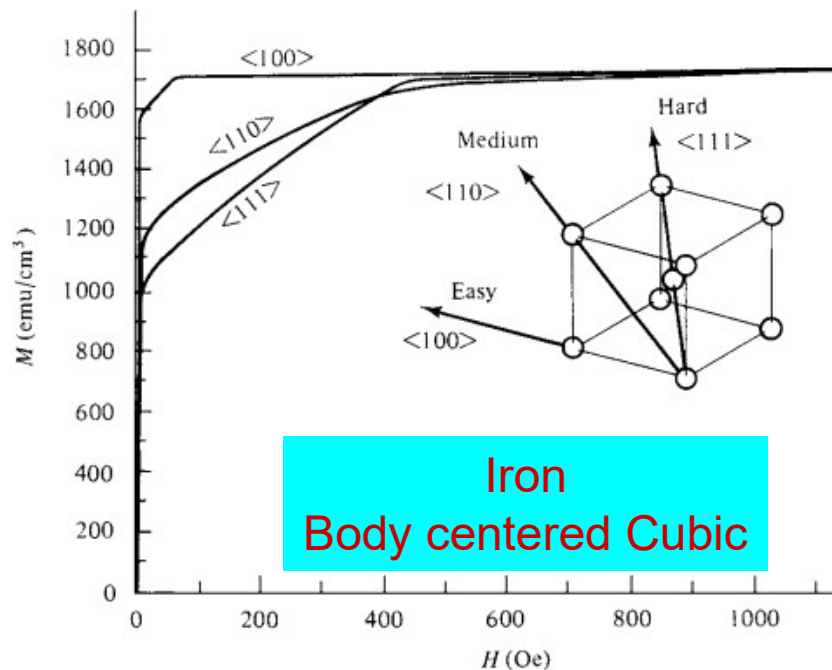
# Magnetic anisotropy

Dependence of magnetic properties on a preferred direction in a solid

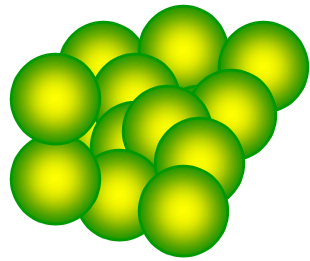
Magnetocrystalline - crystal structure (spin-orbit coupling)

Shape - grain shape

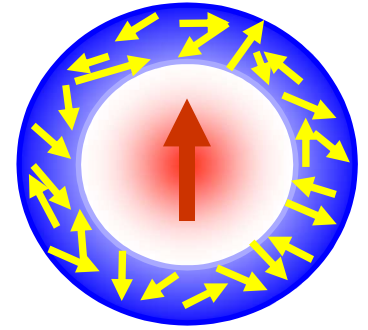
Stress - applied or residual stresses





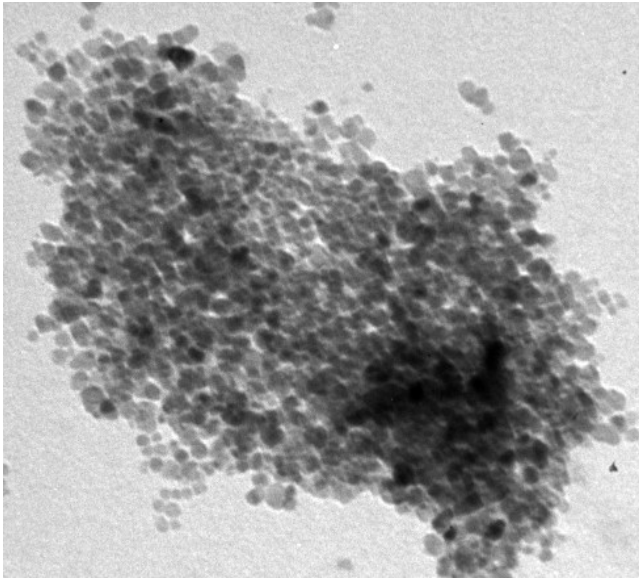


## Magnetic nanoparticles



- Magnetocrystalline anisotropy
- Shape anisotropy
- Surface contributions to anisotropy
- Inter-particle interactions
  - Dipolar
  - Magnetic Exchange

# Superparamagnetism



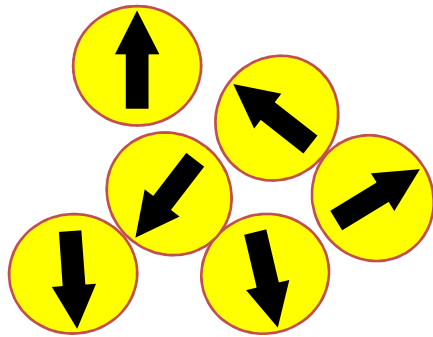
$$KV \approx 25 k_B T$$

K – Anisotropy

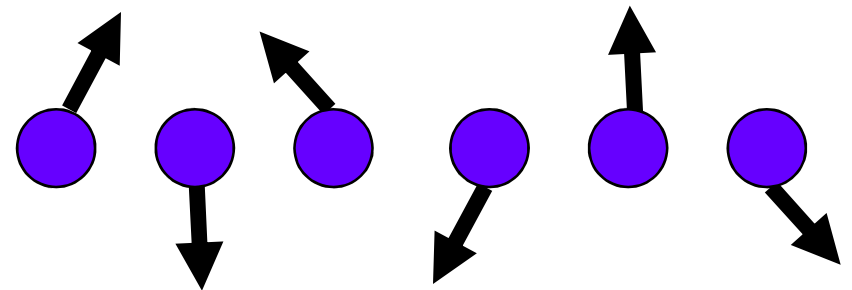
V – Volume of a particle

$k_B$  – Boltzmann's constant

T – Temperature

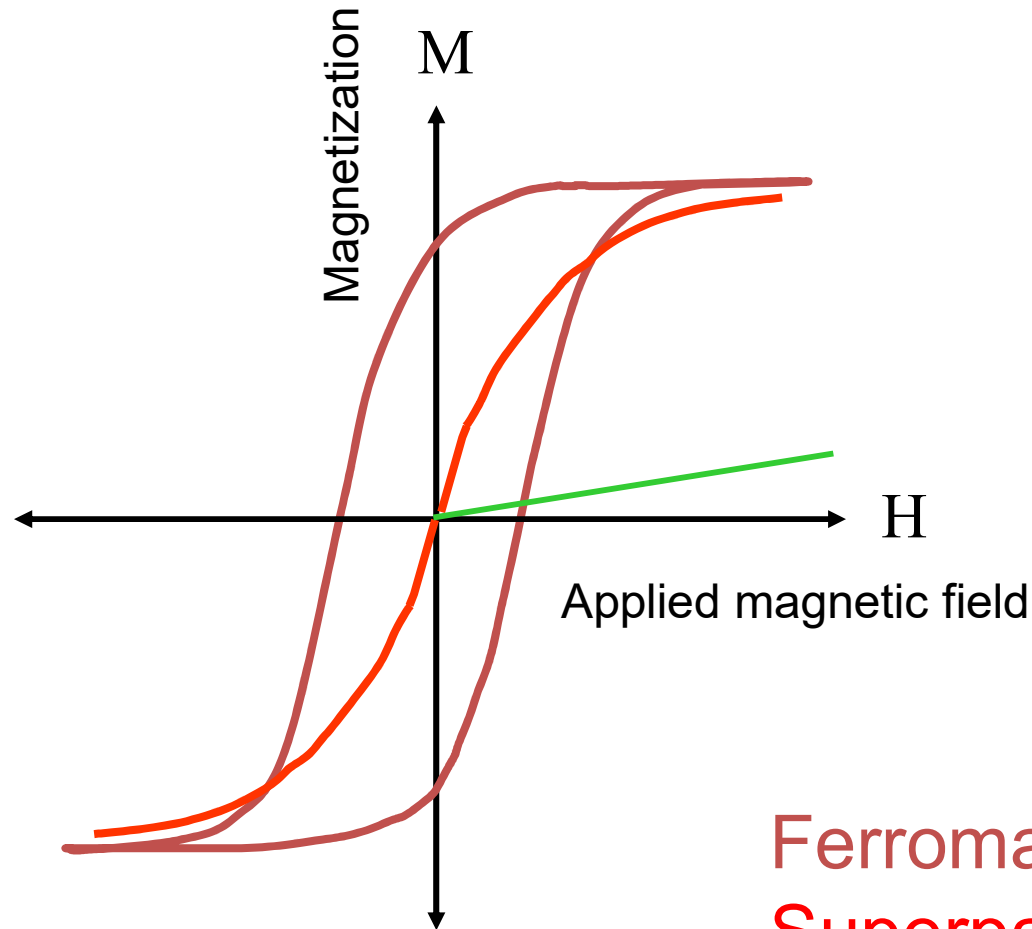


Super-Paramagnetic



Paramagnetic

# Nanomagnetic Materials

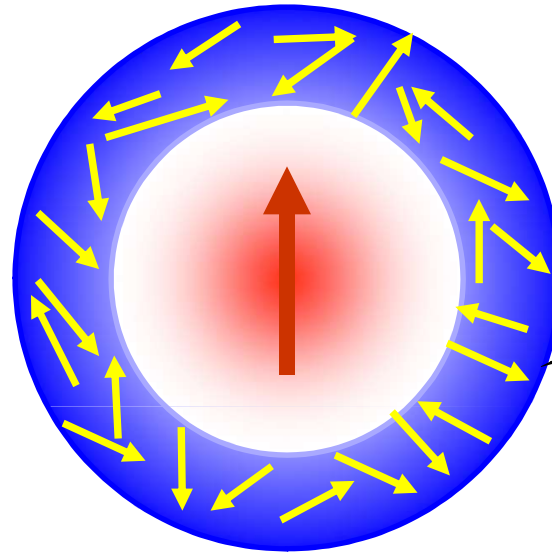
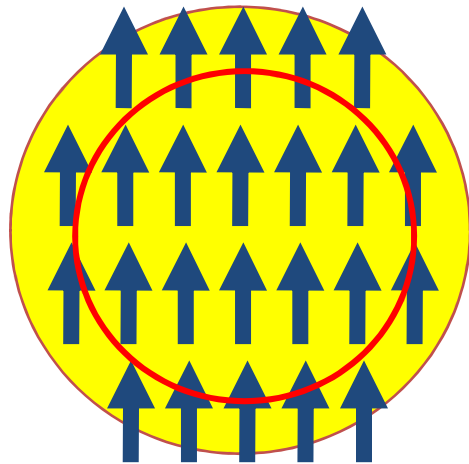


Ferromagnetic

Superparamagnetic

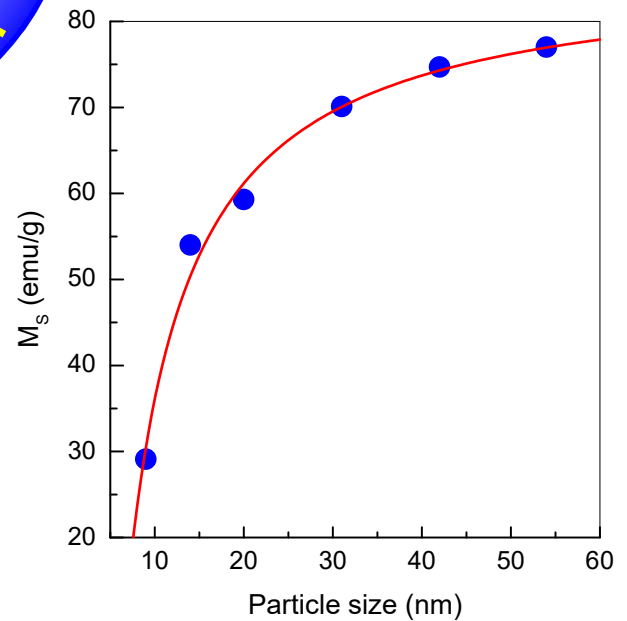
Paramagnetic

# Magnetic Core-Shell Structure



$$M_s^d = M_s^{\text{bulk}} \left(1 - \frac{6t}{d}\right)$$

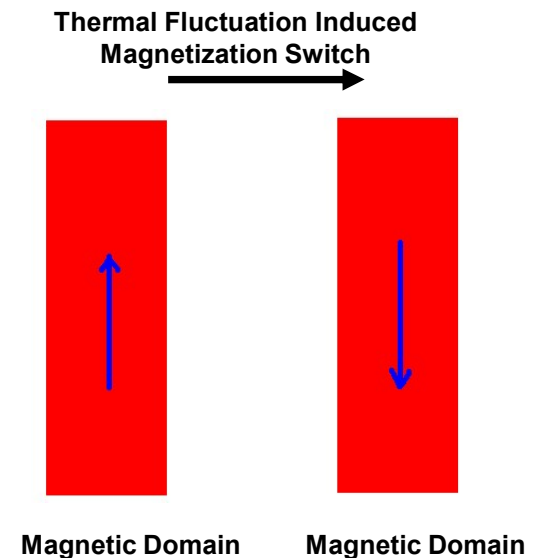
**$t \approx 1$  unit cell dimension**  
 **$d$  – diameter of a particle**



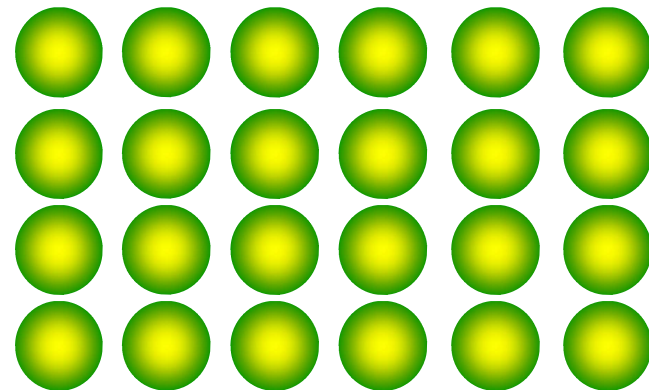
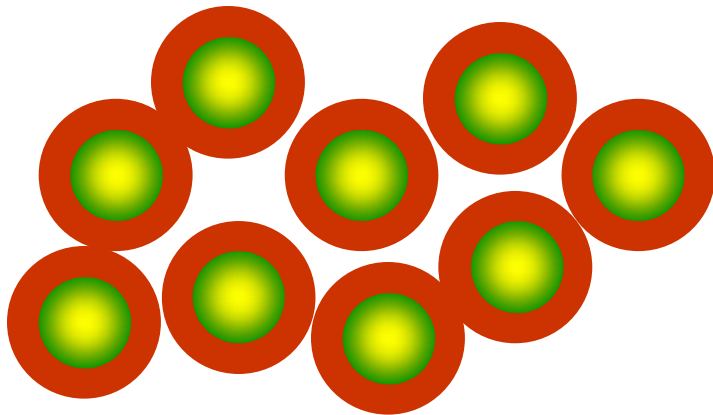
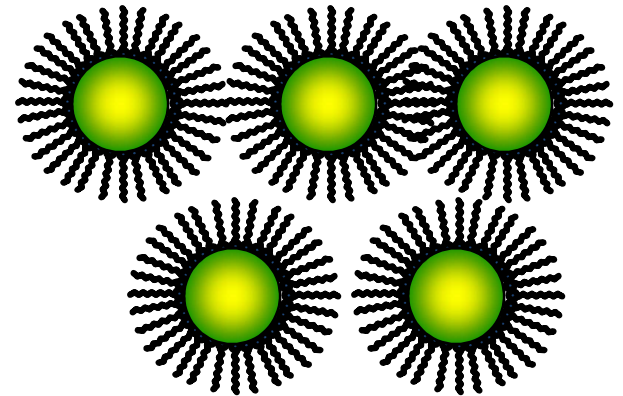
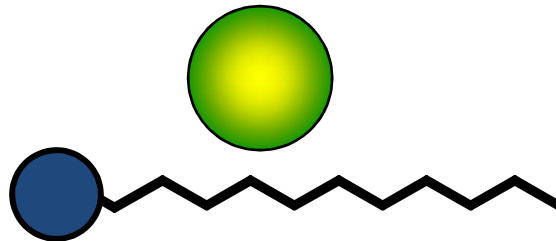
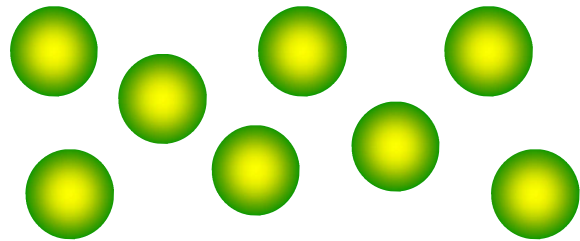
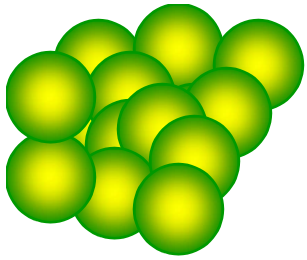
# Thermal Stability Issue

$$KV \approx 25 k_B T$$

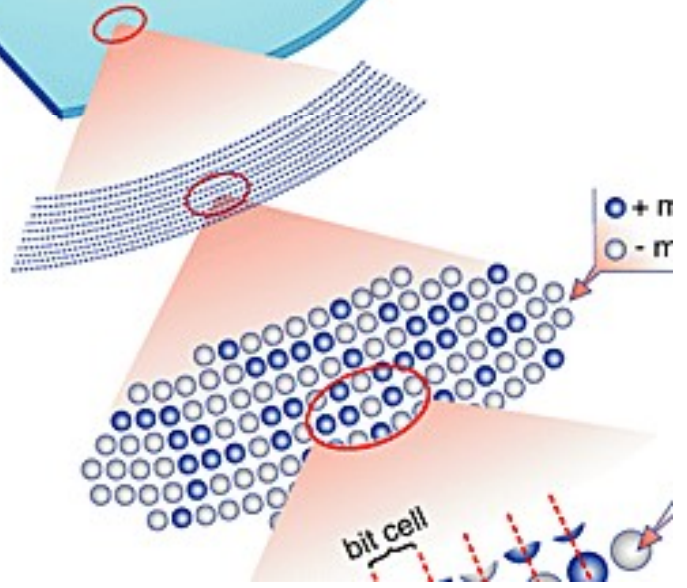
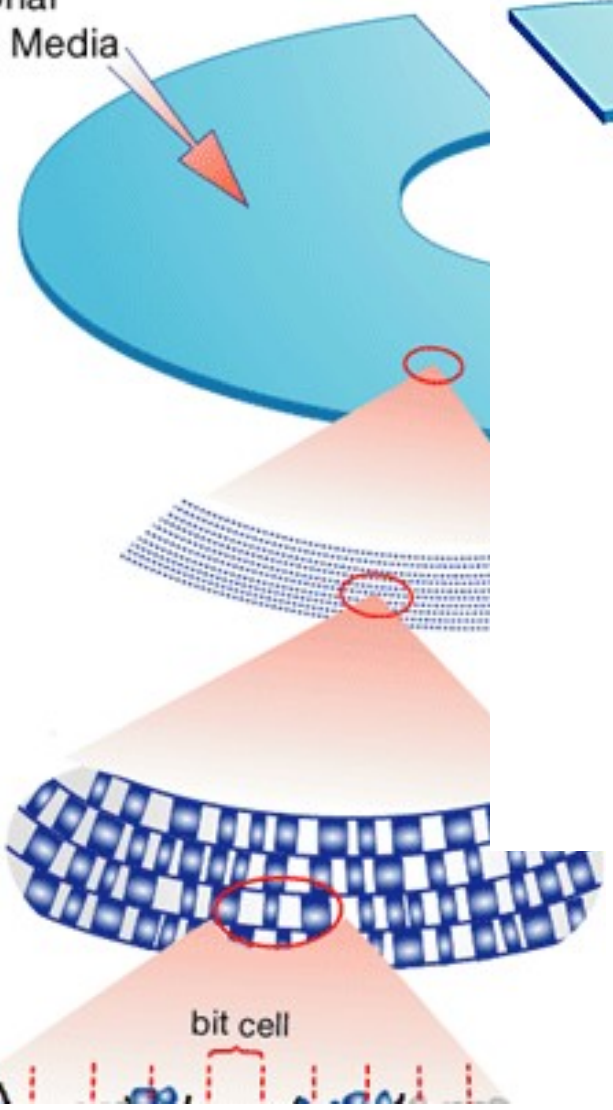
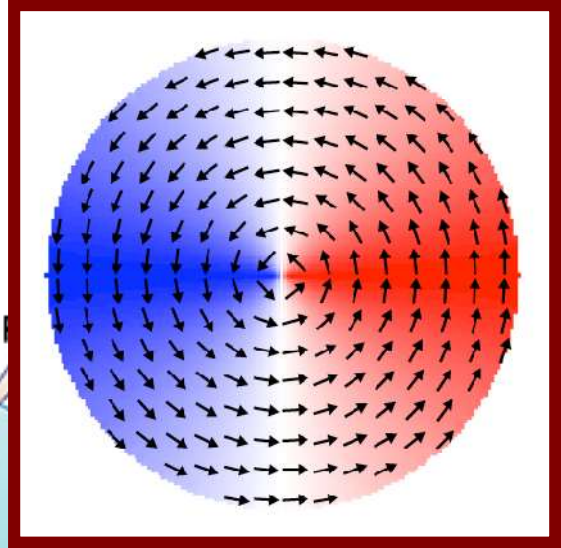
- Average Thermal Energy is  $k_B T$  ( $k_B$  is the Boltzmann's constant)  
T normally is room temperature,  $\sim 300\text{K}$
- Energy barrier to switch a domain is  $KV$  ( $V$  is the volume of the domain;  
 $K$  is the anisotropy constant of the material.  
Higher  $K$  means higher writing magnetic field)
- $KV/k_B T$  determines the thermal stability.  
Normally it should be larger than 60.



# Magnetic Interactions



Conventional  
Multigrain Media



# Magnetic Nanomaterials

- Single Domains
- Superparamagnetism
- Thermal Effects
- Magnetic Core-Shell Structure
- Surface Contributions
- Inter-particle Interactions
- Particle size and distribution
- Technological Applications
- Biocompatibility
- Medical Applications





# Some special Nanomaterials



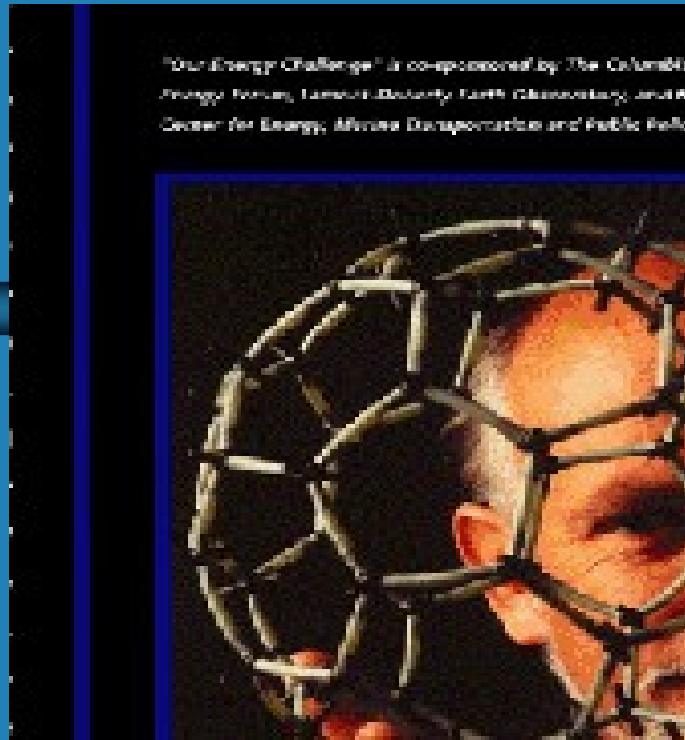
Carbon-Nanotubes:

Synthesis, Characterization and Applications



# Overview

- Introduction
- Types of CNTs
- Double Walled Carbon Nanotubes
- Synthetic Approaches
- Characterization Techniques
- Electronic Properties, Applications
- Conclusions

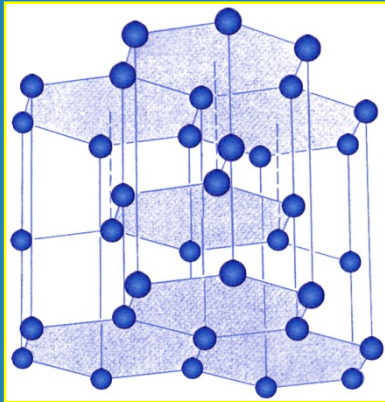


Nobel Prize 1996

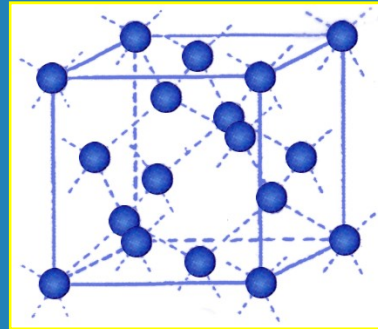
“Nanotechnology holds the answers, to the extent there are answers, to most of our pressing materials needs in energy, food, health, communication, transportation, water etc.”

- Richard Smalley

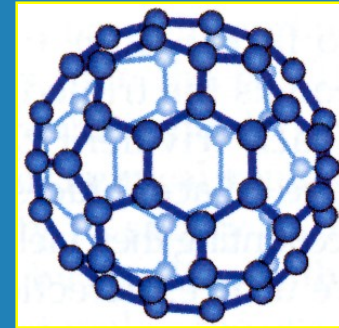
# Ordered Carbon Structures



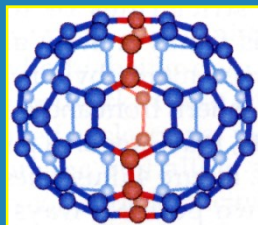
Graphite



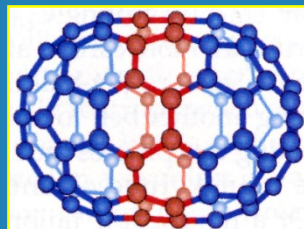
Diamond



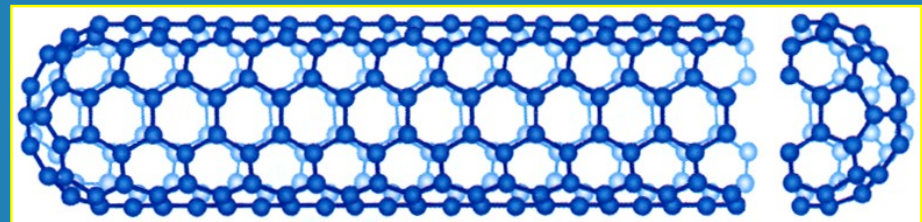
C<sub>60</sub>



C<sub>70</sub>

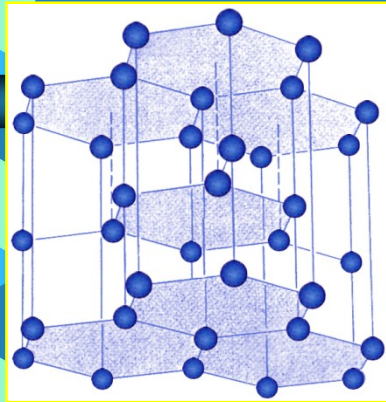


C<sub>80</sub>

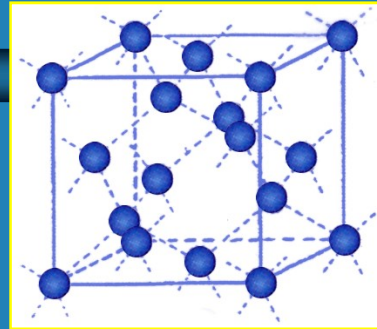


CNT

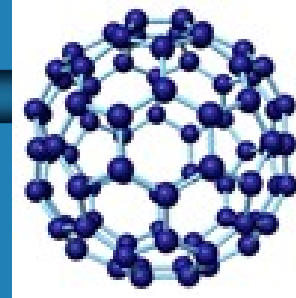
# Ordered Carbon Allotropes



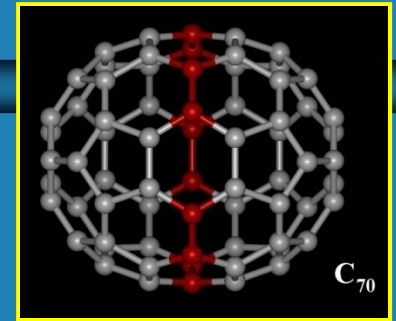
Graphite



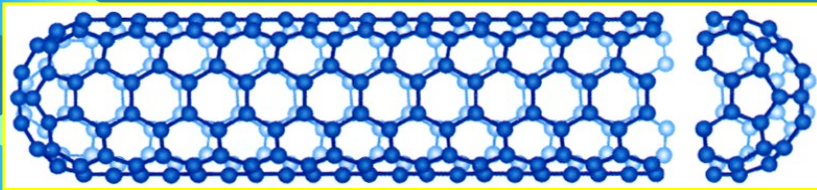
Diamond



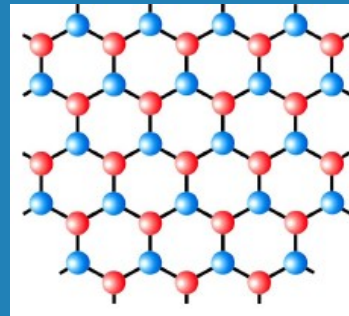
C<sub>60</sub>



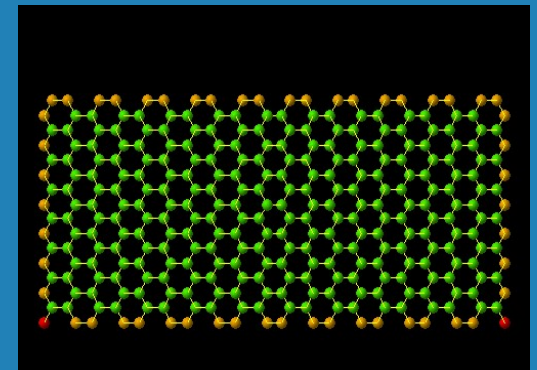
C<sub>70</sub>



CNT



Graphene



Graphene-CNT

*“Although other elements may form complex, covalently bonded structures, none has the rich molecular variety of carbon”*

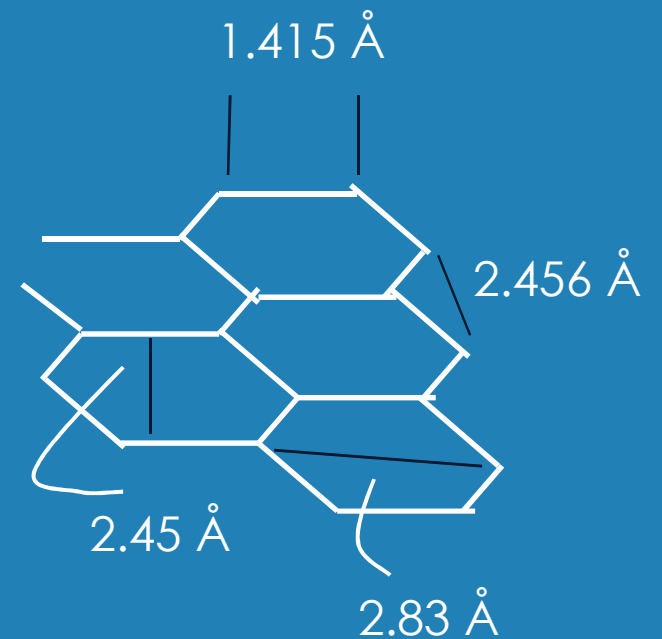
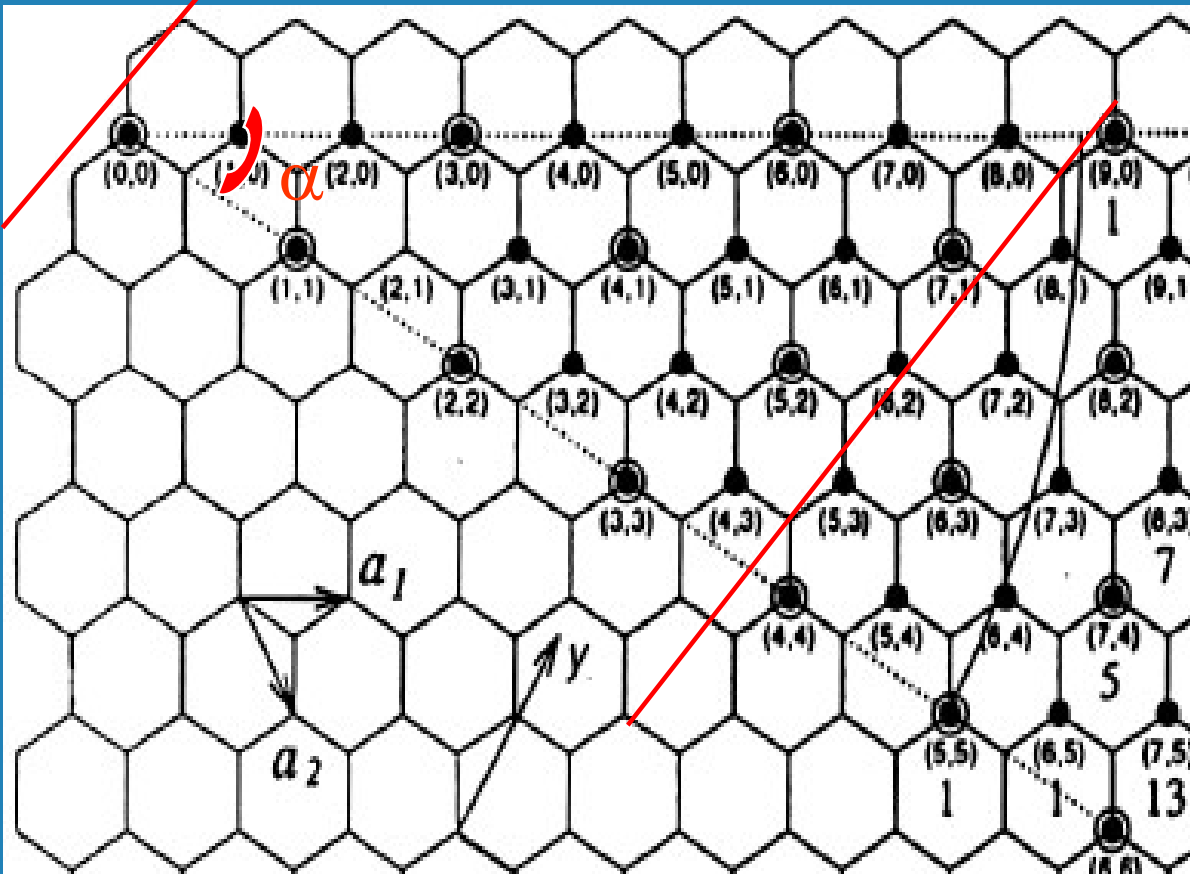


# Carbon One-dimensional Structures

- Discovered by S. Iijima in 1991
- Unique material properties
- Fundamental laboratory for quantum confinement physics
- SWNTs/MWNTs
- Nanoelectronics, Hydrogen storage, Gas sensors, Supercapacitors

# Structure

$$C_h = na_1 + ma_2; n, m \text{ integer}$$



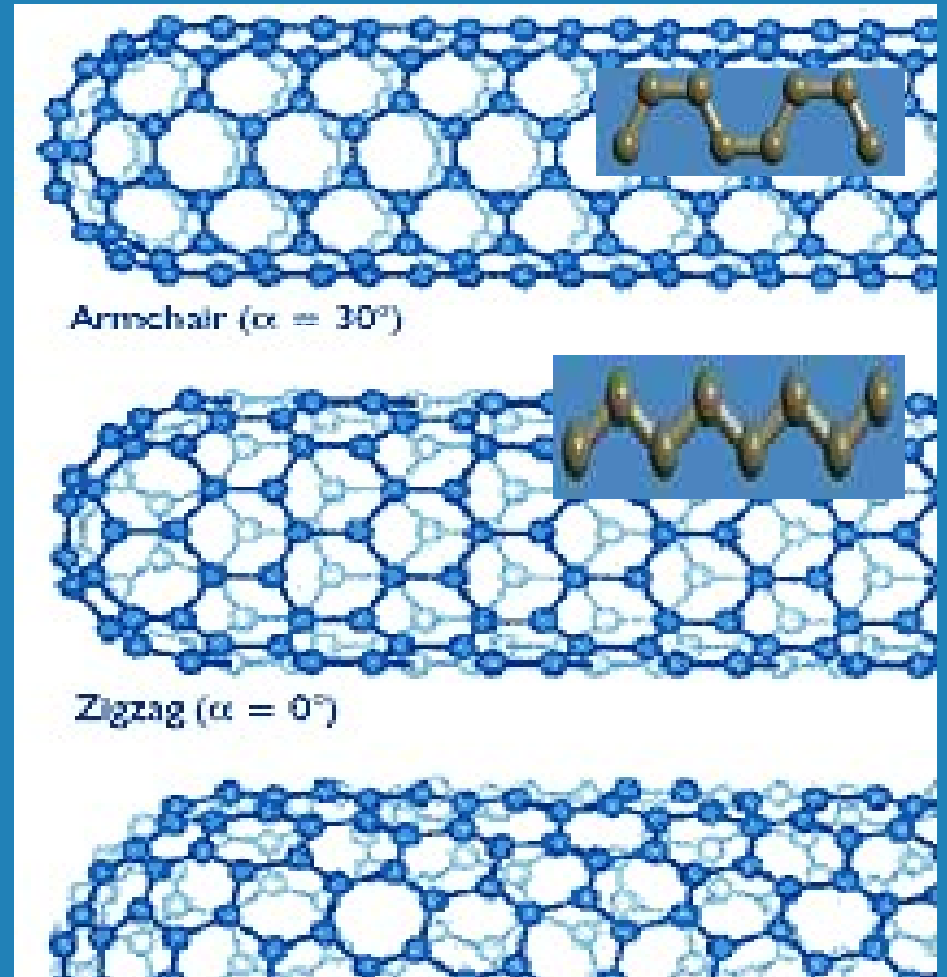


# Fundamental Gap

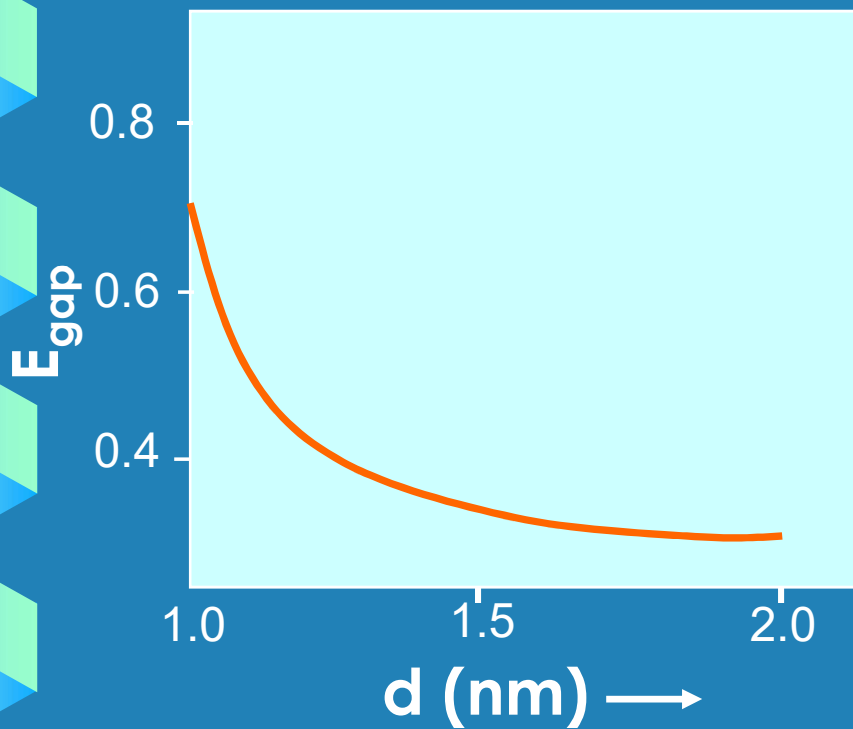
- **(n = m) CNTs are metals**  
*Armchair ( $\alpha = 30^\circ$ )*
- **(n, m) CNTs with  $n - m = 3j$ ; tiny gap semiconductors or metals**  
*Zigzag ( $\alpha = 0^\circ$ )*
- **All others are large-gap ( $> 0.5$  eV) semiconductors**  
*Chiral ( $0 < \alpha < 30^\circ$ )*

$$d = (n^2 + m^2 + nm)^{1/2} 0.0783 \text{ nm}$$

d = diameter,  
(n, m) chiral parameters



## Band Gap vs Diameter



$$E_{\text{gap}} = 2 \gamma_0 a_{\text{CC}} / d$$

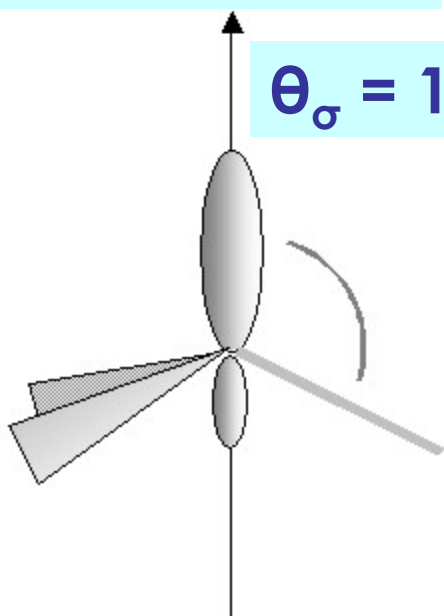
$\gamma_0$  = C-C tight bonding overlap energy (2.7 eV)

$a_{\text{CC}}$  = C-C distance (0.142 nm),  
and

$d$  = diameter

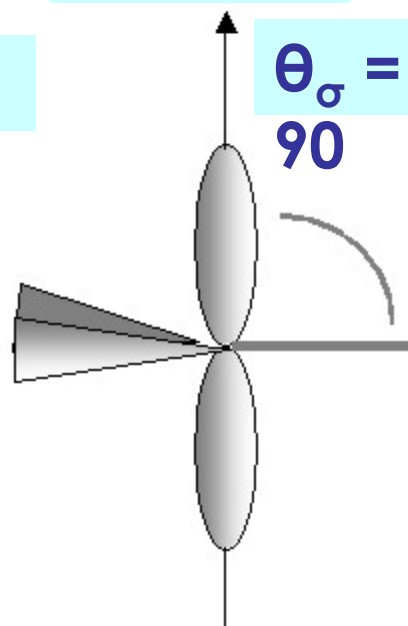
# Reactivity of CNTs

**Tetrahedral**



$$\theta_\sigma = 109.47$$

**Trigonal**



$$\theta_\sigma = 90$$

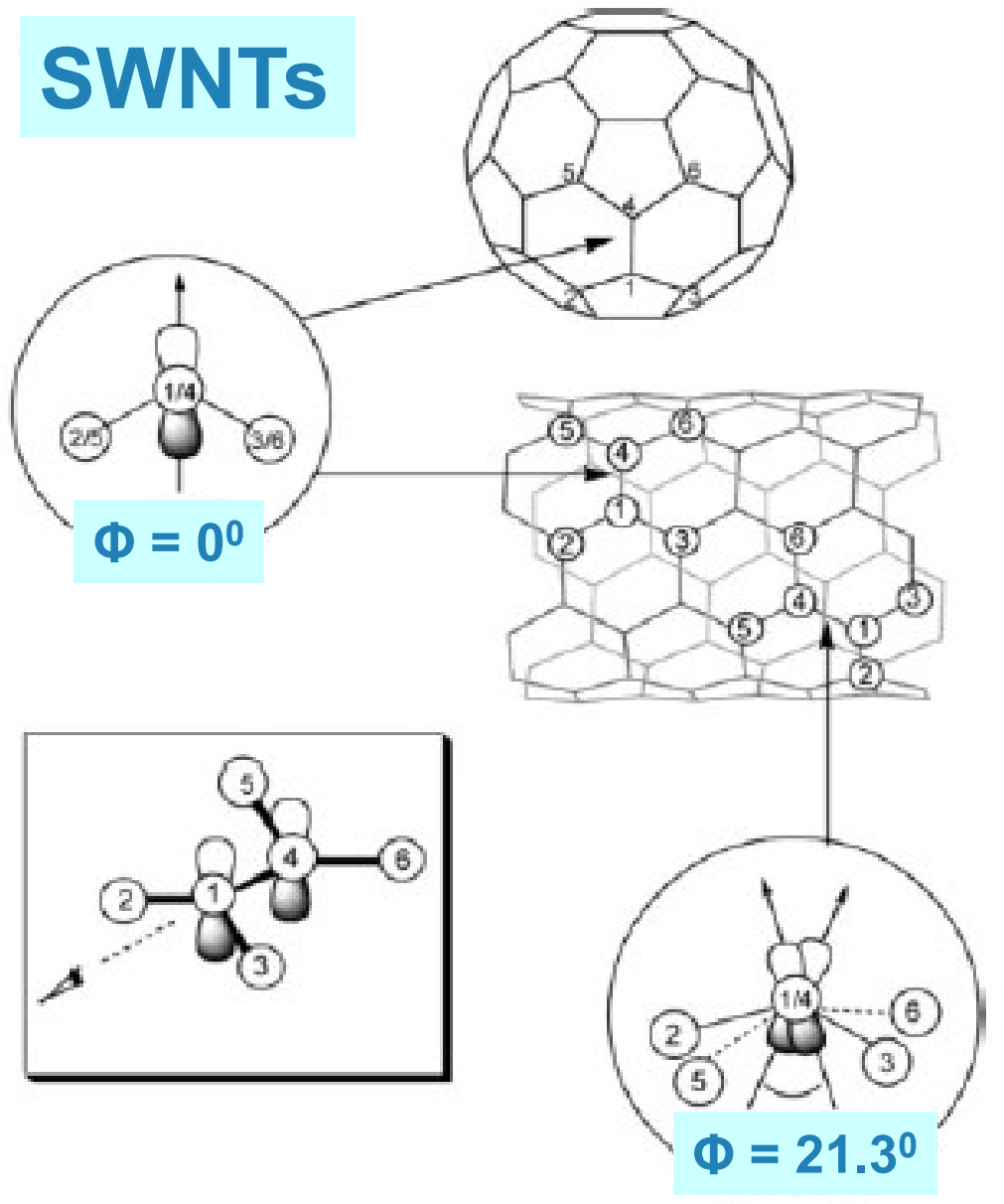
**Pyramidalization  
Angle**

$$\theta_p = (\theta_\sigma - 90)^\circ$$

**Release of Pyramidalization Strain- Energy Results in  
Addition Reactions Energetically Favorable**

# Sidewall Functionalization

## SWNTs



$\pi$ -Orbital Misalignment is More Important Than The Pyramidalization Angle

Smaller Diameter Tubes are More Reactive

Loss of Intrinsic Electronic Structure

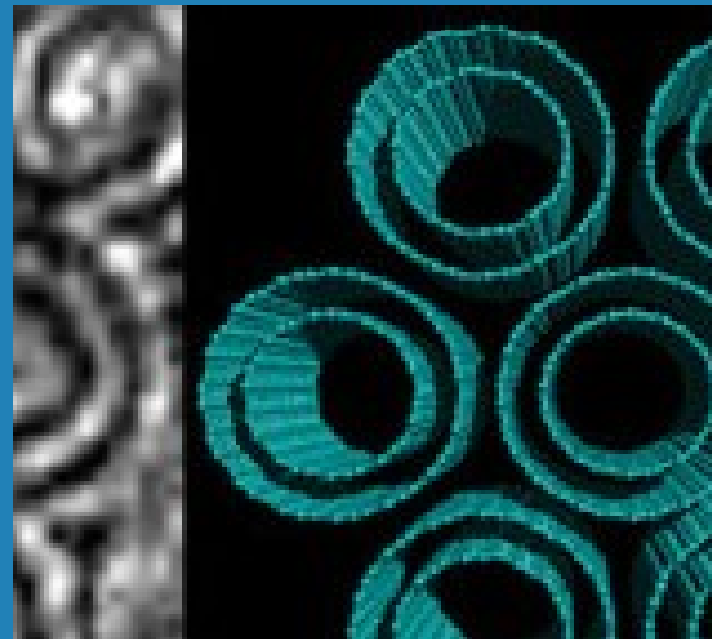
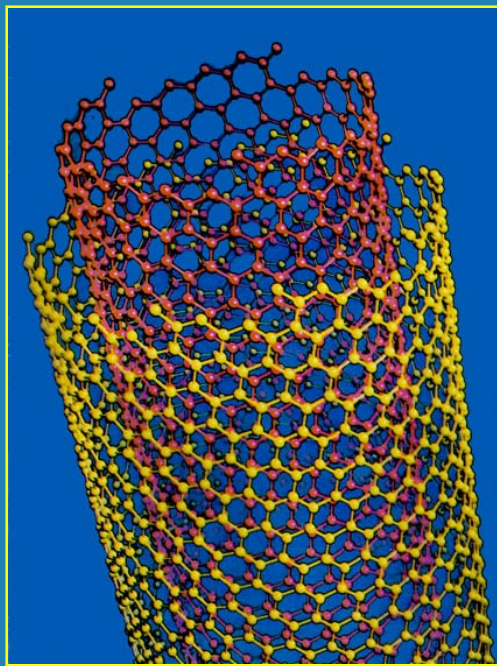
# Excellent Material Properties

- Large Aspect Ratio(>1000)
- Atomically Sharp Tips
- High Thermal and Chemical Stability
- High Electrical and Thermal Conductivity

<i>Material</i>	<i>Young's Modulus (GPa)</i>	<i>Tensile Strength (GPa)</i>	<i>Density (g/cm<sup>3</sup>)</i>
SWNT	1054	150-200	1.33
MWNT	1200	150	2.6
DWNT	1000-2000	300	0.77
Steel	208	0.4	7.8
Epoxy	3.5	0.005	1.25
Wood	16	0.008	0.6

## Double Wall Carbon Nanotubes; Stronger Carbonaceous Material

The coaxial structure contains two concentric graphene cylinders

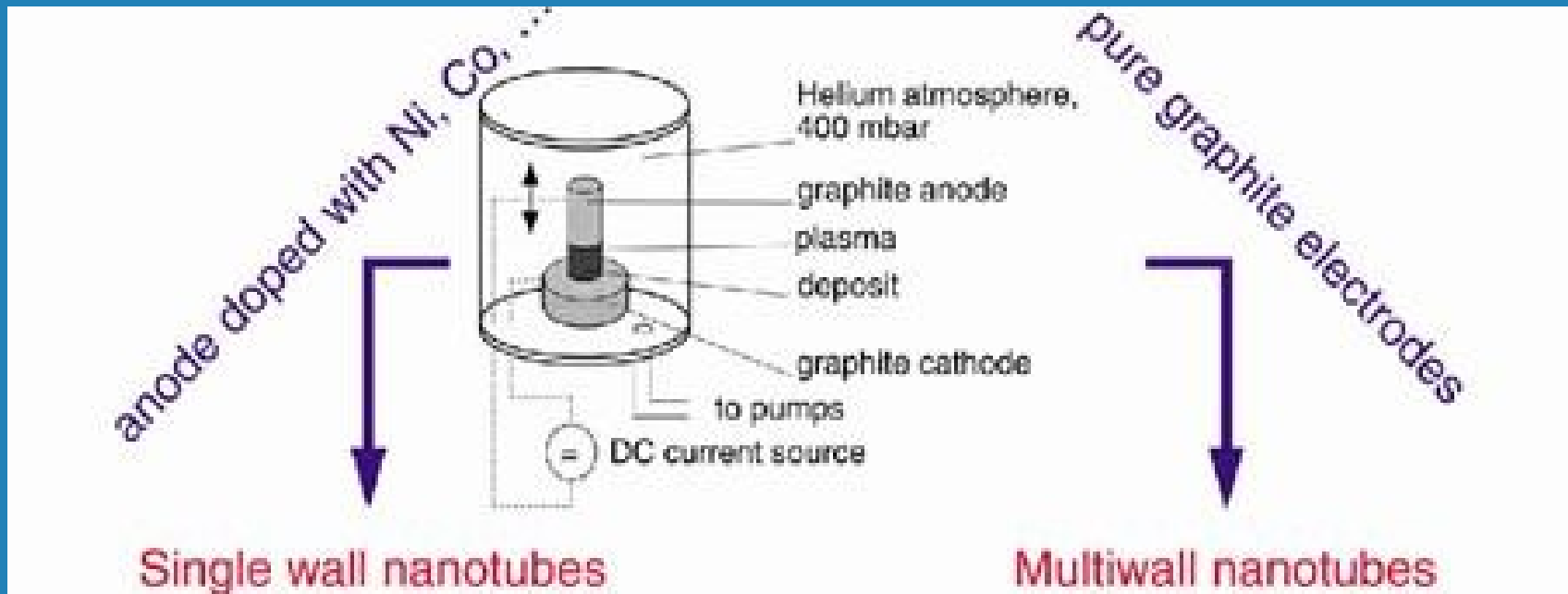




## Commonly Adopted Synthetic Methods

- Arc Discharge Synthesis
- Laser Ablation
- Chemical Vapor Deposition (CVD)

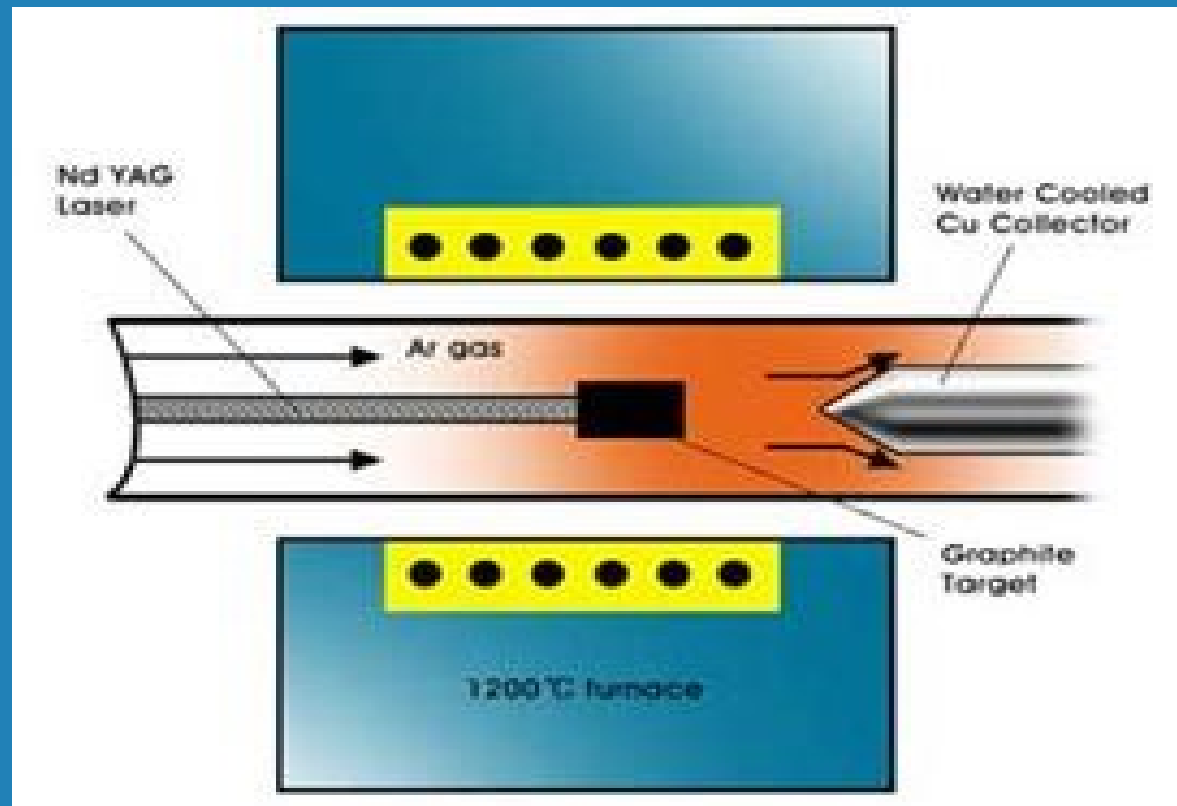
# Arc Discharge Synthesis



- 100 A, 25-35 V dc for discharge
- Helium gas: Coolant, homogeneous deposition
- Catalysts: Y, Ni



## Laser Ablation (PLV)



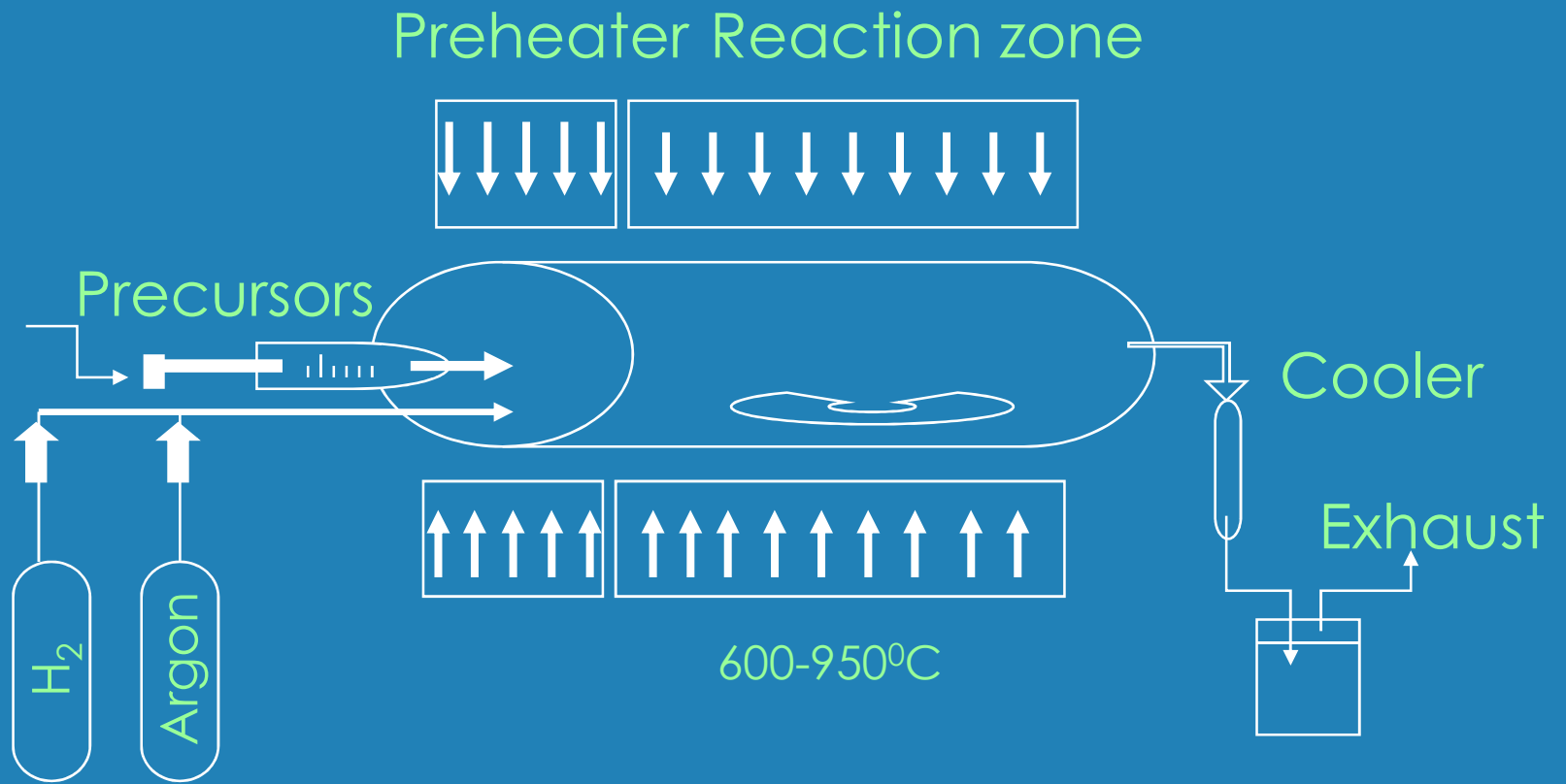
- Avoids usage of electric field
- Lasers: Nd, YAG pulsed
- Frequency of pulse: 60 Hz (very fast)
- Target ablated by 5 cm beam at 500 watt in an oven of 1200°C
- CNT deposition rate 0.3-0.4 g/hr

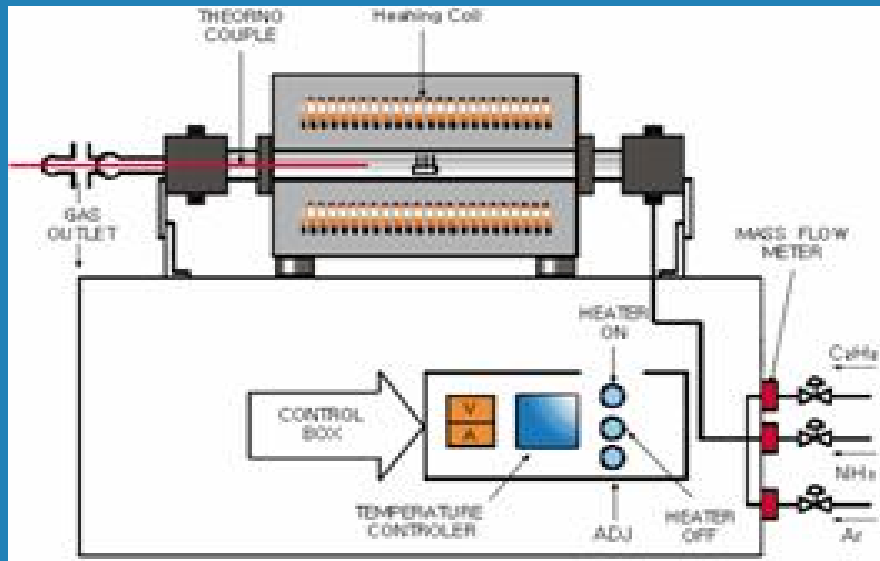


# Chemical Vapour Deposition (CVD)

- Plasma enhanced chemical vapour deposition
- Thermal chemical vapour deposition
- Alcohol catalytic chemical vapour deposition ( $550^{\circ}\text{C}$ )
- Vapour phase growth (metallocene)
- Aero gel-supported chemical vapour deposition
- Laser-assisted thermal chemical vapour deposition
- CoMoCat process
- High pressure CO disproportionation process (HiPCO)

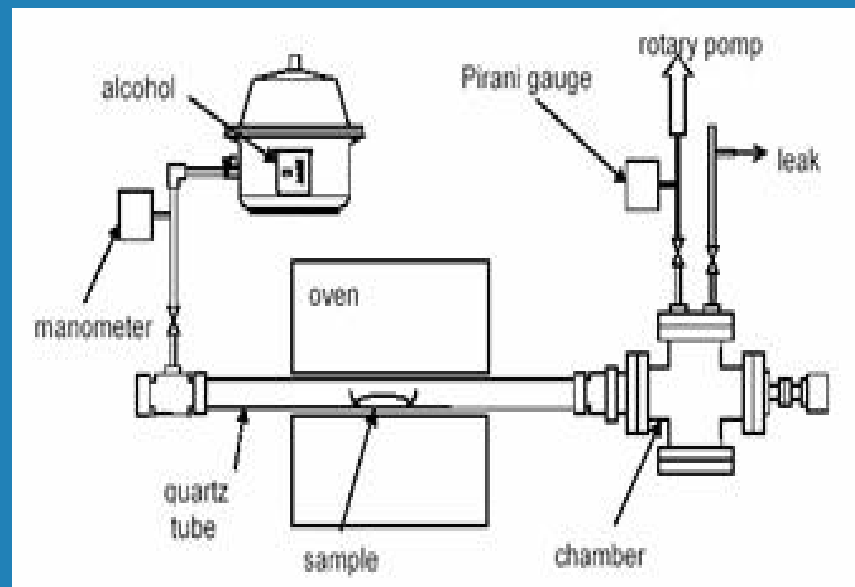
# Chemical Vapor Deposition





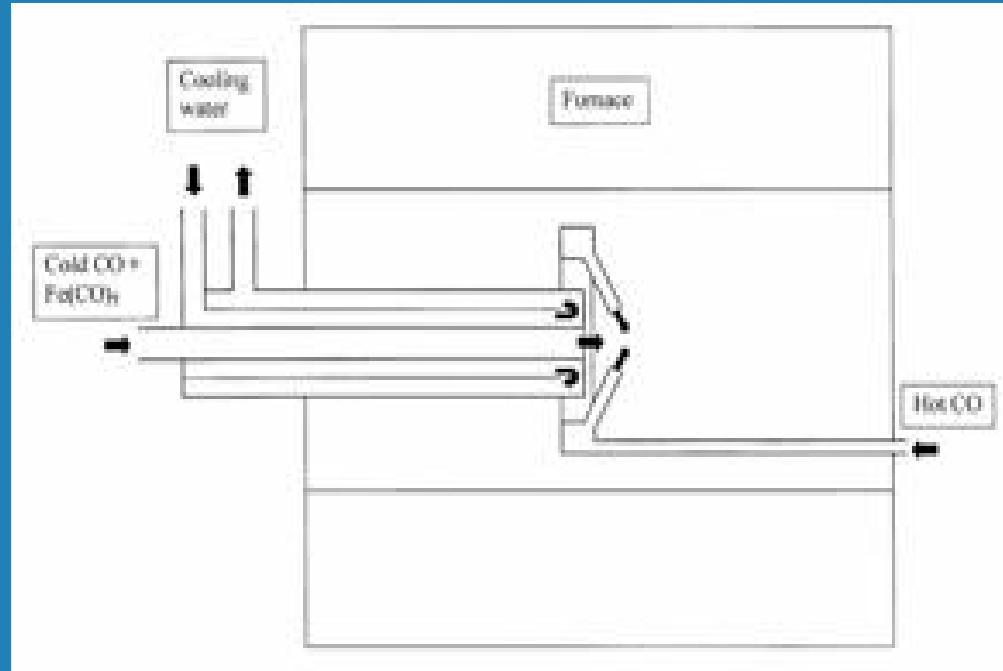
## Thermal CVD

Fe, Ni, Co or an alloy as catalyst  
750 to 1050° C



## Alcohol catalytic CVD

Fe, Co particles supported with zeolite  
Above 550° C  
High yield of SWNTs/DWNT mixture



## HiPCO

Flowing CO, along with  $\text{Fe}(\text{CO})_5$  through a heated reactor  
Purity of 97% at rates of 450 mg/h

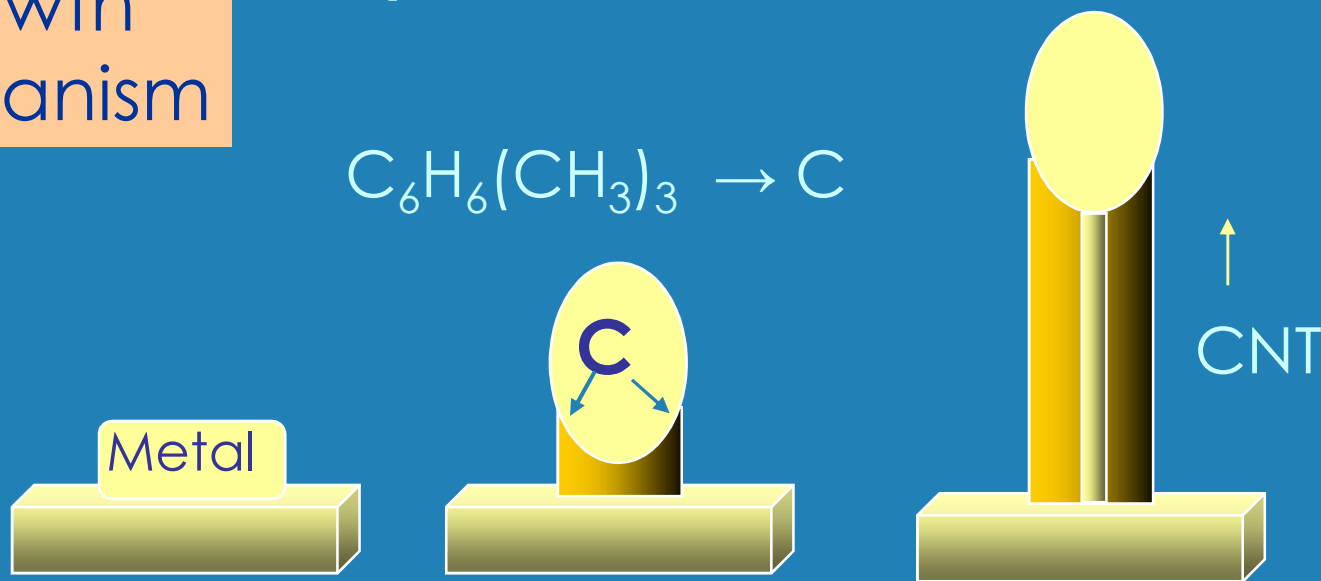
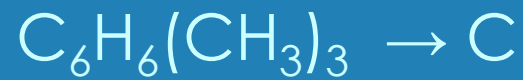
# Thermal CVD set-up "First Nano"



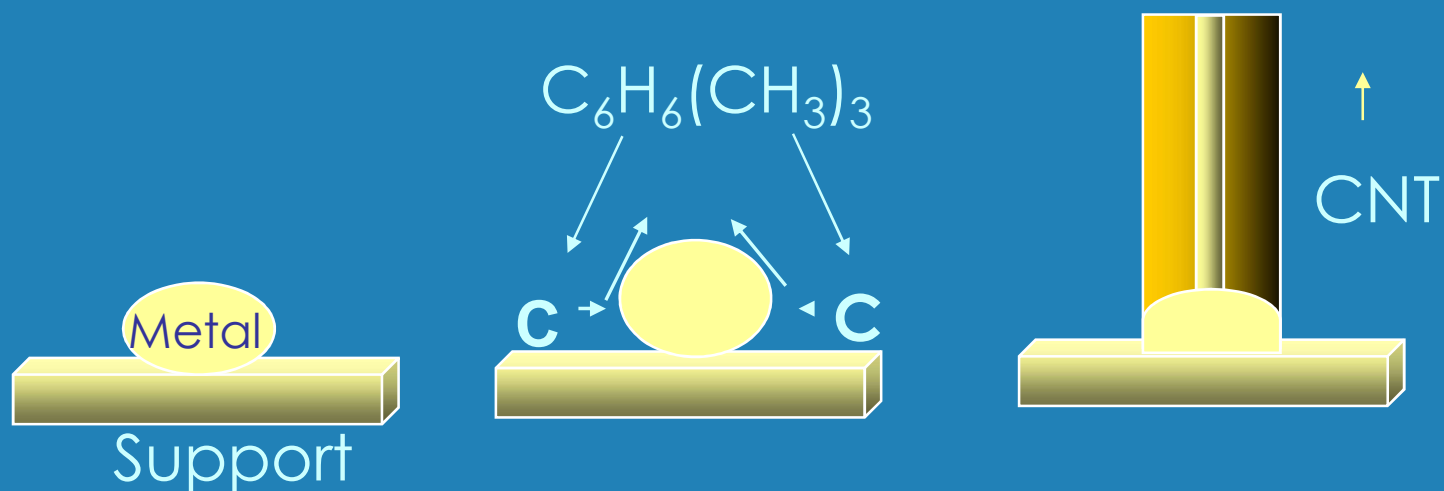
Materials Electrochemistry Group

## Growth Mechanism

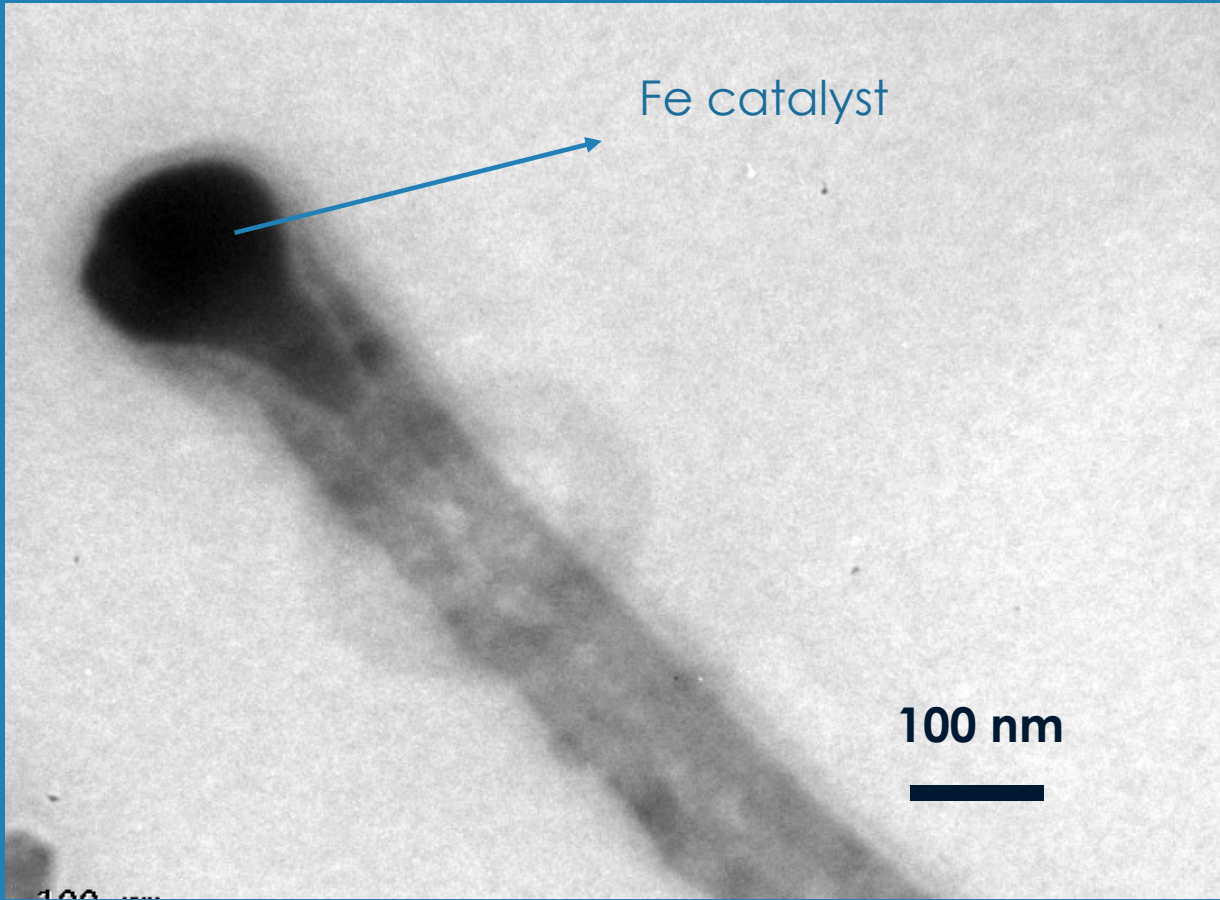
### Tip Growth Mechanism



### Root Growth Mechanism



# “Tip Growth” mechanism







## Purification Strategies

Acid Treatment : (a)  $\text{H}_2\text{O}_2 / \text{H}_2\text{SO}_4$   
(b)  $\text{HNO}_3 / \text{H}_2\text{SO}_4$   
(c) Conc. HCl

Ultrasonication, Refluxing at  $100^\circ\text{C}$ - $120^\circ\text{C}$

Micro/nano Membrane Filtration

Annealing / Burning in Air at  $400^\circ\text{C}$

Microwave Heating

Heat treatment at  $1000^\circ\text{C}$ - $1100^\circ\text{C}$  in  $\text{H}_2$   
atmosphere

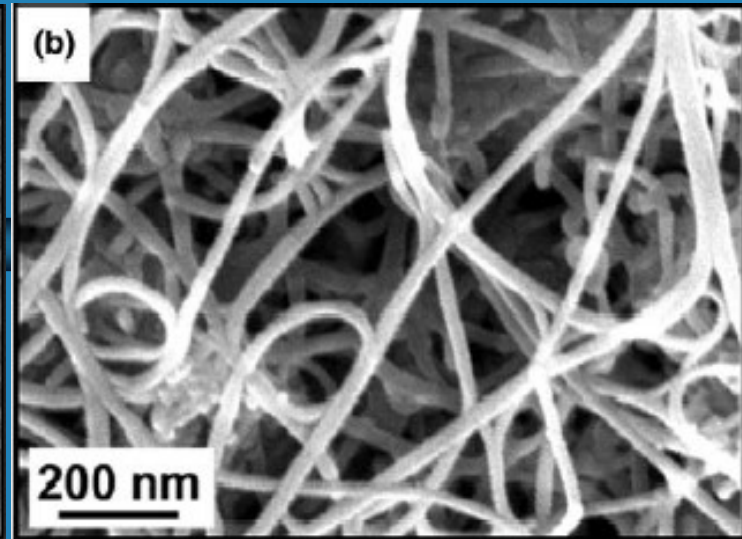
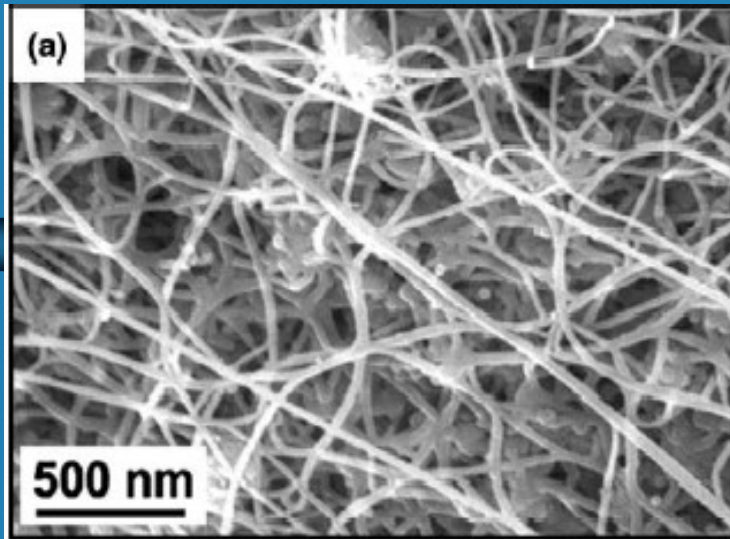




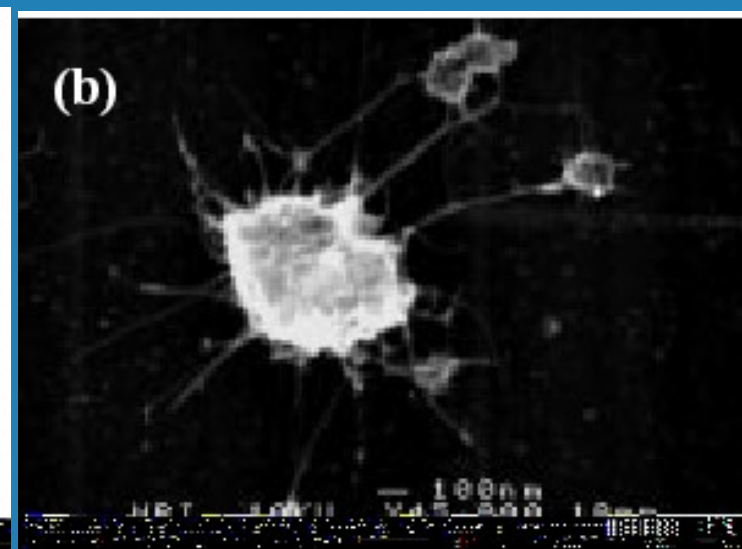
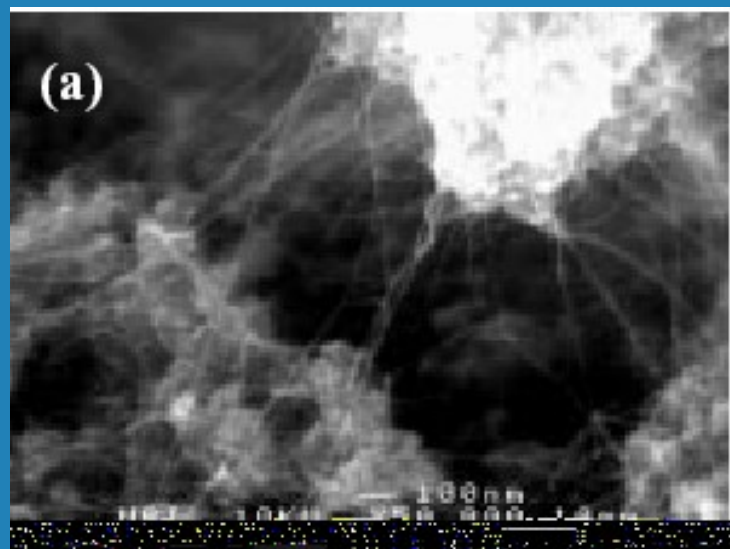
## Characterization Techniques

- SEM: Structural Growth Patterns, Alignment
- TEM: CNT Types-SWNT/MWNT, Defects
- X-Ray Diffraction (XRD): Extent of graphitization
- EDX: Element Identification, Binding Energy
- Raman Spectroscopy: Defects, Radial geometry
- IR: Oxygenated Surface Functionalities
- AFM/ STM: Coulomb Blockade, SET property

## Scanning Electron Microscopy

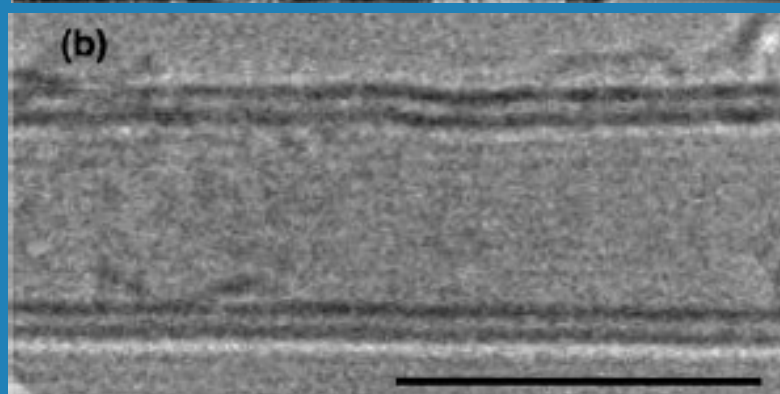
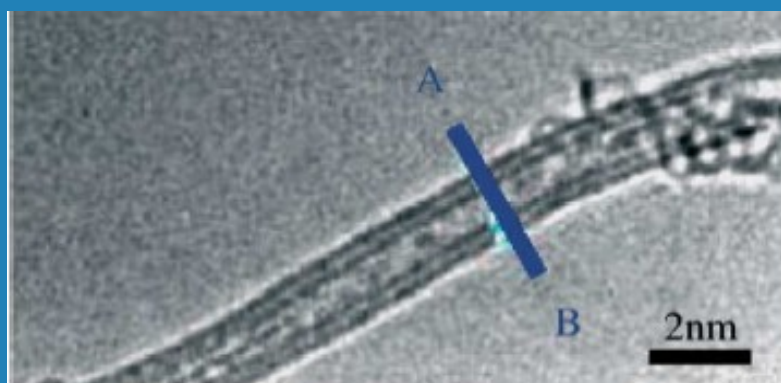
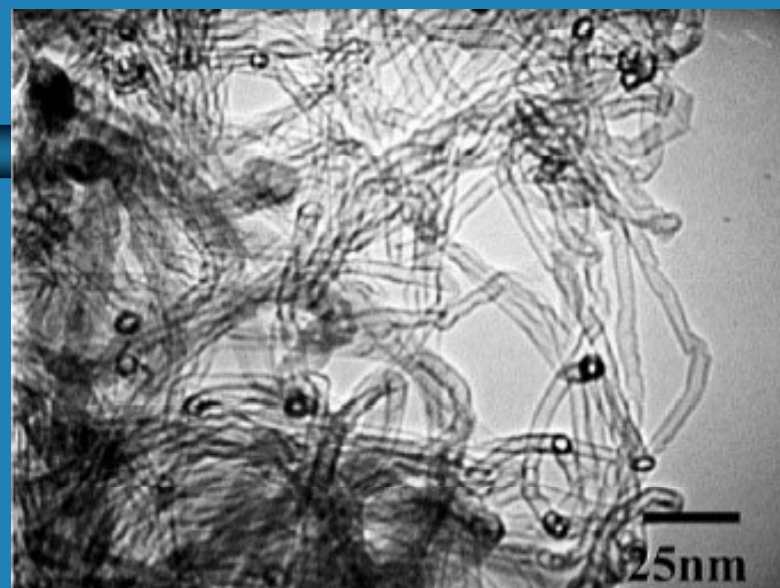
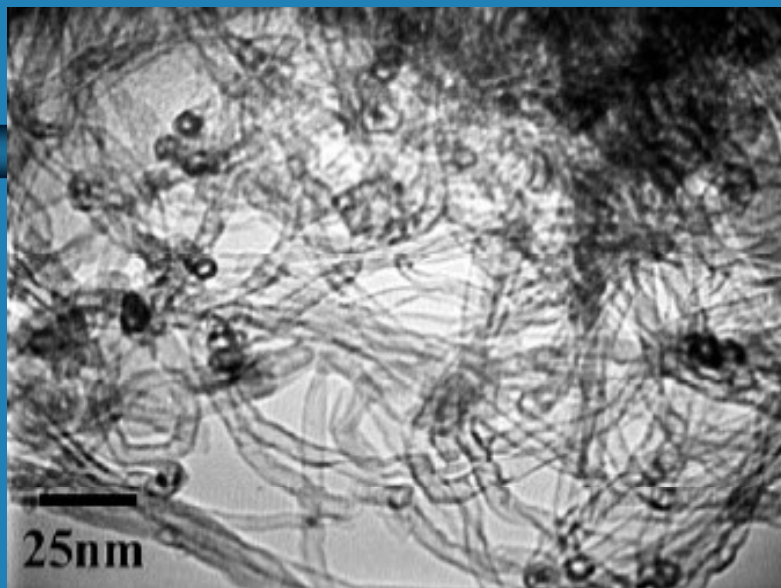


High-resolution SEM of bundles of DWNTs



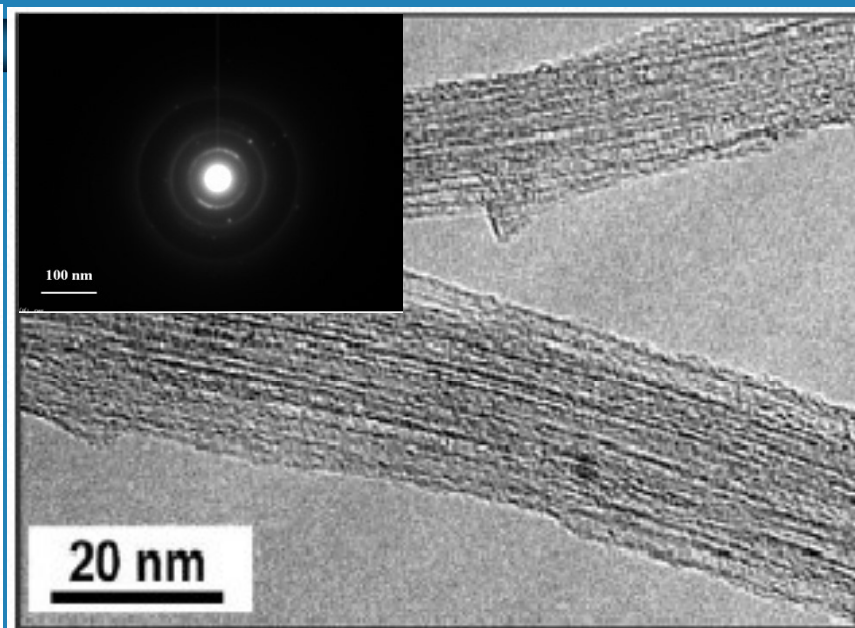
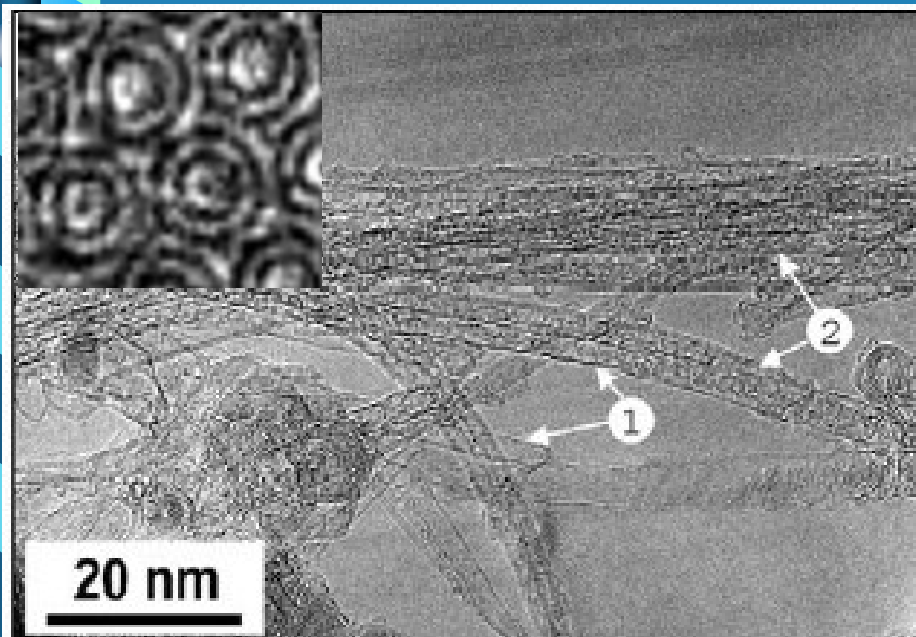
High-resolution SEM of SWNTs

# Transmission Electron Microscopy



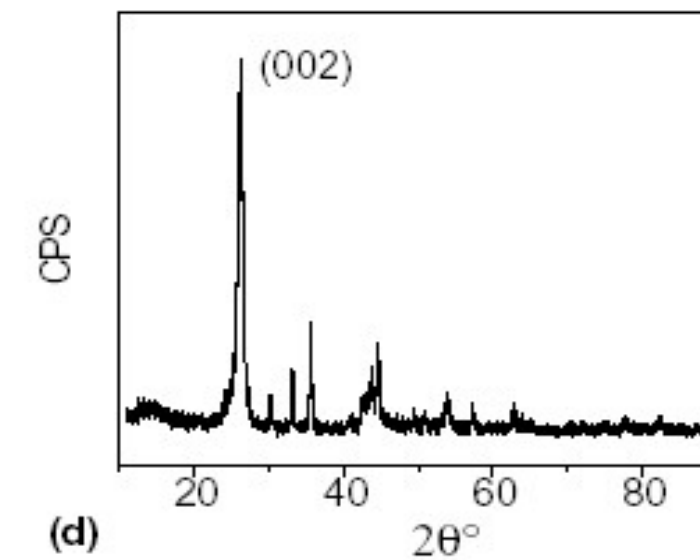
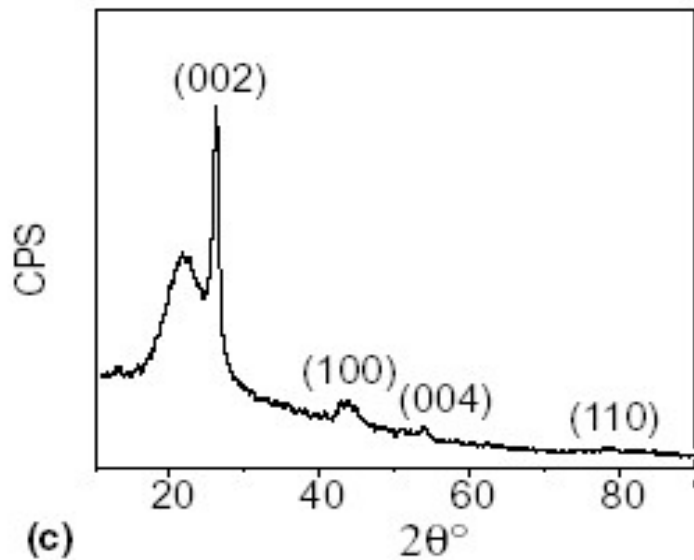
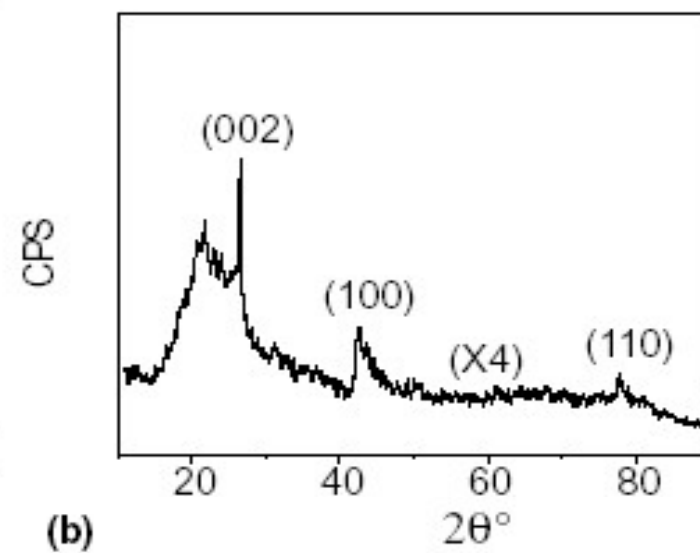
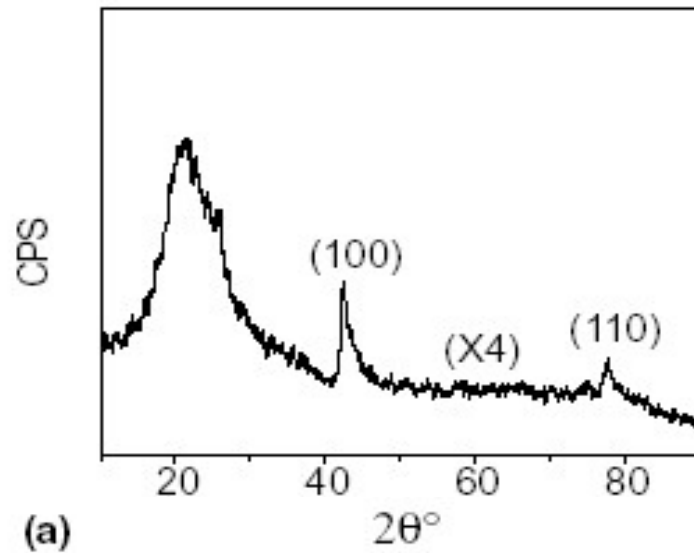
Catalytic decomposition of  $C_2H_2$  over Fe-Co mixture at  $900^\circ C$

*CPL 382 (2003) 679-685*

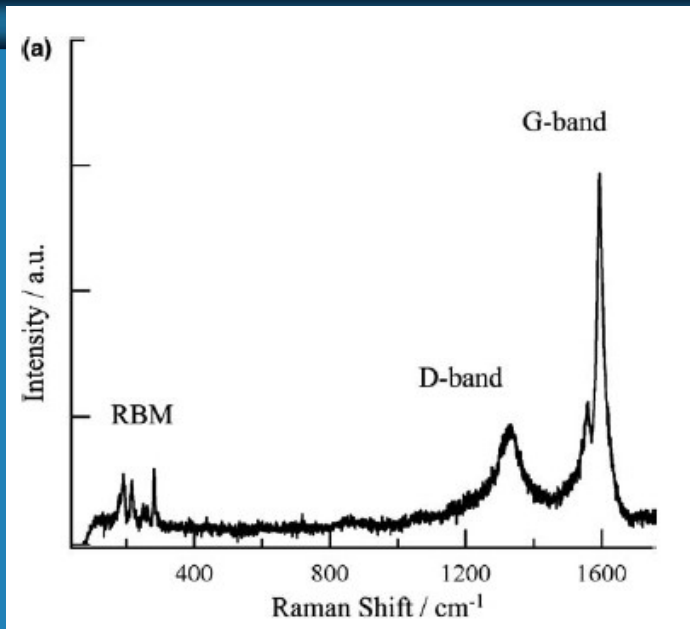


Catalytic decomposition of  $\text{CH}_4$  over Fe-Mo/ $\text{Al}_2\text{O}_3$

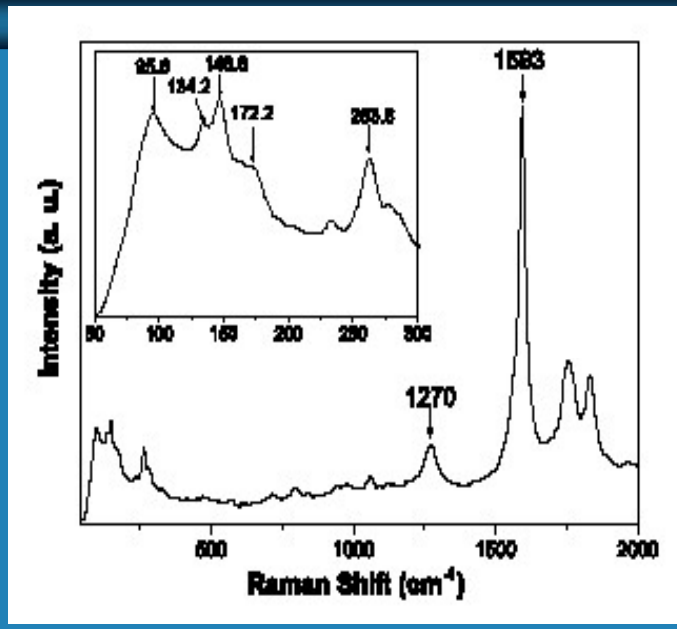
# X-Ray Diffraction



# Raman Studies



CCVD of C<sub>2</sub>H<sub>2</sub> over Fe-Co mixture at 900°C

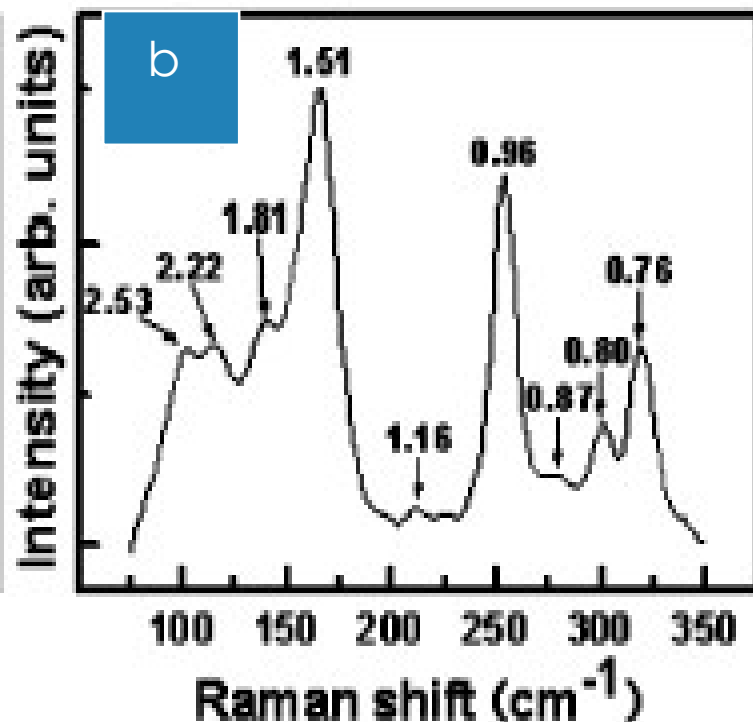
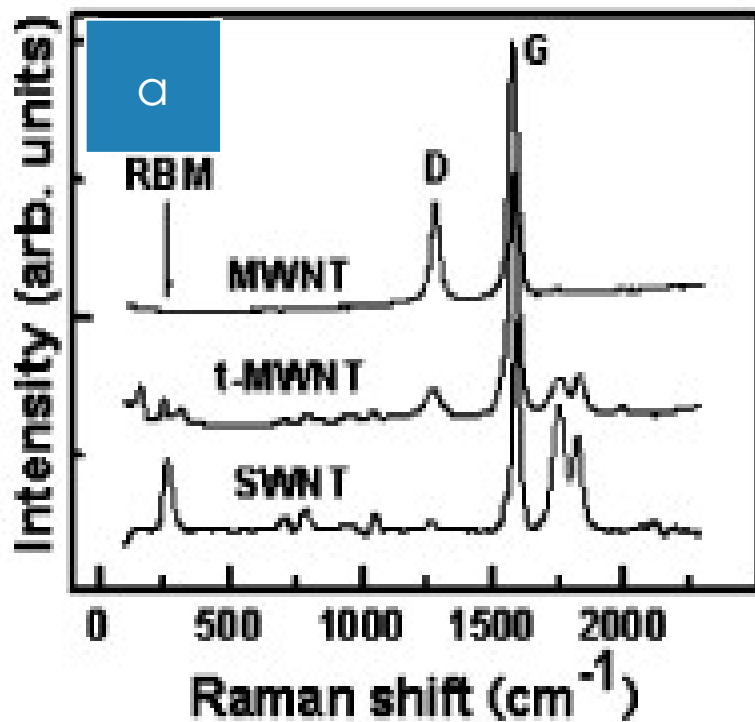


CCVD of CH<sub>4</sub> over Fe-Mo/Al<sub>2</sub>O<sub>3</sub> at 950°C

RBM frequency  $\omega_R$  (cm<sup>-1</sup>) = 6.5+223.75/d (nm) .....Van der waal  
 = 248/d (nm).....pure SWNT

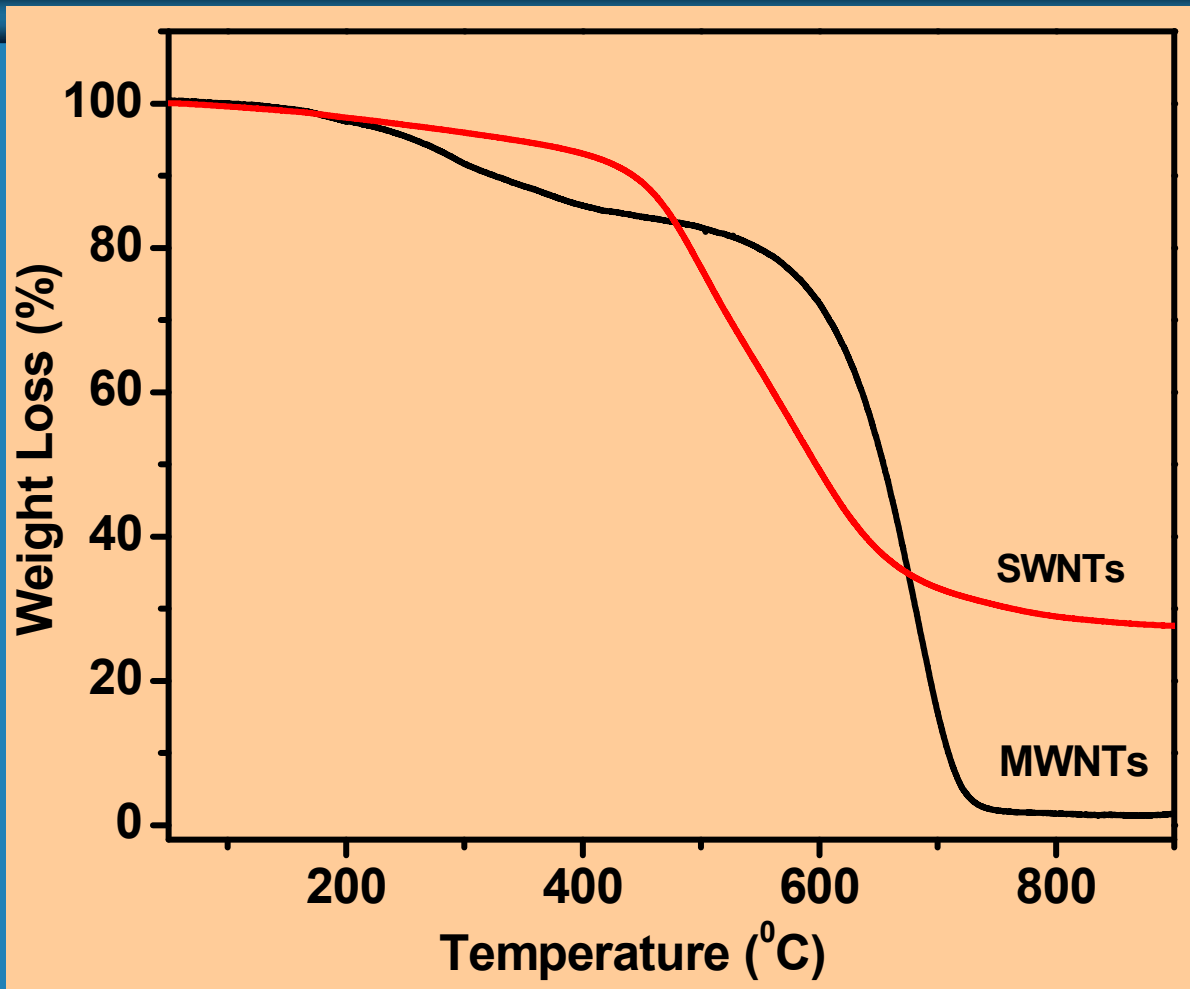
The nucleation of the inner tube should occur after the growth of the outer tube





(a) Raman spectra of MWNT, thin-MWNT and SWNT  
 (b) RBM of the thin-MWNT

# TGA





# The Route Towards Applications

Molecular perfection and high aspect ratio promise not only to transform existing technologies but also to enable new ones. It opens incredible applications in materials, chemical processing, electronics, biology, medicine, transportation, and energy management

- Fuel cells, Batteries, Supercapacitors
- Hydrogen Storage
- Reinforcing composite
- Electronic devices

*B. Gao, Chem. Phys. Lett. 327, 69 (2000)*  
*A C Dillon et al. Nature, 386, 377 (1997)*

# Fuel cells, Batteries, Supercapacitors

Intrinsic characteristics

High surface area (1000 m<sup>2</sup>/g)

Good electrical and thermal conductivity

Linear geometry

Currently 50% of all Li- batteries incorporate CNFs which Doubles their energy capacity

CNT shows tenfold improvement in the performance of fuel cells

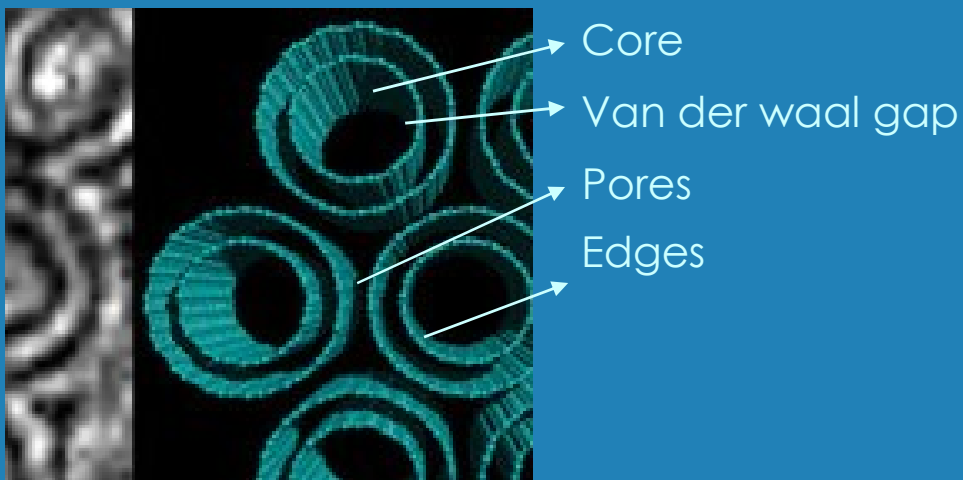


**A tiny fuel cell for mobile terminals using carbon nanotubes as electrodes**

# Hydrogen Storage

Hydrogen is an Energy carrier with great potential to become a major fuel for both mobile and stationary power generation

Major hurdles is storage: less cost effective, compactness and safety



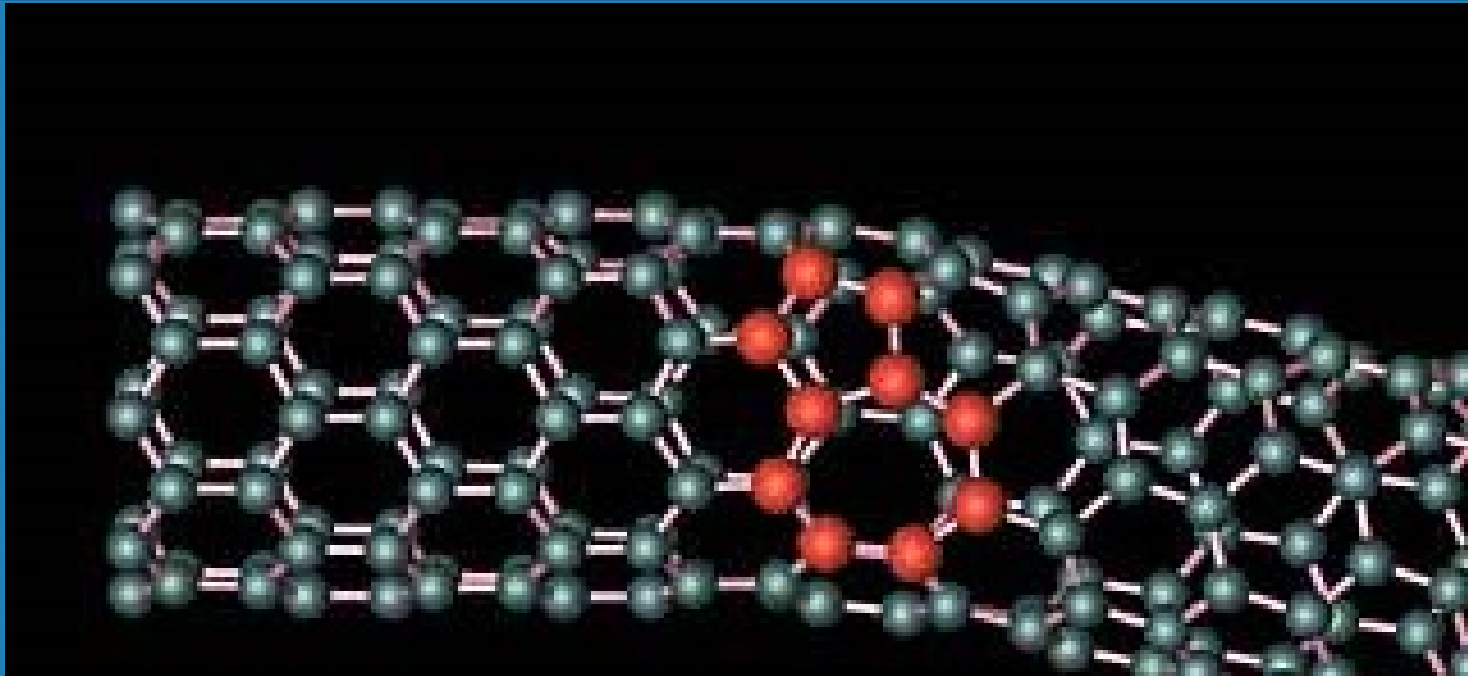
# Composites; Superhard, Superstrong

## Hot-drawn nanotube/PVA fibers



Bulletproof vests  
Protective textiles  
Helmets

## A CNT diode ?

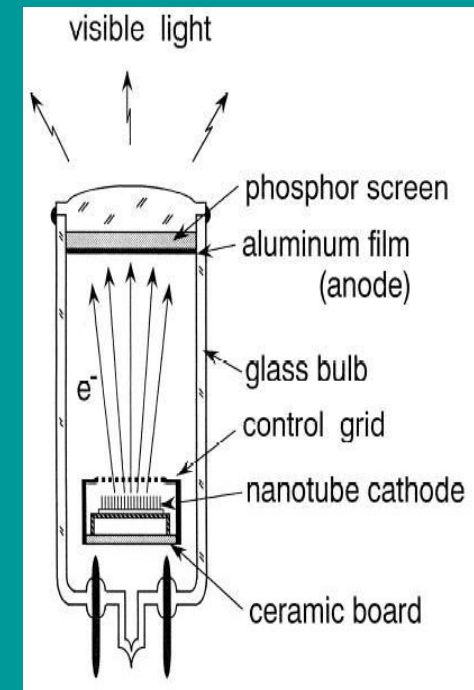
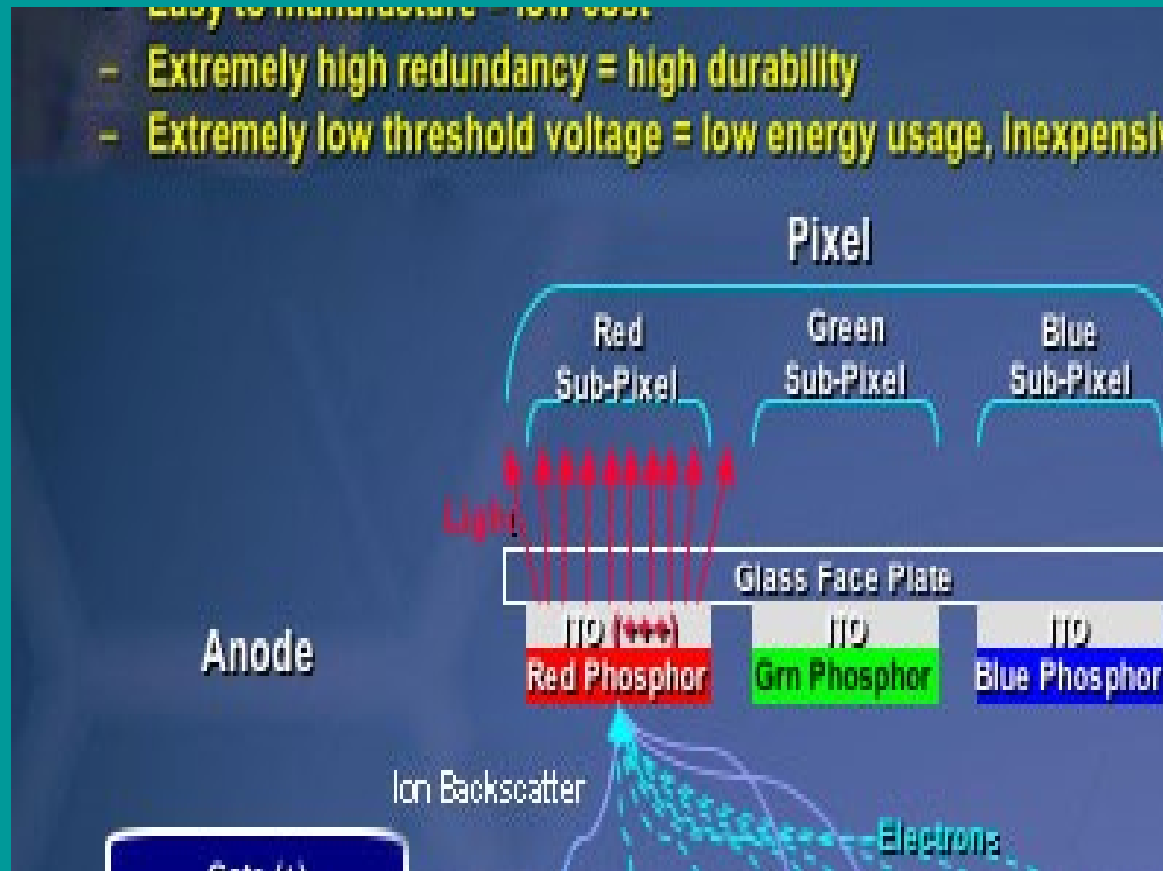


A diode can be formed by joining two nanoscale carbon tubes with different electronic properties

GE has succeeded to make CNT multifunctional diode (Dr. Ji-Ung Lee )

## How Far Away from Reality ?

- Easy to manufacture = low cost
- Extremely high redundancy = high durability
- Extremely low threshold voltage = low energy usage, inexpensive



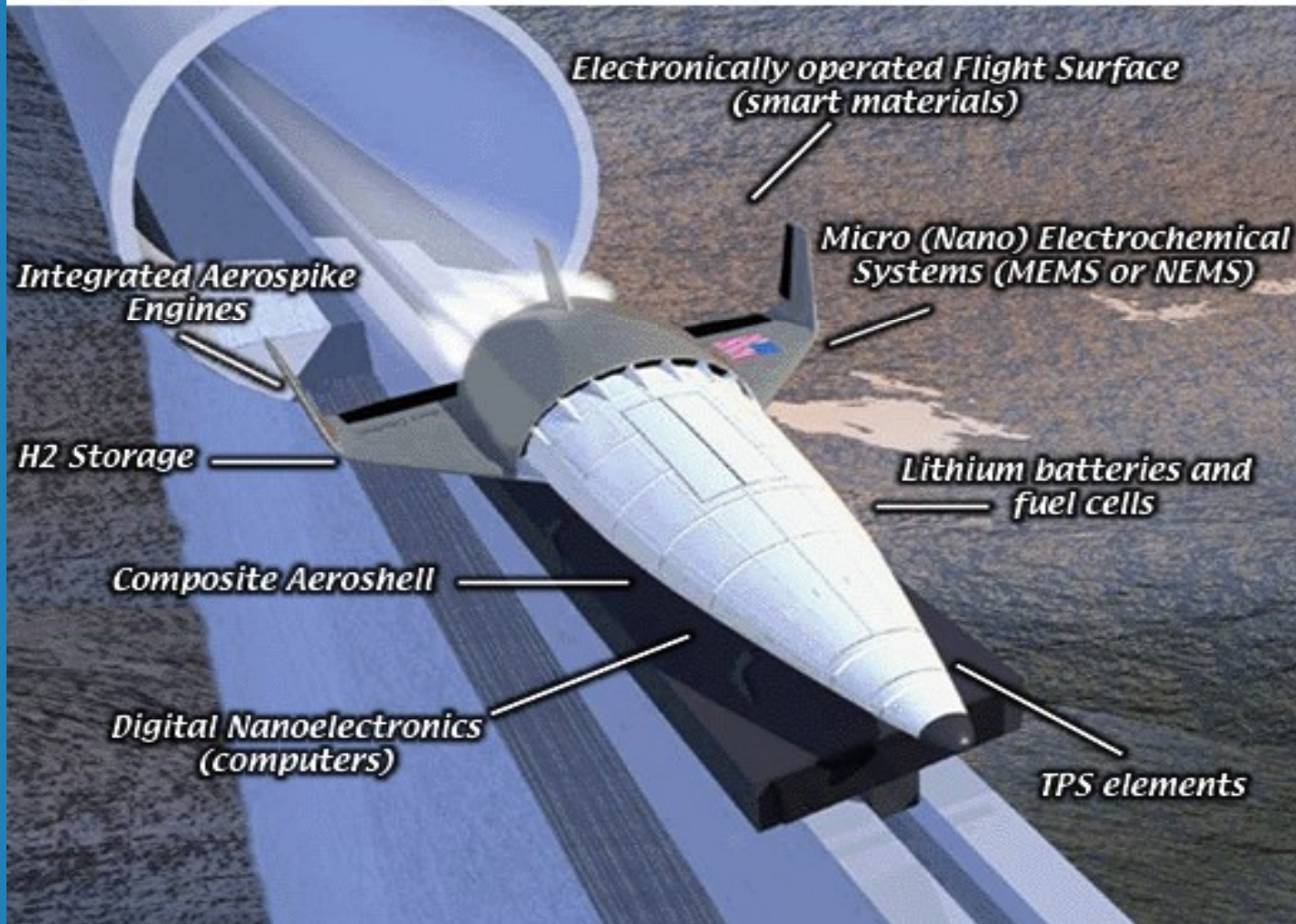
SDNT Field Emission Displays  
2004 38" SWNT FED prototype  
Expected Launch 2006-2007

SDNT Advantages  
Size and Conductivity make them the ideal field emitter  
Easy to manufacture= low cost  
High durability  
Low threshold voltage= low energy usage, inexpensive electronics

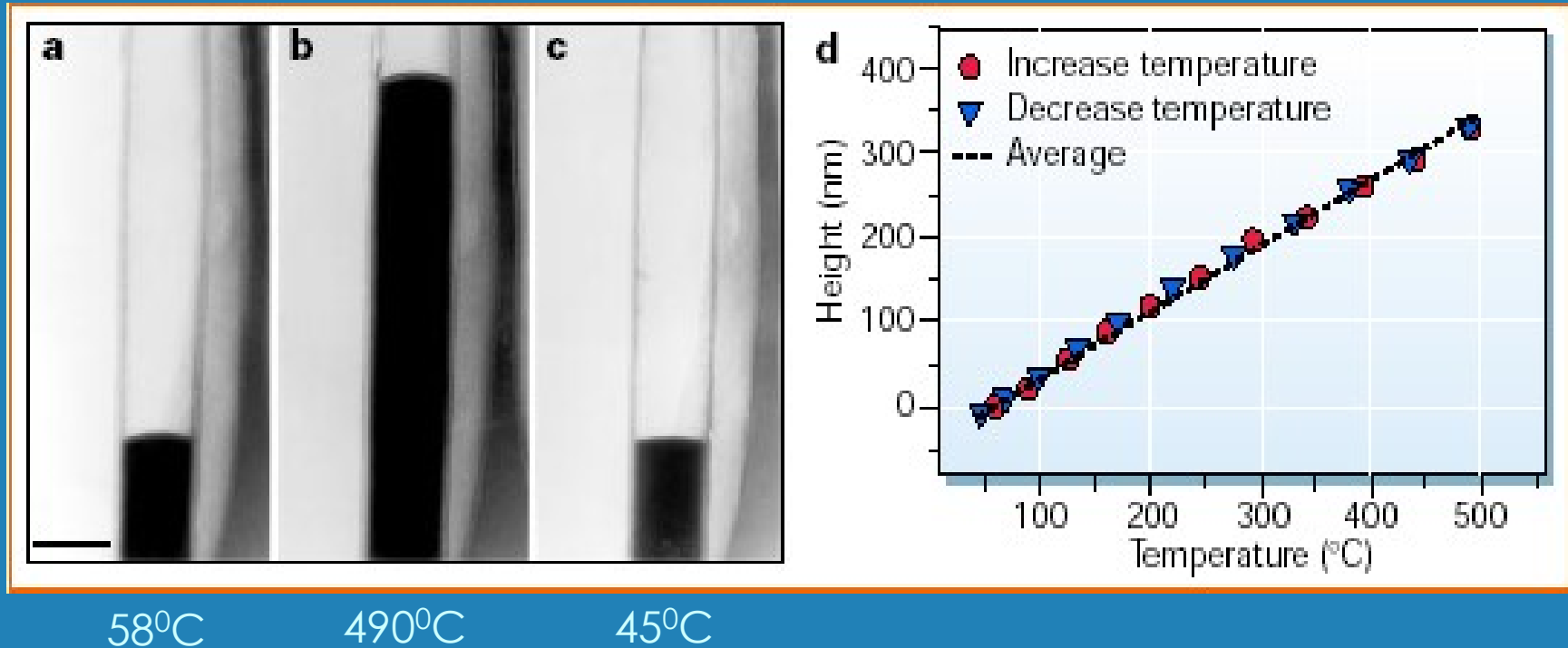


# Space and Defence Applications

## *Faster, Better, Cheaper Space Transportation with Nanotubes*



## Gallium containing Carbon nanothermometer



Macroscopic properties of Gallium are retained in this miniaturized nanodevice



## Here lies the Future

“In 1900, nylon, polyester, polypropylene, Kevlar and other modern fibers and their composites did not exist, but life today seems to depend on them,”

“The rate at which technology is changing, is increasing so much, more dramatic changes can be expected in the next 100 years. Every major polymer fiber company in the world is now paying attention to the potential impact of carbon nanotubes.”



# Challenges

Less cost effective synthetic procedures

Polydispersity in nanotube type

Tedious separation methods

Limitations in processing and assembly in device

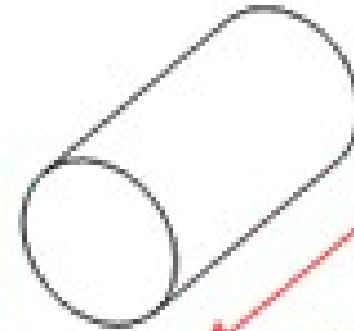
Little knowledge about growth mechanism

## Failure in Nano-size ?

$$R = \frac{\rho l}{A}$$

$\Omega m$

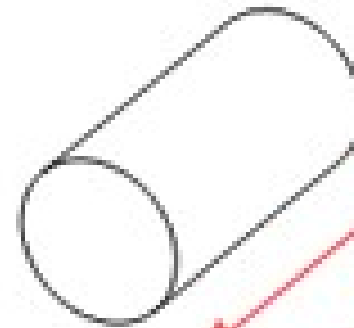
1mm



1cm

$$(3 \times 10^{-8}) \times (1 \times 10^{-2}) \quad 12$$

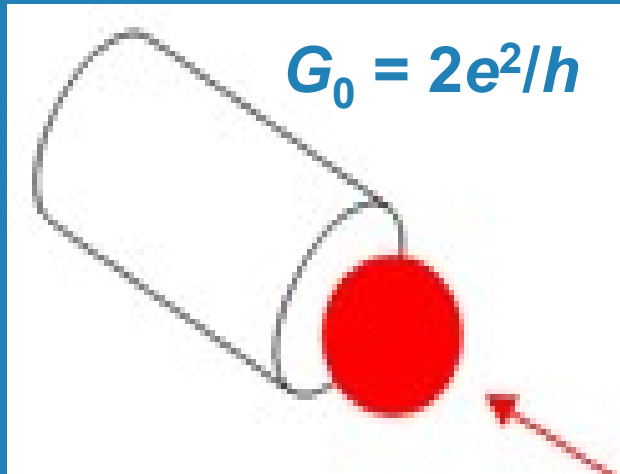
1nm



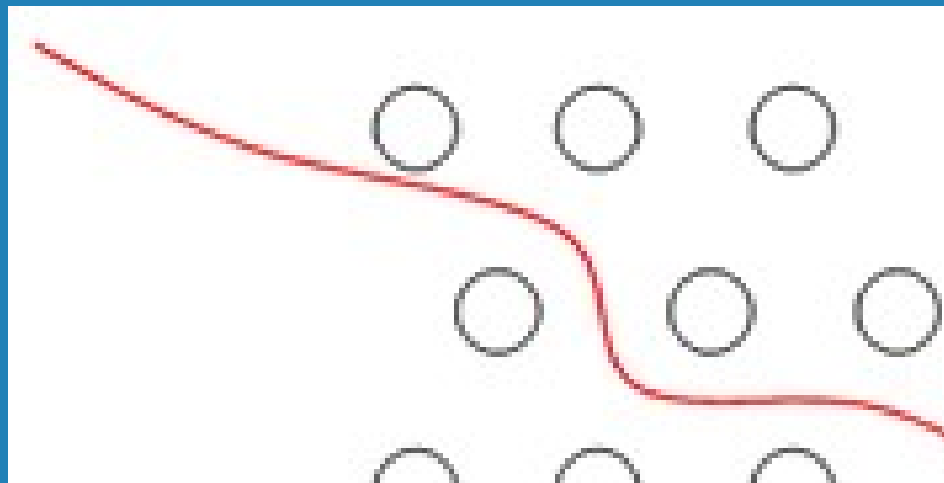
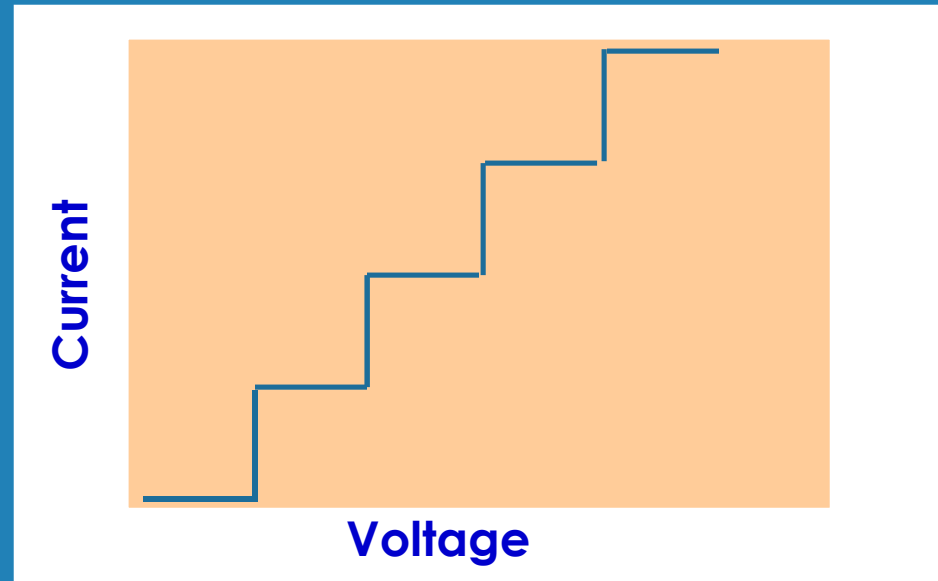
10nm

$$(3 \times 10^{-8}) \times (10 \times 10^{-9}) \quad 12$$

# CNT Can solve this problem !



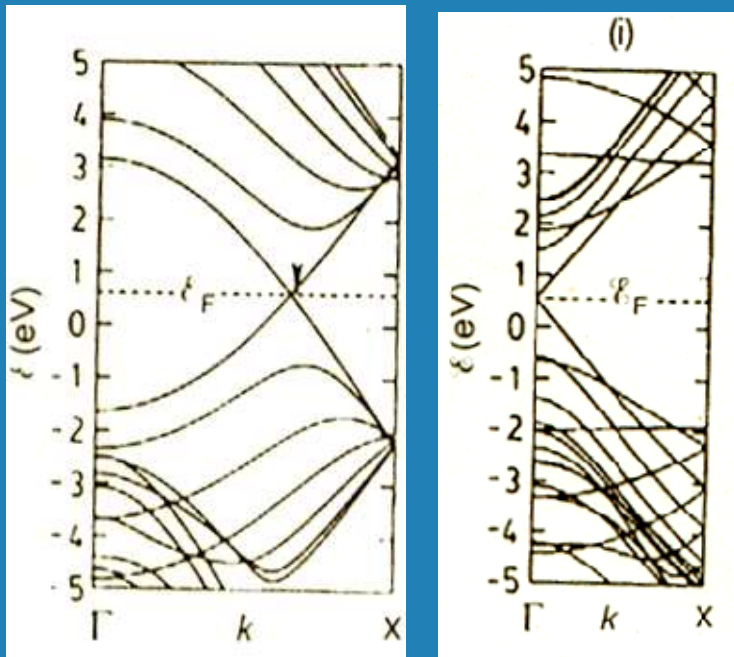
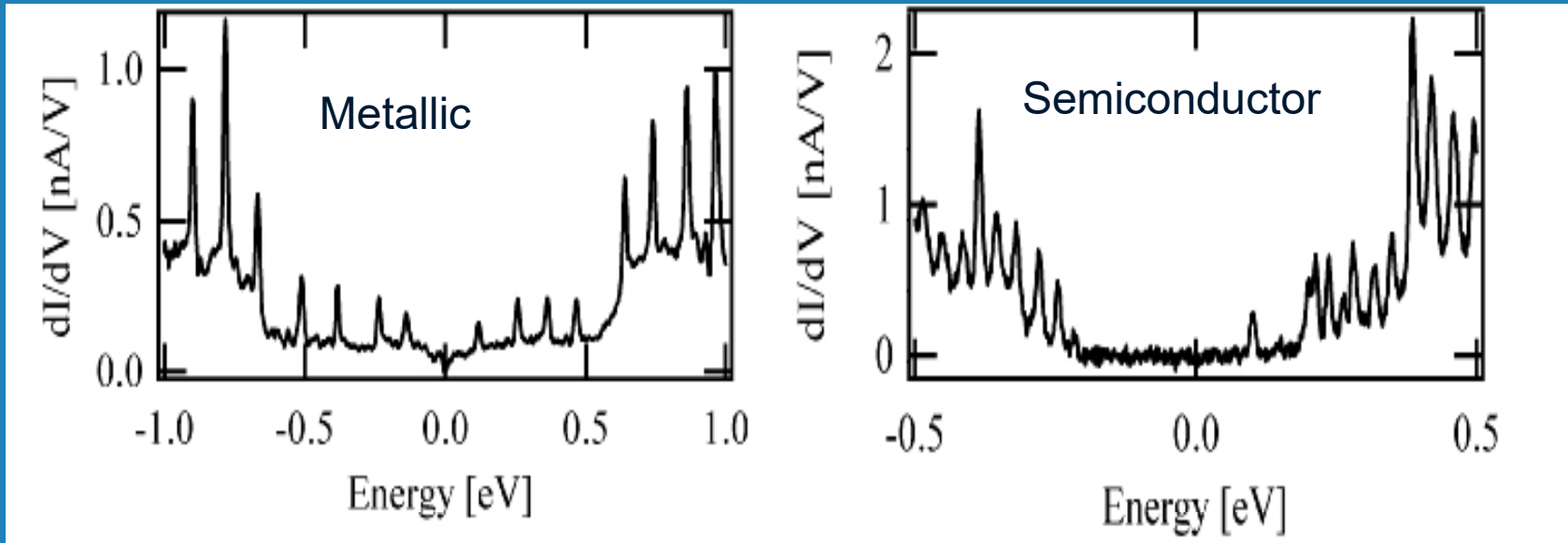
Conduct High Currents !



Handle High Current Densities  
Faster and Smaller Chips !

Contact Problem ?

# Coulomb blockade



Energy required to add/remove an  $e^-$   
 $\propto 1 / \text{Capacitance}$



# **Bibliography**

A number of sources were used for the presentation, including books, magazines, newspapers, journals. Those sources are listed wherever possible and images are also acknowledged

- i. *Chem. Rev.* P. M. Ajayan **1999**, 99, 1787–1799
- ii. *'The Chemistry of Nanomaterials-Synthesis, Properties and Applications'* vol. 1 C. N. R. Rao, et. al.
- iii. *'Physical Properties of Carbon Nanotubes'* R. Saito, G. Dresselhaus, M. S. Dresselhaus; Imperial College Press: London (1998)





# Silicon Chemistry

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- **Semiconductor-electronic Industry**
- **Doping, Polishing, Etching, Stable oxide and good metal –Si contact**
- **Microelectronic Industry**
- **(FETs, MOSFETs, ICs, MEMs, LED.....)**



# Porous Silicon

- **1990 Caham (Discovery of porous Si)**
- **Photoluminescence (Visible Range)**
- **Nanocrystallites, Pore size: Decides wavelength of emission**
- **Tunable optical and electrical properties**
- **breakthrough for Si industry as optoelectronic materials**

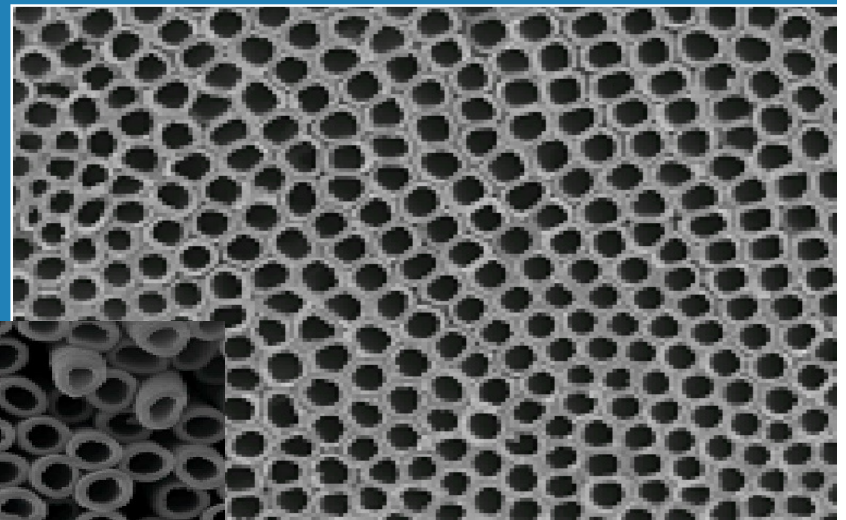
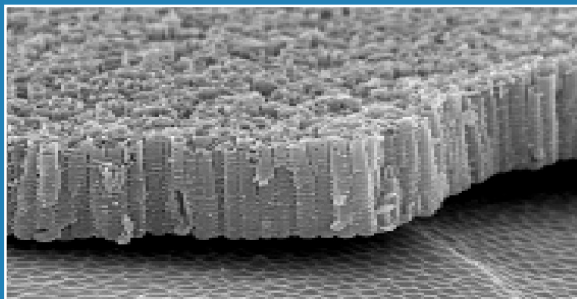


# How to make Si Porous ?

- **Ion irradiation**
- **Spark Erosion**
- **Chemical Etching**
- **Electrochemical Etching**  
**[HF + HNO<sub>3</sub> Mixture]**
- **Concentration of HF, surface structure (roughness), temperature, time of exposure and doping level, effect of light, current density, type of doping]**

# Electrochemical Etching

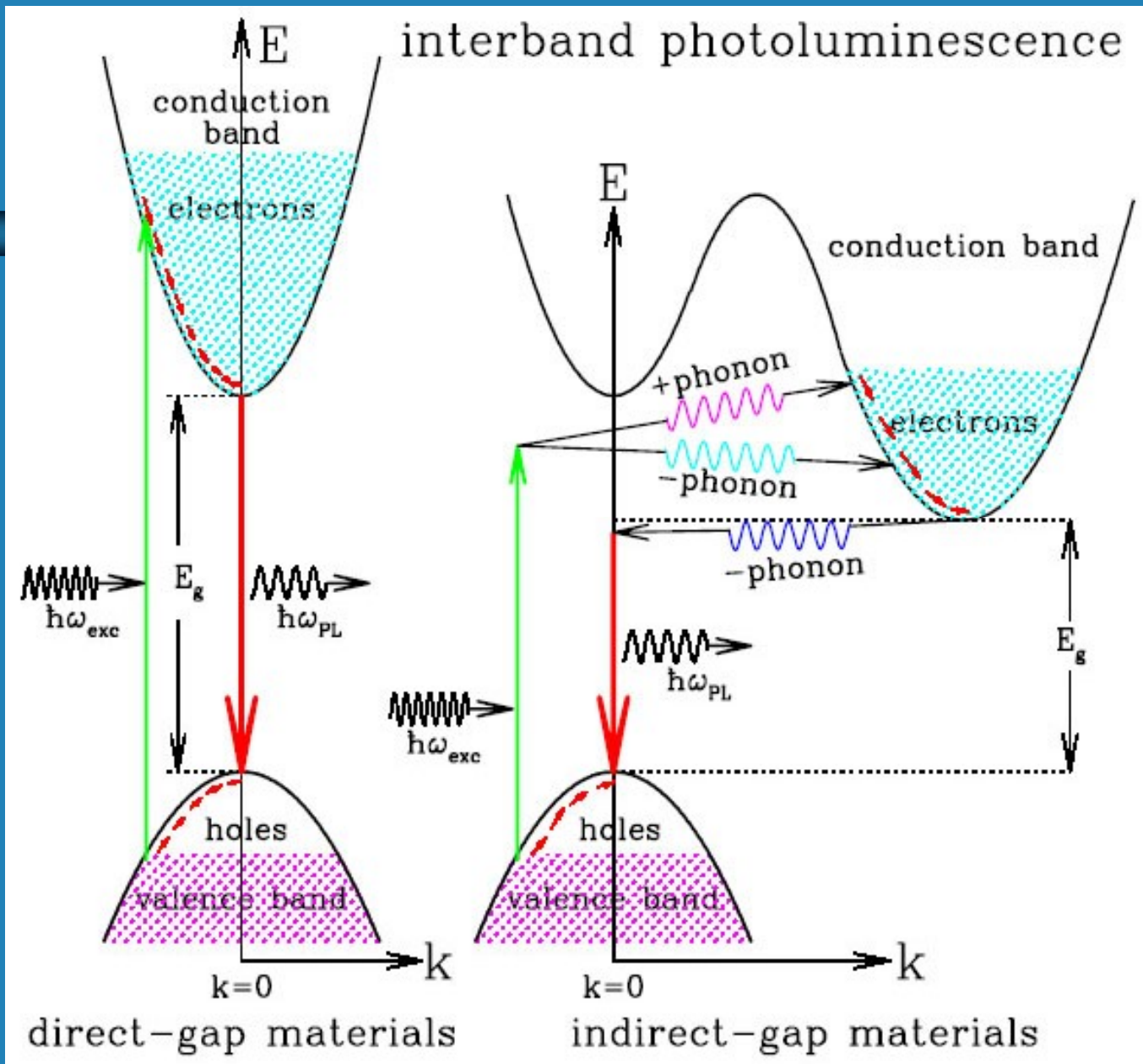
- **Substrate: p-Si (111) B doped**
- **Current: 30 mA**
- **Solution: HF+ Ethanol+ water**
- **Voltage: 24 V**



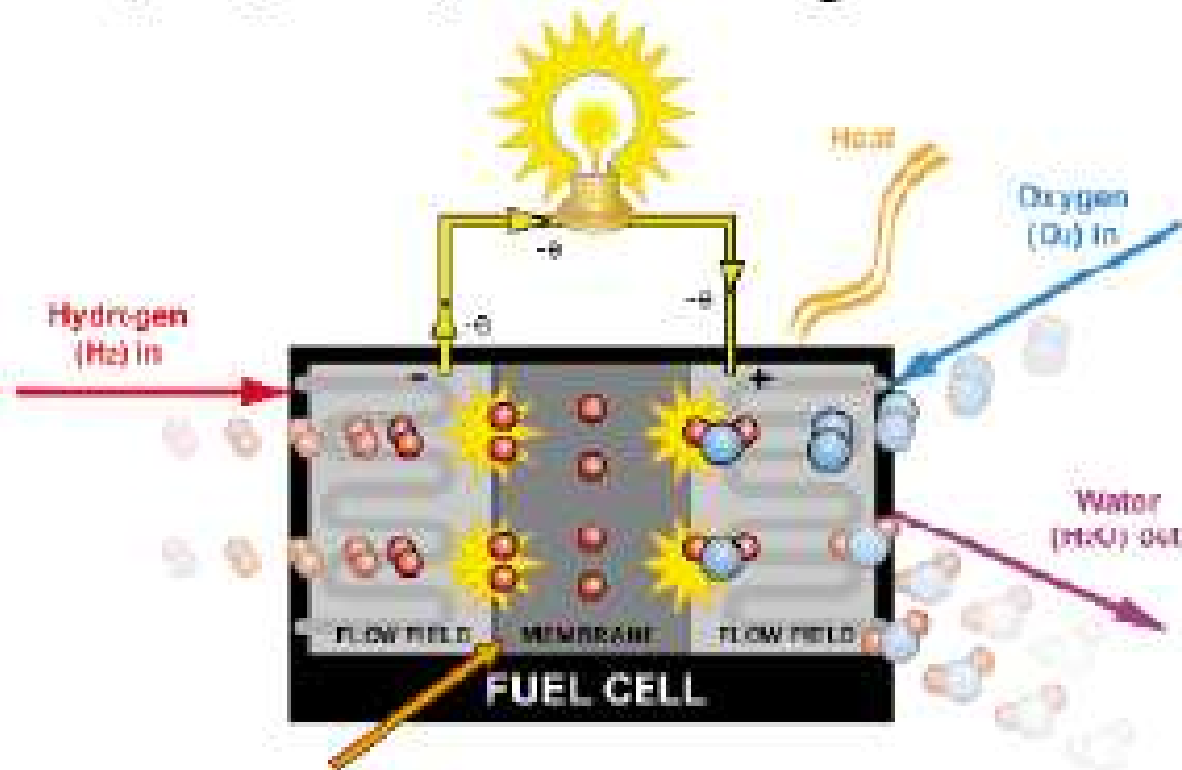


# Properties of Porous Si

- **Morphology: Nanopores, different shapes based on substrate nature**
- **Structure: Complex Amorphous**
- **Chemical Nature: Depends on synthesis parameters, F, O, H makes properties variations**
- **Electronic Structure: Indirect and Direct Band structure**



# Fuel Cell and Batteries



Membrane conducts protons from anode to cathode  
proton exchange membrane (PEM)



# Automobiles

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# Sports and Toys

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# Cosmetics

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# Biomedical Applications



# Environmental

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# Space and Defense

## *Faster, Better, Cheaper Space Transportation with Nanotubes*

