



**UNIVERSITY GRANTS COMMISSION
BAHADUR SHAH ZAFAR MARG
NEW DELHI - 110 002**

No. F 520/2/DRS/2012(SAP-I)

4 MAY 2012

To

4 MAY 2012

**The Registrar,
Dr. Babasaheb Ambedkar Marathwada University
Aurangabad- 431004(Maharashtra)**

**Sub.: University Grants Commission -Assistance to the Department of Statistics
Dr. Babasaheb Ambedkar Marathwada University, Aurangabad (M.S.) at
the level of DRS-I for 5 years 01.04.2012 to 31.03.2017 under the Special
Assistance Programme (SAP).**

Sir,

1. This has reference to the departmental profile and proposal submitted by the Department of Statistics of your university for consideration to support under Special Assistance Programme (SAP) of the UGC as per revised guidelines of the Programme.
2. The UGC-Special Assistance Programme (SAP) is intended through constant efforts to raise the quality of teaching/research in different disciplines in Humanities, Social Science and Science subjects, Engineering & Technology department carefully selected on the basis of their work, academic achievement and viable potential for further development. The essence and primary aim of the scheme is combination of teaching and research to encourage group research efforts in pursuit of excellence.
3. The proposal of the Department of Statistics was examined by the Expert Committee on 12th March, 2012 After a very careful and critical in-depth examination of the academic achievements of the department, as given in the departmental profile, the Expert Committee recommended the department for consideration by the Commission to support the department at the level of **DRS-I.**
4. On the basis of the recommendations of the Expert Committee, I am directed to convey approval of the Commission to provide financial assistance to the

Pls refer to Dept of Statistics

DR. BABASAHEB AMBEDKAR MARATHWADA UNIVERSITY, AURANGABAD

Ref. No. STAT/I/2012-13/ 1245-46

Date:- 22/05/2012

Copy forwarded for information and necessary action to:-

- 1) The Head, Department of Statistics, Dr. Babasaheb Ambedkar Marathwada University, Aurangabad.
- 2) The Finance and Accounts Officer, Account Section, Dr. Babasaheb Ambedkar Marathwada University, Aurangabad.

[Signature]
Director
Board of College and
University Development

Department of Statistics of your university for a duration of 5 years under Special Assistance Programme (SAP) with the following thrust areas for research and teaching

Thrust Area Identified

Operations Research, Design and Analysis of Experiments, Actuarial Statistics

5. As recommended by the Expert Committee, the Co-ordinator /Deputy coordinator of the Programme for the present phase of the Programme will be as indicated below :

Prof. V.H. Bajaj (Coordinator)

Dr. S.L. Sananse (Deputy Coordinator)

The Co-ordinator may continue till the end of the present duration of the programme as per revised guidelines.

The financial assistance approved for implementing the present phase at the level of DRS-I for a duration of 5 years from Ist April, 2012 are as indicated below :

	(Rs. In lakh)
Non – Recurring	Rs 32.00
Recurring	Rs. 25.00

Total NR + R =	Rs. 57.00 lakh

Details of the item-wise grant approved above are given in the Annexure-I.

6. It may be noted that if the University is unable to implement the approved scheme within six months from the date of issue of this letter, the approval shall be considered as having lapsed. Similarly, the NR grant is to be utilized within a period of 3 years, falling which the University/Institute will have to refund the unutilized amount of grant along with an interest @10% per annum as amended from time to time as per provision of General Finance Rule of Govt. of India.
7. The Commission will also provide additional grant for the following purposes.
- i) Maintenance, modernization, upgradation, accessories spare etc. for equipments procured under the programme @ 5% of total equipment cost per annum from the

date of expiry of warranty period till the end of the term. Thereafter, it has to be met by the University/Institute.

- ii) Expenditure incurred or any amount deducted from the sanctioned amount by the University for any other purposes other than the items approved for implementing the programme will not be acceptable to the Commission.
- 8. To avoid inbreeding in SAP supported departments regarding recruitment of teachers and intake of students, the Commission has decided that the appointment on the faculty position in the departments financially assisted under Special Assistance Programme of the University Grants Commission be made from among the applications who have obtained their last academic qualification (M.A./M.Sc./M.Phil/Ph.D) from the university other than the one for which the appointment is being made. It has also been decided that the preference be given to the students from other states on at least 20% of the prescribed number of seats for admission in Graduate and Post Graduate courses in the departments under Special Assistance Programme.
- 9. The Additional financial inputs for Summer Institute, Attachment of students, International Collaboration etc. may be extended by the Commission on receipt of specific proposal from the University / Department and subject to availability of funds under the programme.
- 10. As stipulated in the revised guideline for SAP/COSIST Integrated Programme all sanctions under Special Assistance Programme (SAP) henceforth are subject to the conditions that departments under this programme would have to be given autonomy by the University / Institute for academic, financial and administrative matters relating to the Special Assistance Programme (SAP).
- 11. It is desired that the departments having SAP and COSIST or both Programme should immediately introduce the examination reform measure and funding for SAP and COSIST would be linked with the implementation of the minimum programme of examination reforms in these departments.
- 12. It may also be ensured that the physical facilities created under the Special Assistance Programme SAP/COSIST may be opened, to be used by the other faculty members of the departments and other users within the University and from other University /Agency.
- 13. The appointment of Project Fellow may be made with the consolidated salary of Rs.14,000/- p.m.+HRA. The person to be considered for appointment as Project Fellow must have Second Class Master Degree with the minimum 55% marks (50% in case of SC/ST/PH) or M.Phil. in the subject concerned or related subject. The candidate possessing First Class BE/B.Tech. Degree and M.B.B.S. Degree are also eligible to be appointed as Project Fellows in Engineering & Technology and Medical subject respectively. The Candidate to be appointed as Project Fellow

should be below the age of 40 years at the time of appointment for claim of salary of Project Fellow from the UGC the following document are to be furnished:-

- i. Copy of Notification/Advertisement of the vacancy
- ii. Copy of Minutes/Recommendation of the Selection Committee constituted for appointment of Project Fellow
- iii. Copy of University Order to the appointment of the Project Fellow.
- iv. Copy of Joining report of Project Fellow
- v. Attested copy of P.G. Mark sheet.
- vi. Attested copy of Cast/Disability Certificate incase the candidate belongs to reserved category and obtained below 55% marks
- vii. Attested copy of Matriculation Certificate for age proof.
- viii. Month-wise salary expenditure statement in respect of each Project Fellow.

14. The University/ Institute may follow the norms for appointment of Programme Co-ordinator and Deputy Co-ordinator (no Joint Co-ordinator or Co-ordinator) and also constitute an Advisory Committee as per the guidelines of the Commission which can be downloaded from UGC website www.ugc.ac.in and follow the terms of reference of the Advisory Committee to ensure effective implementation and monitoring of the Programme. The constitution of the Advisory Committee is compulsory for all departments which are being supported under SAP. The UGC nominees in the Committee will be as indicated below. The department may contact the UGC nominees for their acceptance and intimate the Commission.

1. **Dr. U.J. Dixit**
Department of Statistics, Mumbai Univeristy, Mumbai(M.S.)
2. **Dr. A.S. Kadi, Department of Statistics, Karnatak Univeristy.**

The active participation of the UGC nominees in each meeting of the Advisory Committee is essential. The composition and terms & reference of the Advisory Committee will be as given in the UGC Guidelines.

15. For optimizing the effective and usefulness of the programme the Commission will send an expert committee or organise group monitoring / review after two years of support given to the department for mid-term evaluation of the progress of work done by the department. The department will send accordingly a consolidated progress report in the prescribed form.


16. The University/Institute/Department is requested to take immediate steps to submit the following information/documents for necessary action :

- i) Acceptance of the terms and conditions of the grants duly signed by the Registrar of the University/Institute.
- ii) Name of the competent University Officer with full address in favour of the Demand Draft is to be sent by the UGC.
- iii) Bank and Account number with address.
- iv) Name of the Department Co-ordinator and Dy. Co-ordinator indicating (i) present designation (ii) specialized areas(s) of research and (iii) date of superannuation.
- v) List of members of the Advisory Committee constituted by the university/ institute as per guidelines.
- vi) Year-wise academic programme and action proposed to be undertaken by the department during the period of 5 years to implement the programme.
- vii) An undertaking from the University/institute to take over the recurring liabilities of the items including staff approved under the programme other than Project Fellow i.e JRFs/RAs after a period of 5 years of the programme.
- viii) Action taken on the academic recommendations made by the Expert/Review Committee may be intimated in due course.
- ix) The annual report of the work done during the year (as per effective date of the programme) should be submitted by the Programme Co-ordinator highlighting the academic achievements in research and teaching and indicating separately the progress in procuring of equipment/construction of building (only addition, alteration and renovation, if sanctioned under the programme) and the list of papers published in referred journal during the year positively reported by the end of every year.
- x) The steps taken by the university/ institute to implement the decision of the Commission as indicated at Para-10 and 11 above.

17 The University/Institute shall take all possible measures to ensure effective implementation of policies of Government of India relating to SC/ST students and teachers in regard to the UGC programme. In case of non-teaching staff, the policies of the Central Government in respect of Central Universities and of the State Government in respect of State Universities shall be implemented

18. The first installment of admissible grant will be released separately. In the meantime, the University may submit the following information requested for at para 15 (i, ii, iii & iv) by return of post.
19. The second and subsequent installment of grant for any approved items will be considered and sanctioned only on receipt of the Utilization Certificate for the earlier installment in the prescribed form signed by the Registrar/Finance Officer as the case may be.
20. It may be noted that if orders for purchase of equipment are not placed within six months from the date of receipt of the grant by the university, the approval shall be considered as having lapsed.

Yours faithfully,


(K. Gunasekaran)
Additional Secretary

NOTE:- Please see SAP guidelines on UGC website : www.ugc.ac.in

Copy for information to :

1. **Dr. V.H. Bajaj, Co-ordinator, DRS-I Programme, Department of Statistics, Dr. Babasaheb Ambedkar Marathwada University, Aurangabad- 431 004(M.S.)**
2. **The P.S. to Vice Chancellor, Dr. Babasaheb Ambedkar Marathwada University, Aurangabad- 431 004(M.S.)**
3. **The Secretary to the State Government of Maharashtra, Department of Education, Mumbai (M.S.)**
4. **Guard File.**

(Uma Bali)
Under Secretary

Annexure - I

UNIVERSITY GRANTS COMMISSION

FINANCIAL ASSISTANCE APPROVED FOR IMPLEMENTATION OF THE SPECIAL ASSISTANCE PROGRAMME IN THE DEPARTMENT OF STATISTICS, DR. BABASAHEB AMBEDKAR MARATHWADA UNIVERSITY, AURANGABAD(MAHARASTRA) THE LEVEL OF DRS-I FOR DURATION OF FIVE YEARS FROM (1.4.2012 TO 31.3.2017)

S. No.	Items	Amount approved (Rs. in lakhs)
	Non-recurring	
I	Equipment for Computer Lab(Hardware/ Software including accessories)	25.00
II.	Building (up gradation/augmentation extension of existing laboratory for housing of Computer Lab	5.00
III	Reprographic facilities	2.00
	Total	32.00

Recurring		
1.	Contingency/working expenses@ Rs. 1.00 lakh p.m.	5.00
2.	Travels/ Field facilities/Field trips for Faculty members only(all within India only) @ 0.50 lakh p.a.	2.50
3.	Visiting Fellows @Rs.0.50 lakh. p.a.	2.50
4.	Seminars (for organization) on thrust area @ Rs. 2.00 lakh p.s. (Three only)	6.00
5.	Hiring the services of Technical/Industrial/Secretarial assistance as relevant to the programme (for duration only) @Rs.0.40 lakh p.a.	2.00
6.	Advisory Committee meetings (TA/DA for UGC nominees in the Committee) @ Rs.0.40 lakh p.a.	2.00
7.	Books and journals @Rs. 1.00 lakh p.a.	5.00
	Total	25.00

Total (NR+R) Rs. 32.00 lakhs +25.00 lakhs = Rs. 57.00 lakhs


(Uma Bali)
Under Secretary



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**University Grants Commission
Bahadur Shah Zafar Marg
New Delhi-110 002**

No.F.4-1/2006 (BSR)/7-396/2012(BSR)

Dated: August 2012

The Under Secretary FD-III Section,
University Grants Commission
Bahadur Shah Zafar Marg,
New Delhi – 110002.

- 4 SEP 2012

Subject:- Approval cum Sanction letter for infrastructure in terms of power supply, water supply, safety equipments, laboratory working table etc in science, Bio-Sciences, Agricultural Science and Engineering Science-First Installment.

Sir,

The University Grants Commission has decided to allocate a grant of **Rs. 20.00 lakhs** to improve the Basic Scientific research in universities, each to DRS Department in Science, Bio-Sciences, Agricultural Sciences and Engineering Sciences for strengthening of infrastructure in terms of power supply, water supply, safety equipments, laboratories working tables and infrastructure required for including the component of research at P.G. level. This is first grant of a long drawn process of creating first grade infrastructure in universities as a part of UGC's endeavor to improve facilities in Science & Technology. The release of subsequent grant will depend upon the optimum utilization of the first installment.

I am directed to convey the sanction of the University Grants Commission for payment of **Rs. 20,00,000/- (Rupees Twenty lakhs only)** to the Registrar, **Dr. Babasaheb Ambedkar Marathwada University, Aurangabad-431004, Maharashtra** for the expenditure to be incurred during the year 2012-2013 as per details given below:-

DRS Department of Statistics

Item	Allocation	Amount approved (Rs.)	Amount being released(Rs.)
For strengthening of infrastructure in terms of power supply, water supply, safety equipments, Laboratory working table and infrastructure required for including the component of research at P.G.level.	20,00,000/- (Rupees Twenty Lakhs only)	20,00,000/- (Rupees Twenty Lakhs only)	20,00,000/- (Rupees Twenty Lakhs only)

Total Grant	1 A (iii) (k) (35) (SC)	1 A (iii) (L) (ST) (35)	1 A (iii) (i) iii) (35)
Rs.20,00,000/-	3,00,000/-	1,50,000/-	15,50,000/-

2. The sanctioned amount is debitable to the major Head 1 A (iii) (i) iii) (35) , 1 A (iii) (k) (SC) (35) & 1 A (iii) (L) (ST) (35) and is valid for payment during the financial year 2012-13 only.
3. The amount of the grant shall be drawn by the Deputy Secretary (Drawing and Disbursing Officer), University Grants Commission on the Grants-in-aid bill and shall be disbursed to and credited to the Registrar, **Dr. Babasaheb Ambedkar Marathwada University , Aurangabad-431004, Maharashtra** through Cheque/ Demand Draft/ Mail Transfer.
4. The Grant is subject to the Adjustment on the basis of Utilization Certificate in the prescribed proforma submitted by the University/ Colleges/Institution.
5. The University/College/Institute shall maintain proper accounts of the expenditure out of the Grants which shall be utilized only on approved item of expenditure.
6. The Utilization Certificate to the effect that the grant has been utilized for the purpose for which it has been sanctioned shall be furnished to the University Grants Commission as early as possible after the close of the current financial year.
7. The assets, acquired wholly or substantially out of University Grants Commission's Grant Shall not be disposed or encumbered or utilized for the purposes other than those for which the Grant was given, without proper sanction of the University Grants Commission and should, at any time the college ceased to function, such assets shall revert to the University Grants Commission.
8. A register of Assets acquired wholly or substantially out of the Grants shall be maintained by the University /College/Institute in the prescribed form.
9. The grantee institution shall ensure the Utilization of grants-in-aid for which it is being sanctioned/paid. In case of non-utilization/part utilization, the simple interest @ 10% per annum or as amended from time to time on unutilized amount from the date of drawing to the date of refund as per provisions contained in General Financial Rules of Govt. of India will be charged.
10. The interest earned by the University/College/Institute on this grants in aid shall be treated as additional grant and may be shown in the U.C. / Statement of Expenditure to be furnished by grantee institution.
11. The University/College/Institute shall follow strictly all the instructions issued by the Govt. of India from time to time with regard to reservation of posts for Scheduled Castes/Scheduled Tribes/OBC/PH etc.
12. The University/College shall fully implement the Official Language Policy of Union Govt. and comply with the Official Language Act, 1963 and Official Languages (Use for Official Purposes of the Union) Rules, 1978 etc.

- 13. The sanction issues in exercise of the delegation of powers vide Commission Office Order No.25/92 dated May 01, 1992.
- 14. The funds to the extent are available under the Scheme.
- 15. The Universities / Institution may be requested to submit the Utilization Certificate and Statement of Expenditure alongwith asset certificate mentioning the scheme of BSR infrastructure development.
- 16. "The University/ Institution/College is strictly following the UGC Regulations on curbing the menace of Ragging in Higher Educational Institutions, 2009".

Yours faithfully,

(Ritu Oberoi)
Under Secretary

Copy forwarded for information and necessary action to:-

- 1. Registrar, Dr.Babasaheb Ambedkar Marathwada University , Aurangabad-431004, Maharashtra. He/She is requested to abide by these instructions/ guidelines of sanction order.
- 2. The Secretary (Education) to the State Government of Maharashtra , Mumbai.
- 3. Head, Department of Statistics, Dr.Babasaheb Ambedkar Marathwada University , Aurangabad-431004, Maharashtra.
- 4. The Director General of Audit, Central Revenues, AGCR Building, I.P. Estate, New Delhi.
- 5. Guard file.

Usha Arya
(Usha Arya)
Section Officer

(P.m. 108
S.no. 32)