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Non-linear state feedback control for uncertain systems using a finite time disturbance observer

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Abstract

This paper develops a non-linear state feedback control for a non-linear system affected by parametric uncertainty and external disturbance. The parametric uncertainty and external disturbance are estimated as a lumped disturbance using a finite time disturbance observer. By designing the non-linear state feedback control based on a finite time disturbance observer, the proposed method counters the effect of lumped disturbance and ensures the

system states' finite-time convergence. The performance of the proposed scheme is compared with a sliding mode controller using a third-order non-linear uncertain system example. The proposed scheme is implemented on a hardware setup of a 2-DOF Helicopter system.

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1 Introduction

Many modern systems require accurate tracking of reference commands, continuous control action in order to provide high quality and reliable performance, i.e., robotic manipulator [1], PMSM drive [2], servo system [3, 4] to name just a few. The performance of these systems is getting affected by an unknown external disturbance and parametric uncertainty. The system's parametric uncertainty significantly affects the transient response of a system, and an unknown external disturbance may destabilize the system or causes a steady–state error in the system response. Many techniques have been proposed to control a system affected by an unknown disturbance and parametric uncertainty i.e. Adaptive control [5], Sliding mode control [6, 7], Composite non-linear feedback control, Disturbance observer-based control [8] to mention just a few.

An adaptive control updates the controller parameters based on the system states to stabilize the system and cope with uncertainty and external disturbance. The adaptive law based control has been applied to several practical systems $[9, \underline{10}]$. An unbounded adaptation of the controller parameters may destabilize the system, which is an undesirable effect.

The Sliding mode control (SMC) has gained popularity in the control community due to its robustness against external disturbance and parameter variations. It utilizes a discontinuous control component to mitigate the effect of external disturbance. Many applications have been implemented using Sliding mode control [11,12,13]. The discontinuous control of traditional SMC causes chatter in the system states and may induce wear and tear of the actuator [14].

The composite non-linear feedback control (CNF) consists of linear feedback law and non-linear feedback law. The linear part is designed with a small damping ratio for a speedy response with bounded control input consideration. The non-linear feedback control law adapts the damping of the system based on the tracking error to reduce the overshoot of the system [15]. The application of CNF reported in the literature is limited to a linear system with input saturation [16].

The controllers mentioned above either utilizing a discontinuous control action or large amount of control effort to compensate the effect of parametric uncertainty and an unknown external disturbance. A disturbance observer is an attractive technique to estimate the effect of disturbance without using any additional sensor. Once the disturbance is estimated accurately, it can be compensated in the control action $[\underline{17}]$. Disturbance observer-based control has been combined with many control technique $[\underline{8},\underline{18}]$ to control uncertain systems. In this paper, a finite time disturbance observer (FTDO) $[\underline{19}]$ is utilized to estimate the lumped disturbance, and it is compensated in a non-linear state feedback control (NLSFC) law.

It is well known that the linear state feedback control is not robust to parametric uncertainty and external disturbances. Further, such controller design requires the exact knowledge of system parameters, which is hardly available in most of the practical systems and ensures asymptotic convergence of the system states. In this paper, an FTDO based NLSFC is designed to provide finite–time convergence of disturbance estimation error. The system states to zero in the presence of parametric uncertainty and external disturbance.

The rest of the paper is organized as follows. The problem formulation is stated for the NLSFC in Sect. 2. The non-linear state feedback control for a second-order nominal system and the uncertain system is described in Sect. 3. The FTDO is derived in Sect. 4 and the generalization of the proposed scheme to (n-)th order system is given in Sect. 5. The simulation results of the proposed scheme are shown in Sect. 6 followed by the experimental validation in Sect. 7. The paper is concluded in Sect. 8.

2 Problem formulation

Consider a second order system with following dynamics

 $\$ \begin{aligned} {\\dot{x}}_1&= x_2 \nonumber \\ {\\dot{x}}_2&= -a_1 x_1 - a_2 x_2 + bu \end{aligned}

(1)

where (x_1) and (x_2) are the system states, u is the control input, (a_1, a_2) and b are the system parameters. The control objective is bring the system states from their initial conditions to origin.

The Linear state feedback control (LSFC) law can be implemented as

 $\$ \begin{aligned} $u = -k_1 x_1 - k_2 x_2 \$

(2)

where, $\(k_1\)$ and $\(k_2\)$ are the state feedback gains to be designed. The state feedback gains can be selected such that the closed system is stable and control objective is achieved. The closed loop dynamics is given by

 $\$ \begin{aligned} {\\ dot{x}}_1&= x_2 \nonumber \\ {\\ dot{x}}_2&= -(a_1+k_1) x_1 - (a_2+k_2) x_2 \end{aligned}

(3)

or

 $\$ \\dot{x}_1 + (a_2 + k_2) {\\dot{x}}_1 + (a_1 + k_1) x_1 = 0 \\end{aligned} \$\$ (4)

The LSFC law (2) ensures asymptotic convergence of states of the system (1) and the design of

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control law requires exact knowledge of system parameters. These limitations are overcome by designing a non-linear state feedback control in the next Section.

3 Non-linear state feedback control law

In this section, first, a Non-linear State Feedback Control is designed for the nominal second-order system ($\underline{1}$), and later, it is extended to the system affected by the parametric uncertainty and an unknown external disturbance.

3.1 For nominal system

The proposed NLSFC for the system (1) is given by

(5)

where, $\(k_1\)$ and $\(k_2\)$ are the control gains to be designed, the coefficients $\(\beta_1\)$ and $\(\beta_2\)$ are selected as follow $[\underline{20}]$:

```
$$\begin{aligned} \beta _1&= \beta ,\,\,\, n = 1 \nonumber \\ \beta _{i-1}&= \frac{\beta _i \beta _{i+1}}{2\beta _{i+1}-\beta _i}, \,\, i = 2, 3, 4,..., n \end{aligned}$$

(6)
```

where \(\beta_{n+1} = 1\), \(\beta_n = \beta \), \(\beta_in (1-\alpha , \,\, 1)\) and \(\alpha \in (0,\,\,1).\) The function \(\text {sgn}(\cdot)\) is the signum function of any variable. The control gains (\(k_1\) and \(k_2\)) are selected such that the all eigenvalues of polynomial \(s^2 + k_1 s + k_2\) are in left half side of the complex plane. The closed loop dynamics of system (1) with the proposed control law (5) becomes

(7)

If the coefficients \(\beta_1\) and \(\beta_2\) are selected as per $(\underline{6})$ and the control gains are selected such that the polynomial \(s^2 + k_1 s + k_2\) then the system states converges to origin from their initial condition in finite time (\(t \setminus ge t_c \setminus)) [20].

Remark 1

When the coefficients are selected as $(\beta_1 = 1)$ and $(\beta_2 = 1)$, the control law becomes Linear State Feedback Control

 $\$ \Big [(a_1-k_1) x_1 + (a_2 - k_2) x_2 \Big] \end{aligned} \$

(8)

and the system dynamics turns out as

 $\$ \\dot{x}_1 + \k_2 {\\dot{x}}_1 + \k_1 \x_1 = 0 \\end{aligned} \\$\$ (9)

The NLSFC ensures finite time convergence of the system states but it still requires exact knowledge of the system parameters ((a_1) , (a_2) and b). This requirement limits the application of the proposed control law to practical systems. In practical systems, the exact value of system parameter may not be known but the partial information may be available i.e. nominal value of parameter is known or the range of parameter variation is known or the upper bounds of parameter is known. Further, the external disturbance acting on the plant may not be measurable or it is expansive to measure the signal. In such situations, the proposed scheme can be implemented as follows.

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3.2 In the presence of uncertainty and disturbance

The dynamics of second order uncertain system is given by

where (a_{1n}) , (a_{2n}) and (b_n) represent the nominal value of the parameters (a_1) , (a_2) and b respectively, $(d({\text{x}},t))$ represents the lumped disturbance acting on the system $(d({\text{x}},t) = \Delta_1 x_1 + \Delta_2 x_2 + \Delta_1 t_1)$, where $({\text{x}} = \beta_1 x_1 + \Delta_2 x_2 + \Delta_1 t_1)$ and $(\text{x} = \beta_1 t_1 + \Delta_2 t_2 t_2)$ is an unknown external disturbance acting on the system.

Assumption 1

The lumped disturbance $(d({\text{warvec}\{x\}},t))$ is continuous time unknown function and it is second order differentiable which satisfies following condition

```
$$\begin{aligned} | \ddot{d}({\varvec{x}},t) | \le L \end{aligned}$$
(11)
```

where L is Lipschitz constant.

For many practical systems, this assumption is realistic. For example, PMSM motor [21], DC–DC converters [22], attitude tracking of rigid aircraft [23], robotic manipulator [24], the load disturbance and the rate of change of load disturbance may change, but the second derivative of disturbance is always bounded.

The control objective is to design a control law for the system ($\underline{10}$) such that the system states reach to origin in finite from their initial condition. The proposed control law is now given by

```
\ \begin{aligned} u = \frac{1}{b_n} \Big[-k_1 \left| x_1 \right| ^{\beta_1} \text {sgn}
```

where $\(\{\hat{x}\},t)\)$ is an estimate of lumped disturbance $\(d(\{\hat{x}\},t)\)$. The dynamics of closed loop system becomes

(13)

Remark 2

It is worth noting that the closed loop dynamics ($\underline{13}$) is driven by the disturbance estimation error \({\tilde{d}}\). If it is possible to estimate the lumped disturbance exactly then \({\hat{d}} = d({\langle x},t) \) and \({\langle tilde{d}} = 0 \rangle). The system behaves like nominal system with finite time reach-ability of system states.

3.3 Stability

The stability of closed loop system is derived in the lines of $[\underline{20}]$ by considering the Lyapunov function as

(14)

The time derivative of $(V(x_1, x_2))$ can be computed as

```
\begin{aligned} $\\det\{V\}\} = x_2 {\det\{x\}}_2 + k_1 x_2 | x_1 | ^{\beta_1} \end{aligned} \end{aligned} \end{aligned}
```

(15)

Simplifying $(\underline{15})$ using $(\underline{13})$ and $(\underline{10})$ as

```
\ \\dot{V}\ = -\x_2 \^{\beta_2 +1} + x_2 {\tilde{d}} \end{aligned} \$ (16)
```

Assuming that the disturbance estimation error $(\{ tilde\{d\} \})$ goes to zero in a finite time. Thus after a finite time, the time derivative of Lyapunov function is given by,

```
\ \\dot{V}\ = -\x_2 \^{\beta_2 +1} \le 0 \end{aligned} \$ (17)
```

which ensures finite-time convergence of system states (i.e., (x_1) and (x_2)) to zero.

4 Disturbance estimation

It is possible to use any finite time disturbance estimation technique in combination of the proposed scheme. In this paper, we have utilized finite time disturbance observer proposed in $[\underline{19}]$ to estimate lumped disturbance $(d({\text{warvec}\{x\}},t))$. The dynamics of finite time disturbance observer is given by

```
\begin{aligned} \dot{{\hat x}}_2&= -a_{1n} x_1 - a_{2n} x_2 + b_n u + v_0 \nonumber \dot{{\hat y}}&= -\lambda_2,\, L\,\, \text{sgn}(\hat y) - v_1) \end{aligned}
```

(18)

where, $\(\{\hat{x}\}_2\)$ is the estimate of state (x_2) , $(\{\hat{d}\}\})$ is the estimate of lumped disturbance $(d(\{\hat{x}\},t))$ and $(\hat{d}\}\})$ is the rate of change of lumped disturbance estimation. The variables (v_0) and (v_1) are updated as

(19)

where, L is a observer gain and (λ_0, λ_1) and (λ_2) are coefficients to be selected by the designer.

Defining the error estimation errors of observer as

(20)

Taking time derivative of (20) to obtain the error dynamics of FTDO

(21)

Remark 3

Remark 4

The observer gains should be selected in such a way that the finite time of observer is less than the controller time $(t_o \le t_c)$. Thus, when the system states reach to origin, the performance will not get affected by the lumped disturbance or the disturbance estimation error.

When the disturbance estimation error is not zero (\({\tilde{d}}\ne 0\) or \(t < t_0\)), the closed loop dynamics is governed by

(22)

(23)

After $(t \ge t_o)$,

5 Generalization to \(n-\)th order non-linear system

The proposed scheme can be extended to (n-)th order system as follows. A (n-)th order non-linear uncertain system is given by

(24)

where, $(a_n({\text{x}},t))$ is a known non-linear function and the lumped disturbance is given by $(d({\text{x}},t) = \Delta({\text{x}},t) + zeta(t))$. The proposed control law is implemented as follows

 $\$ \begin{aligned} u = \frac{1}{b_n} \Big [a({\varvec{x}},t) - \sum _{i=1}^{n}k_i \left| x_i \right| ^{\beta} \] - {\hat{d}} \end{aligned} \$

(25)

The estimate of lumped disturbance $({\hat{d}})$ is given by

(26)

The variables (v_0) and (v_1) are updated as

(27)

Remark 5

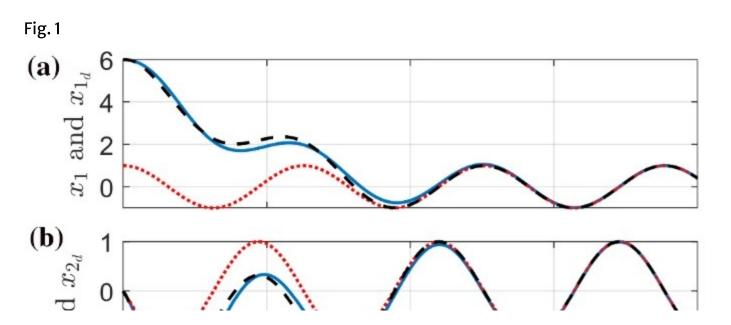
The proposed scheme indeed utilizes discontinuous components in the higher derivatives of

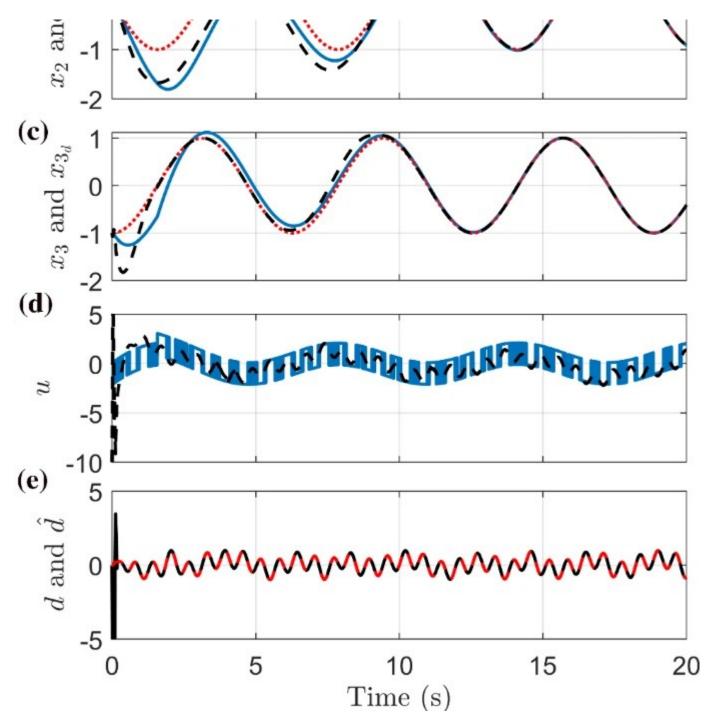
the control action. The proposed scheme employs a finite time disturbance observer to estimate an unknown disturbance and parametric uncertainty accurately, which avoids excessive control input compared to the traditional discontinuous controller (i.e., Sliding Mode Controller). Thus, it avoids high power control action to mitigate the effect of lumped disturbance.

The closed loop dynamics is governed in case of $(t < t_o)$ by

After $\(t \ge t_o\)$,

6 Simulation results





Comparative simulation results with the proposed scheme (dashed) and the SMC (solid): a \(x_1\) and \(x_{1_d}\) (dotted), b \(x_2\) and \(x_{2_d}\) (dotted), c \(x_3\) and \(x_{3_d}\) (dotted), d u, e d (dotted) and \({\hat{d}}\) (solid)

To verify the proposed scheme's effectiveness, it is applied to a third-order nonlinear system subjected to an external disturbance and parametric uncertainty. The proposed scheme's performance is compared with a sliding mode control reported in [25]. The dynamics of a

third-order nonlinear uncertain system is given by [25],

```
\begin{aligned} $\\det\{x\}\}_1\&= x_2 \in \mathbb{R}_3 \in \mathbb{R}_3\&= b \Big(u + d(\{\nabla x\}\}_1) \Big) \left(a + d(\{\nabla x\}\}_1, t) \Big) \Big) \end{aligned} $$ (30)
```

where $\(d(\{\varvec\{x\}\},t) = 0.39 \sin(x_1x_2 + x_3 \sqrt\{t\}) + 0.6 \sin(10t)\)$ consists of parametric uncertainty and the external disturbance acting on the plant and $\begin{tikzpicture} b = 1 \. \end{tikzpicture}$ and the reference trajectories are selected as $\begin{tikzpicture} b = 1 \. \end{tikzpicture}$ and the reference trajectories are selected as $\begin{tikzpicture} b = 1 \. \end{tikzpicture}$ and the reference trajectories are selected as $\begin{tikzpicture} b = 1 \. \end{tikzpicture}$ and the reference trajectories are selected as $\begin{tikzpicture} b = 1 \. \end{tikzpicture}$ and the reference trajectories are selected as $\begin{tikzpicture} b = 1 \. \end{tikzpicture}$ and the reference trajectories are selected as $\begin{tikzpicture} b = 1 \. \end{tikzpicture}$ and the reference trajectories are selected as $\begin{tikzpicture} b = 1 \. \end{tikzpicture}$ and the reference trajectories are selected as $\begin{tikzpicture} b = 1 \. \end{tikzpicture}$ and the reference trajectories are selected as $\begin{tikzpicture} b = 1 \. \end{tikzpicture}$ and the reference trajectories are selected as $\begin{tikzpicture} b = 1 \. \end{tikzpicture}$ and the reference trajectories are selected as $\begin{tikzpicture} b = 1 \. \end{tikzpicture}$ and the reference trajectories are selected as $\begin{tikzpicture} b = 1 \. \end{tikzpicture}$ and the reference trajectories are selected as $\begin{tikzpicture} b = 1 \. \end{tikzpicture}$ and the reference trajectories are selected as $\begin{tikzpicture} b = 1 \. \end{tikzpicture}$ and the reference trajectories are selected as $\begin{tikzpicture} b = 1 \. \end{tikzpicture}$ and the reference trajectories are selected as $\begin{tikzpicture} b = 1 \. \end{tikzpicture}$ and the reference trajectories are selected as $\begin{tikzpicture} b = 1 \. \end{tikzpicture}$ and the reference trajectories are selected as $\begin{tikzpicture} b = 1 \. \end{tikzpicture}$ and the reference trajectories are selected as $\begin{tikzpicture} b = 1 \. \end{tikzpicture}$ and

Table 1 Nominal parameters of hardware setup [26]

where the control parameters are selected as $(k_1 = 5, k_2 = 9, k_3 = 5)$, $(\beta_1 = \frac{5}{11}, \beta_2 = \frac{5}{15})$ and $(\beta_3 = \frac{5}{19})$ The finite time disturbance observer is implemented as

(32)

The variables (v_0) and (v_1) are updated as

 $$\\left(\left(x \right) - \left(x \right) + \left(x \right) - x_3 \right) + \left(x_3$

(33)

where the observer parameters are selected as \(\lambda _0 = 3, \lambda _1 = 2.5, \lambda _2 = 2,\) and \(L = 1500.\) The sliding mode controller reported in [25] is implemented as follows. The sliding surface (s) is selected as

(34)

where the value of coefficients is selected as $(c_1 = 0.31,) (c_2 = 1.12, , A = -1.57, , B = 1, , t_f = 1.6)$ s. The control law is implemented as

(35)

where the switching gain is selected as \(\gamma = 1.1\). The comparative plots of the proposed scheme and the SMC are shown in Fig. 1. It can be observed that the tracking error $(x_1 - x_{1_d})$ goes to zero around (t = 9) s with the proposed scheme and (t = 11) s with the SMC as shown in Fig. 1a. It is worth noting that the SMC brings the sliding surface to zero

in finite time, which leads the system dynamics to

```
\label{eq:localization} $$\left(\frac{x}_1 - \left(\frac{x}_1 - \frac{x}_1 - \frac{x}_1
```

7 Experimental validation

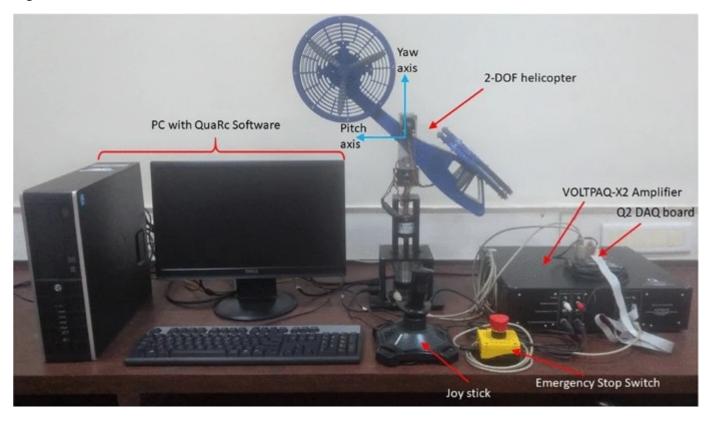
In order to demonstrate the effectiveness of the proposed scheme, it has been applied to a nonlinear plant of a 2-DOF Helicopter system [26].

7.1 Hardware setup

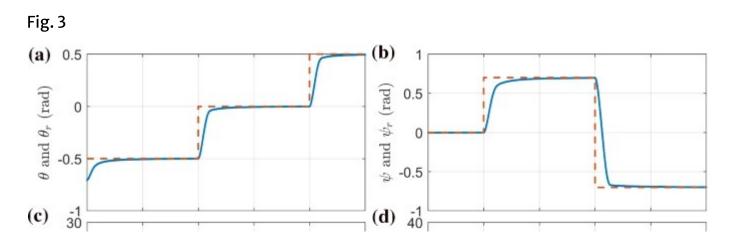
The experimental setup consists of a 2-DOF Helicopter plant with a VOLTPAQ-X2 power amplifier, Q2 DAQ board, Emergency stop switch, Logitech joystick, and personal computer installed with QuaRc and MATLAB tools. The VOLTPAQ-X2 amplifies the controller commands and supply to the plant. The Q2 DAQ board receives plant feedback signals and the control commands from the QuaRc software and sends the control commands to the VOLTPAQ-X2 amplifier. The reference signals are either generated by a Simulink block or Logitech joystick. The emergency stop switch disables all operations in case of any emergency. The QuaRc tool

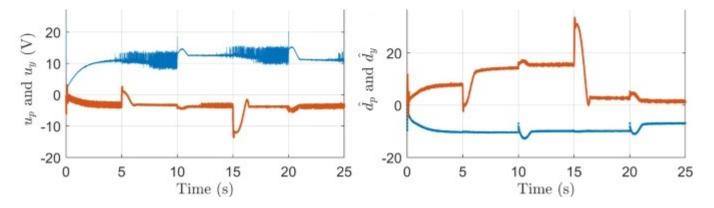
provides an interface between Simulink and Q2 DAQ board. The hardware setup consists of two brush-less motors to control the pitch angle (\(\text{heta}\)) and the yaw angle (\(\psi\)). The pitch and yaw angles are measured using 10 bits optical encoders, which send feedback signals to the Q2 DAQ board (Fig. $\underline{2}$).

Fig. 2



Hardware setup of 2-DOF Helicopter system





Experimental results: a pitch angle $\(\$ and $\(\$ and $\$ (\theta _r\) (dashed), b yaw angle $\(\$ (solid) and $\(\$ and $\$ (dashed), c control inputs $\(\$ and $\(\$ and $\(\$ dashed), d disturbance estimation $\(\$ and $\(\$ and $\(\$ and $\$ ($\$ ashed)

7.2 Plant dynamics

The dynamics of the nonlinear 2-DOF helicopter system is considered as [26],

 $\label{theta} $= -\frac{B_\theta {\dot{\theta }} + m l^2{\det{psi }}^2 \sin \theta \cos \theta + m l^2} + m l^2{\det{psi }}^2 \sin \theta \cos \theta + m l^2} \nonumber \&\quad + \frac{k_{\theta }} L + m l^2} \u_\theta + \frac{k_{\theta }} L + m l^2} u_\theta + \frac{k_{\theta }} L + m l^2} L + m l^2} u_\theta + \frac{k_{\theta }} L + m l^2} L + m l^2}$

(37)

 $\label{theta} $$\left[a \right] \dot{\pi } &= \frac{2m ^2 {\det {psi }} {\det {} \sinh \theta \cos \theta } \\ &= \frac{2m ^2 {\det {psi }} {\det {psi }} {\int \phi } \\ &= \frac{2m ^2 {\det {psi }} {\det {psi }} {\int \phi } \\ &= \frac{2m ^2 {\det {psi }} {\int \phi }$

where $\(u_\theta \)$ and $\(u_\phi \)$ are the control inputs to be designed. The objective is to design the control inputs to bring the difference between actual angles, rate of change of actual angles and the reference trajectories $\(e_{1\theta} \) = \theta \$ = $\theta \$ and $\theta \$ = $\theta \$ = $\theta \$ and $\theta \$ = $\theta \$ = $\theta \$ and $\theta \$ = $\theta \$ = $\theta \$ and $\theta \$ = $\theta \$ = $\theta \$ = $\theta \$ and $\theta \$ = $\theta \$ =

{\dot{\psi }} - {\dot{\psi }}_r\) to zero respectively in the presence of parametric uncertainty, unknown non-linearity and a coupling between two inputs. The nominal parameters considered for the experimental validation are given in Table 1.

7.3 Control law

The control inputs (u_{τ}) and (u_{τ}) are designed by considering two independent sub-systems of pitch and yaw dynamics. The coupling between two sub-systems, the parametric uncertainty and the non-linearity, is considered the lumped disturbances $((d_{\tau}))$ and (d_{τ}) and (d_{τ}) are selected as

(39)

(40)

where the lumped disturbances $\{\{hat\{d\}\}_{theta}\}\$ and $\{\{hat\{d\}\}_{psi}\}\$ are implemented as per (26) and (27). The control and observer parameters selected for experimental validation are given in Table 2. The known functions $\{a_{theta}\}\$ and $\{a_{theta}\}\$ are implemented as per (26) and (27).

Table 2 The control parameters and observer parameters used for experimental validation

7.4 Results

Figure 3 shows the experimental results of the proposed scheme. The tracking of pitch and yaw reference signals are shown in Fig. 3a and b, respectively. One can observe the pitch and yaw angles track the reference signals very well. Thus the proposed scheme brings the tracking errors to zero from their initial conditions. The control inputs are getting adjusted as the reference signals change, as shown in Fig. 3c. The plot of lumped disturbance estimation is shown in Fig. 3d. One can see that the proposed scheme accurately estimates the system nonlinearity, uncertainty, and the coupling between two sub–systems as the lumped disturbances. Thus the proposed scheme was successfully implemented for a non-linear uncertain system.

8 Conclusion

In this paper, a finite time disturbance observer-based non-linear state feedback control law is proposed for an uncertain system. The results are generalized to (n-)th order non-linear uncertain system. The proposed observer-controller combination ensures finite time convergence of the disturbance estimation error and the tracking errors of system states to zero in the presence of system non-linearity, parametric uncertainty, and an unknown external disturbance. The proposed scheme's performance is compared with a well-known sliding mode controller, and it shows better performance in terms of smoothness of the control action and the tracking of the reference trajectory. Further, the proposed scheme is implemented on a hardware setup of a 2-DOF Helicopter system in a laboratory.

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Ethics declarations

Conflict of interest

The authors declare that they have no conflict of interest

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